

VENDOR

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State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

# Request for Quotation

LOT468

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ADDRESS CORRESPONDENCE TO ATTENTION OF

SHELLY MURRAY 304-558-8801

\*709051325 304-755-0373 ROCK BRANCH MECHANICAL INC 132 HARRIS DRIVE

25159

LOTTERY COMMISSION

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900 PENNSYLVANIA AVE

CHARLESTON, WV

25302 304-558-0500

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FEIN 55-0615432

ADDRESS CHANGES TO BÉ NOTED >

# GENERAL TERMS & CONDITIONS REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
- 2. The State may accept or reject in part, or in whole, any bid.

3. Prior to any award, the apparent successful vendor must be properly registered with the Purchasing Division

and have paid the required \$125 fee.

- 4. All services performed or goods delivered under State Purchase Order/Contracts are to be continued for the term of the Purchase Order/Contracts, contingent upon funds being appropriated by the Legislature or otherwise being made available. In the event funds are not appropriated or otherwise available for these services or goods this Purchase Order/Contract becomes void and of no effect after June 30.
- 5. Payment may only be made after the delivery and acceptance of goods or services.
- 6. Interest may be paid for late payment in accordance with the West Virginia Code.
- 7. Vendor preference will be granted upon written request in accordance with the West Virginia Code.
- 8. The State of West Virginia is exempt from federal and state taxes and will not pay or reimburse such taxes.
- 9. The Director of Purchasing may cancel any Purchase Order/Contract upon 30 days written notice to the seller.
- 10. The laws of the State of West Virginia and the Legislative Rules of the Purchasing Division shall govern the purchasing process.
- 11. Any reference to automatic renewal is hereby deleted. The Contract may be renewed only upon mutual written agreement of the parties.
- 12. BANKRUPTCY: In the event the vendor/contractor files for bankruptcy protection, the State may deem this contract null and void, and terminate such contract without further order.
- 13. HIPAA BUSINESS ASSOCIATE ADDENDUM: The West Virginia State Government HIPAA Business Associate Addendum (BAA), approved by the Attorney General, is available online at www.state.wv.us/admin/purchase/vrc/hipaa.htm and is hereby made part of the agreement. Provided that the Agency meets the definition of a Cover Entity (45 CFR §160.103) and will be disclosing Protected Health Information (45 CFR §160.103) to the vendor.
- 14. CONFIDENTIALITY: The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures, and rules. Vendor further agrees to comply with the Confidentiality Policies and Information Security Accountability Requirements, set forth in http://www.state.wv.us/admin/purchase/privacy/noticeConfidentiality.pdf.
- 15. LICENSING: Vendors must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including, but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, and the West Virginia Insurance Commission. The vendor must provide all necessary releases to obtain information to enable the director or spending unit to verify that the vendor is licensed and in good standing with the above entities.
- 16. ANTITRUST: In submitting a bid to any agency for the State of West Virginia, the bidder offers and agrees that if the bid is accepted the bidder will convey, sell, assign or transfer to the State of West Virginia all rights, title and interest in and to all causes of action it may now or hereafter acquire under the antitrust laws of the United States and the State of West Virginia for price fixing and/or unreasonable restraints of trade relating to the particular commodities or services purchased or acquired by the State of West Virginia. Such assignment shall be made and become effective at the time the purchasing agency tenders the initial payment to the bidder.

I certify that this bid is made without prior understanding, agreement, or connection with any corporation, firm, limited liability company, partnership, or person or entity submitting a bid for the same material, supplies, equipment or services and is in all respects fair and without collusion or Fraud. I further certify that I am authorized to sign the certification on behalf of the bidder or this bid.

### INSTRUCTIONS TO BIDDERS

- 1. Use the quotation forms provided by the Purchasing Division. Complete all sections of the quotation form.
- 2. Items offered must be in compliance with the specifications. Any deviation from the specifications must be clearly indicated by the bidder. Alternates offered by the bidder as **EQUAL** to the specifications must be clearly defined. A bidder offering an alternate should attach complete specifications and literature to the bid. The Purchasing Division may waive minor deviations to specifications.

3. Unit prices shall prevail in case of discrepancy. All quotations are considered F.O.B. destination unless alternate shipping terms are clearly identified in the quotation.

4. All quotations must be delivered by the bidder to the office listed below prior to the date and time of the bid opening. Failure of the bidder to deliver the quotations on time will result in bid disqualifications: Department of Administration, Purchasing Division, 2019 Washington Street East, P.O. Box 50130, Charleston, WV 25305-0130

5. Communication during the solicitation, bid, evaluation or award periods, except through the Purchasing Division, is strictly prohibited (W.Va. C.S.R. §148-1-6.6).



State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

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LOTTERY COMMISSION

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### ATTACHMENT B

WEST VIRGINIA LOTTERY RFQ LOT468 BID FORM

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CONTACT I	NFORMATION
COMPANY NAME	Rock Branch Mechanical
ADDRESS	132 Harris Drive
	Poca, WV 25159
CONTRACTOR CONTACT NAME	Rose Seabolt
CONTRACTOR PHONE NUMBER	304 755 - 0373
CONTRACTOR FAX NUMBER	304 755 5270
CONTRACTOR EMAIL ADDRESS	rosesea bolt@wvdsl. net
24 HOUR PHONE NUMBER FOR SERVICE	
CALLS	304-755-0373

Signature Coal A/ +

Print Name

RFQ No. LOT 468

# STATE OF WEST VIRGINIA Purchasing Division

### **PURCHASING AFFIDAVIT**

West Virginia Code §5A-3-10a states: No contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and the debt owed is an amount greater than one thousand dollars in the aggregate.

### **DEFINITIONS:**

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Debtor" means any individual, corporation, partnership, association, limited liability company or any other form or business association owing a debt to the state or any of its political subdivisions. "Political subdivision" means any county commission; municipality; county board of education; any instrumentality established by a county or municipality; any separate corporation or instrumentality established by one or more counties or municipalities, as permitted by law; or any public body charged by law with the performance of a government function or whose jurisdiction is coextensive with one or more counties or municipalities. "Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceed five percent of the total contract amount.

**EXCEPTION:** The prohibition of this section does not apply where a vendor has contested any tax administered pursuant to chapter eleven of this code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

Under penalty of law for false swearing (**West Virginia Code** §61-5-3), it is hereby certified that the vendor affirms and acknowledges the information in this affidavit and is in compliance with the requirements as stated.

# Vendor's Name: Rock Branch Mechanica/ Authorized Signature: Pope Society State of WV County of Paraman, to-wit: Taken, subscribed, and sworn to before me this 4th day of May , 20 11. My Commission expires Con, 4, 20 6 , 20 ... AFFIX SEAL HERE NOTARY PUBLIC ... Official Seal Motary Public, State of West Virginia Rotary Public, St

Rev. 09/08

### State of West Virginia

# **VENDOR PREFERENCE CERTIFICATE**

Certification and application\* is hereby made for Preference in accordance with **West Virginia Code**, §5A-3-37. (Does not apply to construction contracts). **West Virginia Code**, §5A-3-37, provides an opportunity for qualifying vendors to request (at the time of bid) preference for their residency status. Such preference is an evaluation method only and will be applied only to the cost bid in accordance with the **West Virginia Code**. This certificate for application is to be used to request such preference. The Purchasing Division will make the determination of the Resident Vendor Preference, if applicable.

1.	Application is made for 2.5% resident vendor preference for the reason checked: Bidder is an individual resident vendor and has resided continuously in West Virginia for four (4) years immediately preceding the date of this certification; or, Bidder is a partnership, association or corporation resident vendor and has maintained its headquarters or principal place of business continuously in West Virginia for four (4) years immediately preceding the date of this certification; or 80% of the ownership interest of Bidder is held by another individual, partnership, association or corporation resident vendor who has maintained its headquarters or principal place of business continuously in West Virginia for four (4) years immediately preceding the date of this certification; or, Bidder is a nonresident vendor which has an affiliate or subsidiary which employs a minimum of one hundred state residents and which has maintained its headquarters or principal place of business within West Virginia continuously for the four (4) years immediately preceding the date of this certification; or,
2. 	Application is made for 2.5% resident vendor preference for the reason checked:  Bidder is a resident vendor who certifies that, during the life of the contract, on average at least 75% of the employees working on the project being bid are residents of West Virginia who have resided in the state continuously for the two years immediately preceding submission of this bid; or,
3.	Application is made for 2.5% resident vendor preference for the reason checked: Bidder is a nonresident vendor employing a minimum of one hundred state residents or is a nonresident vendor with an affiliate or subsidiary which maintains its headquarters or principal place of business within West Virginia employing a minimum of one hundred state residents who certifies that, during the life of the contract, on average at least 75% of the employees or Bidder's affiliate's or subsidiary's employees are residents of West Virginia who have resided in the state continuously for the two years immediately preceding submission of this bid; or,
4.	Application is made for 5% resident vendor preference for the reason checked:  Bidder meets either the requirement of both subdivisions (1) and (2) or subdivision (1) and (3) as stated above; or,
5.	Application is made for 3.5% resident vendor preference who is a veteran for the reason checked:  Bidder is an individual resident vendor who is a veteran of the United States armed forces, the reserves or the National Guard and has resided in West Virginia continuously for the four years immediately preceding the date on which the bid is submitted; or,
6. 	Application is made for 3.5% resident vendor preference who is a veteran for the reason checked: Bidder is a resident vendor who is a veteran of the United States armed forces, the reserves or the National Guard, if, for purposes of producing or distributing the commodities or completing the project which is the subject of the vendor's bid and continuously over the entire term of the project, on average at least seventy-five percent of the vendor's employees are residents of West Virginia who have resided in the state continuously for the two immediately preceding years.
requi agair	er understands if the Secretary of Revenue determines that a Bidder receiving preference has failed to continue to meet the rements for such preference, the Secretary may order the Director of Purchasing to: (a) reject the bid; or (b) assess a penalty ast such Bidder in an amount not to exceed 5% of the bid amount and that such penalty will be paid to the contracting agency durated from any unpaid balance on the contract or purchase order.
authe the re	ubmission of this certificate, Bidder agrees to disclose any reasonably requested information to the Purchasing Division and prizes the Department of Revenue to disclose to the Director of Purchasing appropriate information verifying that Bidder has paid equired business taxes, provided that such information does not contain the amounts of taxes paid nor any other information ned by the Tax Commissioner to be confidential.
	er penalty of law for false swearing (West Virginia Code, §61-5-3), Bidder hereby certifies that this certificate is true accurate in all respects; and that if a contract is issued to Bidder and if anything contained within this certificate accurate the term of the contract, Bidder will notify the Purchasing Division in writing immediately.
Bido	Her: ROCIL BRANCH MECHANICAL, INC. Signed: Lily Fide
Date	
*Che	ck any combination of preference consideration(s) indicated above, which you are entitled to receive.



# State of West Virginia DRUG FREE WORKPLACE CONFORMANCE AFFIDAVIT West Virginia Code §21-1D-5

STATE OF West Virginia
COUNTY OF Puram, TO-WIT:
I, Pawak Callister, after being first duly sworn, depose and state as follows:
1. I am an employee of Prock Branch (Company Name); and,
2. I do hereby attest that Rock Branch Mechanical (Company Name)
maintains a valid written drug free workplace policy and that such policy is in compliance with <b>West Virginia Code</b> §21-1D-5.
The above statements are sworn to under the penalty of perjury.
Rock Browen Mechanical (Company Name)
By: Paula M. Callister Title: H. R.
Date: 5 - 04 - 1\
Taken, subscribed and sworn to before me this 4 day of May . 2011
By Commission empiressed 201 27, 2014
NOTARY PUBLIC / STATE OF WEST VIRGINIA ROSEMARY SEABOLT P. O. BOX 341 ELKVIEW, WV 25071 My commission expires September 27, 2014 Notary Public)
THIS AFFIDAVIT MUST BE SUBMITTED WITH THE BID IN ORDER TO COMPLY WITH WV CODE PROVISIONS. FAILURE TO INCLUDE THE
AFFIDAVIT WITH THE BID SHALL RESULT IN DISQUALIFICATION OF
THE BID.  Rev March 2009



State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

LOT468

PAGE 1

ADDRESS CORRESPONDENCE TO ATTENTION OF SHELLY MURRAY 304-558-8801

SH-p

\*709051325 304-755-0373 ROCK BRANCH MECHANICAL INC 132 HARRIS DRIVE

POCA WV 25159

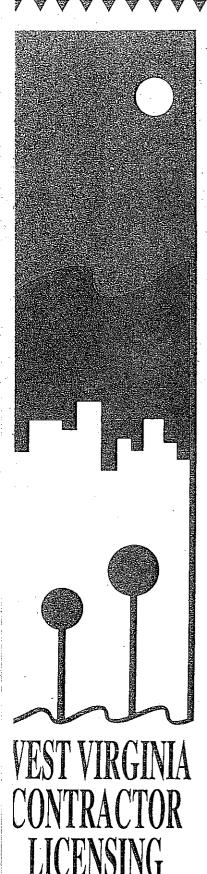
LOTTERY COMMISSION

900 PENNSYLVANIA AVE

CHARLESTON, WV

25302

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# CONTRACTOR LICENSE

Authorized by the

West Virginia Contractor Licensing Board

Number:

WV000607

Classification:

HEATING, VENTILATING & COOLING

ROCK BRANCH MECHANICAL INC DBA ST ALBANS METAL WORKS 132 HARRIS DR POCA, WV 25159-9648

**Date Issued** 

**Expiration Date** 

AUGUST 06, 2010 AUGUST 06, 201

Authorized Company Signature

Chair, West Virginia Contractor

Chair, West Virginia Contract Licensing Board

This license, or a copy thereof, must be posted in a conspicuous place at every construction site where work is being performed. This license number must appear in all advertisements, on all bid submissions and on all fully executed and binding contracts. This license cannot be assigned or transferred by licensee. Issued under provisions of West Virginia Code, Chapter 21, Article 11.



A	CORD CERTII	FICATE OF LIA	ABILITY	INSURA	ANCE		re (MM/DD/YY) ./09/11
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	Wells Fargo Ins. Services		ONLY AN	D CONFERS N	O RIGHTS UPON TH	E C	ERTIFICATE
	of West Virginia, Inc.				TE DOES NOT AMEN		
	P.O. Box 1551		712727 (11		AFFORDING COVERA		
	Charleston WV 25326-1551		COMPANY	00, 1,1,1,2	7,1,1		
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A	GENERAL LIABILITY	CMM4686946	2/51/11	2/01/12	GENERAL AGGREGATE	\$	2,000,000
1	COMMERCIAL GENERAL LIABILITY				PRODUCTS-COMP/OP AGG	\$ .	2,000,000
	CLAIMS MADE X OCCUR				PERSONAL & ADV INJURY	\$	1,000,000
1	OWNER'S & CONTRACTOR'S PROT			n-verwage	EACH OCCURRENCE	\$	1,080,000
					FIRE DAMAGE (Any one fire)	\$	300,000
					MED EXP (Any one person)	\$	10,000
.	AUTOMOBILE LIABILITY ( AUTO YIM AUTO	СМЫ4585945	2/01/11	2/01/12	COMBINED SINGLE LIMIT	\$	1,000,000
	ALL OWNED AUTOS SCHEDULED AUTOS				BODILY INJURY (Per person)	\$	
)	HIRED AUTOS				BODILY INJURY (Per accident)	5	
	- ROPOWING KOIDS				PROPERTY DAMAGE	\$	Madala All I Hills Hills Pink - Pink - Pink
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, †	EXCESS LIABILITY	CMM4586946	2/01/11	2/01/12	EACH OCCURRENCE	s	5,000,000
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3 ! 1	WORKERS COMPENSATION AND	WC 10008745-09	2/01/11	2/01/12	X WC STATU- OTH-		
	MPLOYERS' LIABILITY				LE EACH ACCIDENT	\$	1,000,000
1	THE PROPRIETOR/ INCL				EL DISEASE-POLICY LIMIT	\$	1,000,000
	PARTNERS/EXECUTIVE EXCL				EL DISEASE-EA EMPLOYEE	\$	1,000,000
<u> </u>	OTHER						
	Installation Floater	CNN4686946	2/01/11	2/01/12	\$575,000 Limit		-
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ESC	RIPTION OF OPERATIONS/LOCATIONS/	VEHICLES/SPECIAL ITEMS					
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CER	TIFICATE HOLDER		CANCELLATI	ON		14 N	
			SHOULD ANY	OF THE ABOVE DE	SCRIBED POLICIES BE CANC	ELLED	BEFORE THE
	Evidence of Insurance		EXPIRATION	DATE THEREOF, THE	ISSUING COMPANY WILL E	NDEA	JEAM OT RO
	00000	•	30 DAY	S WRITTEN NOTICE TO	O THE CERTIFICATE HOLDER	AMED	TO THE LEFT,
			BUT FAILURE	TO MAIL SUCH NOTI	CE SHALL IMPOSE NO OBLIG	NOITA	OR LIABILITY
			OF ANY KI	ND UPON THE CO	MPANY, ITS AGENTS OR	REPR	ESENTATIVES.
			AUTHORIZED RE		(r.D.		
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CERTIFICATE: 054/001/ 00348

### **IMPORTANT**

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

### DISCLAIMER

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.