



The following documentation is an electronically-submitted vendor response to an advertised solicitation from the *West Virginia Purchasing Bulletin* within the Vendor Self-Service portal at ***wvOASIS.gov***. As part of the State of West Virginia's procurement process, and to maintain the transparency of the bid-opening process, this documentation submitted online is publicly posted by the West Virginia Purchasing Division at ***WVPurchasing.gov*** with any other vendor responses to this solicitation submitted to the Purchasing Division in hard copy format.

Header 2

List View

General Information

Contact

Default Values

Discount

Document Information

Procurement Folder: 357713

Procurement Type: Central Master Agreement

Vendor ID:

Legal Name: EXTRACT SYSTEMS LLC

Alias/DBA:

Total Bid: \$10,370.00

Response Date:

Response Time:

SO Doc Code: CRFQ

SO Dept: 1600

SO Doc ID: SOS1800000003

Published Date: 8/14/17

Close Date: 8/17/17

Close Time: 13:30

Status: Closed

Solicitation Description:

Total of Header Attachments: 2

Total of All Attachments: 2



Purchasing Division
 2019 Washington Street East
 Post Office Box 50130
 Charleston, WV 25305-0130

**State of West Virginia
 Solicitation Response**

Proc Folder : 357713

Solicitation Description : Addendum No.01; Redaction Services of UCC Documents

Proc Type : Central Master Agreement

| Date issued | Solicitation Closes | Solicitation Response | Version |
|-------------|------------------------|------------------------------|---------|
| | 2017-08-17 13:30:00 | SR 1600 ESR08171700000000648 | 1 |

| VENDOR |
|-------------------------------------|
| 000000183015 EXTRACT SYSTEMS LLC |

Solicitation Number: CRFQ 1600 SOS1800000003

Total Bid : \$10,370.00

Response Date: 2017-08-17

Response Time: 09:16:09

Comments:

FOR INFORMATION CONTACT THE BUYER
 Guy Nisbet
 (304) 558-2596
 guy.l.nisbet@wv.gov

| | | |
|--------------------------|---------------|-------------|
| Signature on File | FEIN # | DATE |
|--------------------------|---------------|-------------|

All offers subject to all terms and conditions contained in this solicitation

| Line | Comm Ln Desc | Qty | Unit Issue | Unit Price | Ln Total Or Contract Amount |
|------|-------------------------------------|--------------|------------|------------|-----------------------------|
| 1 | Document redaction of UCC documents | 170000.00000 | PAGE | \$0.061000 | \$10,370.00 |

| Comm Code | Manufacturer | Specification | Model # |
|-----------|--------------|---------------|---------|
| 81112005 | | | |

Extended Description : Agency Estimated Quantity is 170,000 actual amount may be more or less.

Comments: See attached proposal for past performance, executive summary, pricing details and references



Troy Burke
Director of Government Solutions
Extract Systems
8517 Excelsior Drive, Suite 400
Madison, WI 53717
Tel: (608) 821-6534
www.extractsystems.com

August 15, 2017

Mr. Guy Nisbet
West Virginia Secretary of State
1900 Kanawha Boulevard, East
Building 1, Suite 157-K
Charleston, WV 25305

RE: CRFQ# SOS1800000003

Dear Mr. Nisbet:

Please accept our response to amend CRFQ# SOS1800000003 to provide redaction services for the West Virginia Secretary of State to remove PII from UCC documents per State of West Virginia Confidentiality Policies and Information Security Accountability Requirements.

Extract Systems has been providing software and services to redact Uniform Commercial Code (UCC), Financing Statements, Corporations and various other documents handled by the Secretary of State for more than eleven years. To date, we have completed projects for 14 states including two projects for the WV SOS in 2013 and 2014. Several of the leading system vendors in the Secretary of State market (NIC and FileOne) have selected ID Shield and Extract Systems for their redaction needs. A complete list of our Secretary of State projects is provided on page 4.

Based in Madison, Wisconsin, Extract Systems is a privately owned software development and services company. Our award-winning technology, exceptional service, client-focused corporate culture and aggressive pricing distinguish Extract Systems from the field of redaction providers.

With more than 350 government redaction projects, our experience capturing information within electronic records surpasses the experience of other providers. We believe this experience is important for the WV Secretary of State to consider for the following reasons:

- Extract Systems' sole focus is capturing and redacting information within electronic records and we are constantly improving this proprietary, leading edge technology
- 14 Secretaries of State have selected ID Shield to redact documents and the expertise Extract gained implementing those projects will be of substantial benefit to the State of West Virginia
- Our proficiency handling large service projects will require minimal involvement of Secretary of State personnel
- By focusing on redaction services only, Extract is able to complete the processing more quickly, making it more secure for State of West Virginia residents when accessing documents
- We will guarantee an accuracy rate of 98 percent or higher for the West Virginia Secretary of State and have the track record to prove it

Here is a quote from the Illinois Secretary of State – they implemented ID Shield in 2011.

“The system has a greater than 99% success rate in finding the sensitive information on our current filings. This allows our employees to work on other assigned duties and projects in addition to maintaining date forward redaction. We are very pleased with the ease of using ID Shield and recommend the product for any filing office that may need to protect sensitive information kept on digital images.”

*Dennis Hankins
Illinois Secretary of State
UCC State Administrator*

Extract Systems will meet your expectations day in and day out, without exception. Our proposal is comprised of the following components:

1. Past Performance – Page 3
2. Security – Page 5
3. Executive Summary – Page 7
4. Pricing – Page 8
5. References – Page 9

I will be your primary point of contact during negotiations and have the authority to negotiate all aspects of the scope of services and provisions on behalf of Extract Systems. Please contact me directly if I may provide clarification or answer questions relating to any of the information we have presented. I look forward to working with you as you review our CRFQ response.

Best regards,



Troy D. Burke
Extract Systems
8517 Excelsior Drive, Suite 400
Madison, WI 53717
608-821-6534 Direct
Troy_burke@extractsystems.com

Past Performance

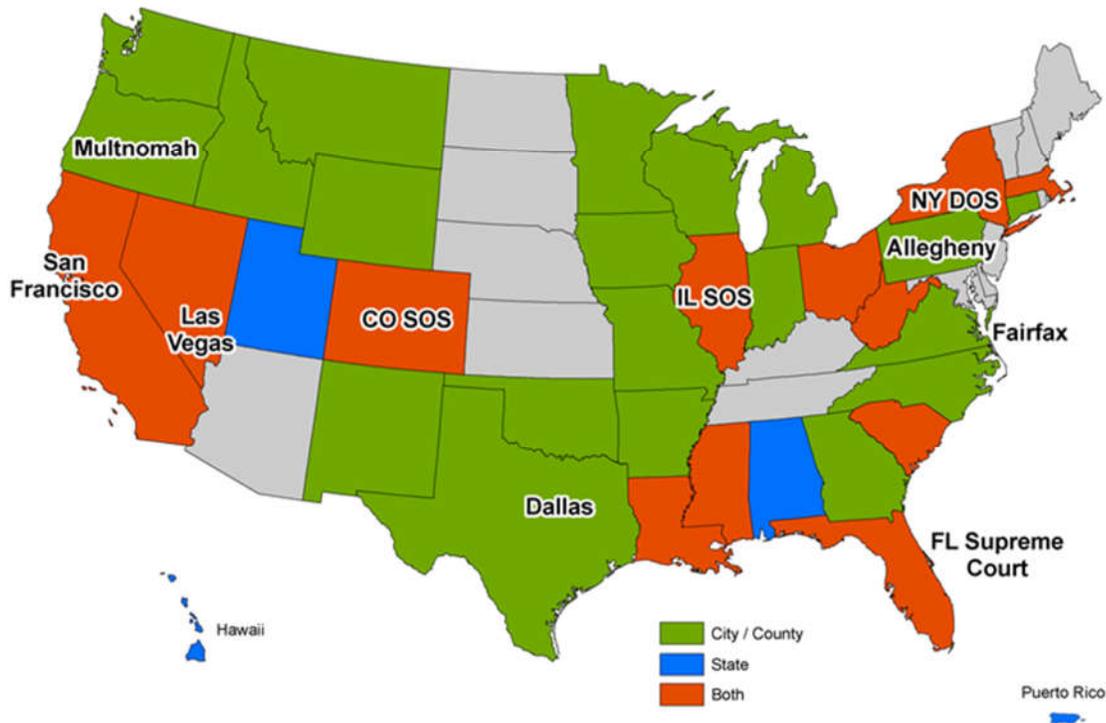
Extract Systems has provided advanced data capture software and services for more than 17 years. Extract was one of the first redaction vendors in the United States and won the first large redaction opportunity with the Florida Association of Court Clerks and Comptrollers to handle the redaction of Social Security Numbers from the official record. This RFP was dated December 1, 2004.

In July of 2014, Extract started providing daily redaction services for AARP using our cloud-based processing model.

Since then, Extract has continued, without interruption, to provide leading redaction software and redaction services in the country. Extract and its advanced redaction solution, ID Shield™, have been chosen by more than 350 county and other government organizations in 38 states. Extract has been chosen by more than 30 software vendors providing mission critical solutions to county governments all across the country. In fact, Extract has been chosen by five times as many software companies as our main competitors combined. It is important to note that each of our partners put Extract Systems and ID Shield through a competitive vetting process to assure they were bringing the best in technology, accuracy, efficiency, support, commitment to customer satisfaction and overall value to their clients. Extract and Extract's partners serve

40%+ of all counties nationwide. With this inventory of future redaction projects, Extract is sure to be a force providing redaction software and services for years to come. Because of our large base of partners and software implementations, ID Shield Advanced Redaction software has been tested and stressed in ways that most redaction solutions have not been tested. Extract's current major software release is 10.6. Our software is mature and has processed nearly three billion image pages. Not one of the millions of redactions that have been applied to these images has failed.

Extract Systems Projects / Implementations



Land Records

1. Clark County, NV
2. Alameda County, CA
3. Oakland County, MI
4. Fairfax County, VA
5. Contra Costa County, CA
6. Travis County, TX
7. Fresno County, CA
8. Macomb County, MI
9. San Francisco County, CA
10. Multnomah County, OR
11. San Mateo County, CA
12. Lake County, IL

*Counties above are in the Top 100 Nationally based on Population

Courts/Justice

1. Travis County, TX
2. Dallas County, TX
3. Cuyahoga County, OH
4. Allegheny County, PA
5. Fairfax County, VA
6. Montgomery County, OH
7. Lucas County, OH
8. East Baton Rouge Parish, LA
9. York County, PA
10. Canton Municipal Court

States

1. Alabama SOS
2. California SOS
3. Colorado SOS
4. Hawaii DCCA
5. Illinois SOS
6. Massachusetts SOC
7. Mississippi SOS
8. New York SOS
9. Nevada SOS
10. Ohio SOS
11. Puerto Rico DOS
12. South Carolina SOS
13. Utah DOC
14. West Virginia SOS

ID Shield has been used to redact documents for 38 Texas counties since 2007.

Redaction Services

Extract's business model includes daily and historical redaction service processing which eliminates the need for customers to purchase hardware and rely on their resources to manage the project. Extract provided on-going redaction services for Old Republic Title Company from 2011 through 2014 as part of a "green" initiative to deliver closing documents on CD. In July of 2014, Extract began providing daily redaction services for AARP using our cloud-based processing model. AARP places records on a secure FTP site on a daily basis. ID Shield monitors the FTP folder throughout the day and begins processing immediately as files are received. **The automated turnaround time is less than one hour for every 2,000 records.**

Extract has been providing historical redaction services at our facility since 2010 processing as many as 10M pages in any given month.

Security

Extract Systems moved to a new facility in October 2013 with the express purpose of improving security. We have installed multiple levels of access security throughout our building to assure proper protection of Denton's records.

1. Building level physical security via key fob access system – only employees who work in the building can enter the building during evening or weekend hours.
2. Suite level physical security via key fob access:

Extract Systems moved to a new facility in late 2013 and has installed multiple levels of access security throughout our physical building to safeguard customers' data.

- a. The office suite has 3 access controlled doors.
- b. Visitors wait outside our reception area until the locked door is opened by an employee. Visitors are restricted to the reception area and conference room by a second key fob controlled door.
- c. Employees are issued access to the office locations based on job responsibility (especially the climate controlled server room).
- d. Access to each locked area is logged, traceable and auditable. A full audit trail report of who, and when someone, passes through each door is available.
- e. Key fobs can be activated or deactivated instantly and remotely.

The office suite has a burglar alarm installed. The burglar alarm system comprises of two door sensors and one motion sensor. There is also a panic button that automatically calls the police.

All employees undergo strict background checks prior to being hired by Extract Systems. In addition, employees in our rule writing, engineering, sales and professional services departments have undergone further security measures including the submission of fingerprints as part of the Criminal Justice Information Services (CJIS) process. CJIS is a division of the United States Federal Bureau of Investigation

(FBI). These security policies provide guidance for creating, viewing, modifying, transmitting, disseminating, storing and destroying information.

Extract will comply with all Information Technology security policies and procedures. In order to maintain confidentiality and ensure proper disposal of county documents, Extract isolates customer documents so we can completely remove Denton's documents from our servers at the appropriate time. By adhering to a policy of isolating customer files, we can safeguard these files from being copied or backed up along with Extract's corporate files. Extract Systems will, when finished using drives or other media for the transmission of county data, destroy the data by erasing, degaussing, re-writing or physically destroying or disabling the media in such a way that county data is irretrievable.

Executive Summary (About Extract Systems Response)

Extract has a clear understanding of the West Virginia Secretary of State's redaction requirements having completed redaction software and service projects for similar offices in 13 other states, and recently working with the WV SOS to redact Charities and Corporations documents. We reviewed the examples provided by the Secretary of State and are confident we will have no issues identifying PII in the required documents with an accuracy rate greater than 98 percent.

Extract has reviewed and meets all Mandatory Requirements for the UCC redaction project. We have provided an Executive Summary detailing our understanding of Secretary of State's expectations in our words.

The SOS is interested in contracting a vendor to provide the following services:

1. **Historical Redaction** - the one-time redaction of up to 170,000 "image pages" (understanding the actual number may be slightly higher or lower).
 - a. Extract will download images from the SOS FTP site
 - b. Date range of documents is primarily from 1975 to 2015
 - c. The input format is .tiff or .pdf and the required output is .pdf
 - d. Document types to be redacted are UCC documents
 - e. Must adhere to State of West Virginia Confidentiality Policies and Information Security Accountability Requirements
 - f. PII to be redacted is SSN, Tax ID, Bank Account, Driver's License Number typically located in the following locations
 - i. Page 1 – boxes 1d, 2d, and 4
 - ii. Page 2 – boxes 7g and 8
 - iii. Page 3 – box 4
 - iv. Page 4 – box 8
 - v. Page 5 – box 3
 - vi. There is often handwritten PII in the margins of documents as well
 - g. Extract will provide a delivery estimate once we have a signed Scope of Work and all images for the project
 - h. All documents will be processed through our redaction software ID Shield and all sensitive data items will be labeled as High Confidence, Medium Confidence, Low Confidence or Clues.
 - i. Our Verification staff will manually review all images pages identified by the software; and then our QA staff will review verifier results and review a sample set of images that were not flagged as containing sensitive information
 - j. Required accuracy rate is 98 percent or higher
 - k. Documents containing redactions, status reports, and indexing spreadsheet to be delivered on an agreed upon schedule
 - l. All data must be deleted from Extract systems within ten days of project completion

We believe this summary demonstrates that we understand the intent of the CRFQ and the Mandatory Requirements.

Extract’s response is intended to show the confidence we have in our ability to handle your requirements. Our response also gently points out some of the areas where competitive marketing has outrun real world value.

There are “soft value” questions that rarely show up in an RFP or RFQ. They are, nevertheless, important to a successful implementation and successful relationship. These are questions about what it is like to work with Extract. How hard and how long will Extract work to make sure each and every project is a bona fide success? Will Extract deliver on every promise: promised accuracy rates, promised delivery dates, promised support commitments? Since Extract has completed two prior projects for the West Virginia SOS your team should be very familiar with the quality of our redaction services. We’d encourage the WV SOS to please contact the individuals provided within our list of references -- and by all means, please contact us for additional references if you would like further information from the perspective of our customers.

Pricing

Extract has provided two pricing options. The first options is based on experience working with 14 other secretaries of state and assumes using a combination of technology and manual review we can minimize the percentage of documents to be reviewed. The amendment indicating there is frequently handwritten information written in margins offers some concern. Again, we feel technology can be used to identify if there is handwritten information in the margins and flag those documents for review.

Option 1 – Includes automated processing plus manual verification of up to 50% of image pages

| ID Shield Back File Pricing | | |
|-----------------------------|---------------------|--------------------|
| Transactional License | Description | Minimum Purchase |
| | Images Processed | 170,000 |
| | Per Image Page Cost | \$0.06100 |
| | Total Cost | \$10,370.00 |

The second pricing option is if there is so much handwritten information in margins that we are required to review 100% of the image pages. We don’t believe this will be necessary, but have provided it as an option. If we determine it is necessary to review all documents after receiving all documents – we will notify the WV SOS prior to starting project.

Option 2 – Includes automated processing plus manual verification of up to 100% of image pages

| ID Shield Back File Pricing | | |
|-----------------------------|---------------------|--------------------|
| Transactional License | Description | Minimum Purchase |
| | Images Processed | 170,000 |
| | Per Image Page Cost | \$0.09000 |
| | Total Cost | \$15,300.00 |

Extract has provided three references where similar redaction services were performed. I'd encourage the SOS to talk with these individuals about their experience working with Extract Systems and the performance of our technology.

Mr. Gary Dameron

IT Manager

Illinois Secretary of State

2701 S. Dirksen Pkwy.

Springfield, IL 62703

(217) 782-3363

gdameron@ilsos.net

- ❖ The Illinois Secretary of State issued an RFP for software and services in early 2011. After an extensive evaluation process they selected Extract Systems and our automated redaction software, ID Shield, to redact 9 million historical image pages and approximately 600,000 image pages annually. In April of 2011 they went "live" at their facility with SOS staff performing validation of images processed through ID Shield with a post-verification accuracy rate of 99.4 percent.

Mr. Dan Wandell

Compliance Officer

Secretary of the Commonwealth

One Ashburton Place, Room 1710

Boston, MA 02108

(617) 878-3010

daniel.wandell@sec.state.ma.us

- ❖ In 2005, the Secretary of the Commonwealth purchased ID Shield to redact social security numbers from hundreds of thousands of documents. The Secretary of the Commonwealth went through an extensive review of several industry solutions. Extract Systems was ultimately selected because ID Shield allowed their office to quickly and cost-effectively redact social security while continuing to provide public access.

Ms. Brenna Sawyer

Project Manager

Fairfax, VA Clerk of Court

4110 Chain Bridge Rd.

Fairfax, VA 22030

(703) 246-4104

brenna.sawyer@fairfaxcounty.gov

- ❖ Fairfax selected Extract Systems and ID Shield for the County's historical and day forward redaction needs. Fairfax awarded Extract Systems the contract after a thorough and extensive yearlong review of responses to their RFP for Redaction. They felt Extract provided the best

option for both software and services. To date, we have processed approximately 40 million historical image pages using ID Shield combined with a review of the pages identified as potentially containing sensitive information with a redaction accuracy rate of 99.5%.

On the upcoming pages we have provided a letter from the Massachusetts Secretary of the Commonwealth issued prior to the Illinois RFP and a letter from NIC regarding their experience working with Extract Systems on three redaction projects.

William Francis Galvin
Secretary of the Commonwealth
One Ashburton Pl.
Boston Ma. 02108
(617) 727-2850

2 December 2010

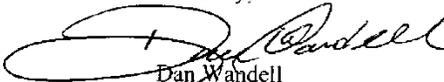
Office of the Illinois Secretary of State
213 State Capitol
Springfield IL 62756

Re: Extract Systems & ID Shield Redaction Software

Approximately four years ago we were faced with a critical need for redaction capabilities presenting our public facing UCC filing images. We required a flexible automatic redaction software solution that provided not only post process review but also a manual change option. We reviewed four industry software solutions and chose ID Shield Redaction Software from Extract Systems.

Since implementing ID Shield into our production operation, we have experienced two software upgrades that have expanded our suite of redaction options. We received timely and cooperative assistance every time we had the occasion to contact software technical support. In short, ID Shield Redaction software delivered all capabilities stated in its marketing literature and Extract Systems software maintenance and support policies and delivery procedures have exceeded our expectations.

Sincerely,



Dan Wandell
Compliance Officer



December 1, 2010

To Whom it May Concern,

As the director of programs and alliances for NIC, I am pleased to write a letter of recommendation for Extract Systems and its ID Shield Redaction technology. NIC is the nation's leading provider of official government websites, online services, and secure payment processing solutions. Our services help reduce costs and increase efficiencies for government agencies, citizens, and businesses across the country. Similarly we expect the same focus on customer and innovation from our strategic alliance partners.

NIC has worked with Extract Systems on several redaction projects since 2008 when we selected ID Shield for a redaction project with the Alabama Secretary of State. We have also used ID Shield to redact images for the Utah Department of Commerce and will soon complete a project for the South Carolina Secretary of State.

Prior to selecting Extract Systems, we conducted a vetting process with a strong emphasis on accuracy, speed of throughput, flexibility and market reputation for which we conducted analysis on several top redaction providers. We found Extract's ID Shield to be accurate, fast and highly configurable for different workflows including manual redaction, automated redaction or a combination of both. As a part of our vetting process we also conducted reference checks and were pleased with Extract's reputation for service and delivery.

When engaging with Extract, we have found their technical support team to be excellent. They are reactive to our needs and approach our customers with the same care and sensitivity as though they were their own direct customers. When they make a commitment, we are able to count on Extract to deliver.

We are pleased with the strategic relationship we have developed with Extract Systems and I am comfortable recommending Extract Systems for any redaction effort, large or small.

Sincerely,


Candace Irven Hausser
Director of Programs and Alliances
chauser@nicusa.com
317-679-1866



Purchasing Division
 2019 Washington Street East
 Post Office Box 50130
 Charleston, WV 25305-0130

State of West Virginia
 Request for Quotation
 33 - Service - Misc

Proc Folder: 357713

Doc Description: Addendum No.01; Redaction Services of UCC Documents

Proc Type: Central Master Agreement

| Date Issued | Solicitation Closes | Solicitation No | Version |
|-------------|------------------------|-------------------------|---------|
| 2017-08-14 | 2017-08-17 13:30:00 | CRFQ 1600 SOS1800000003 | 2 |

BID RECEIVING LOCATION

BID CLERK
 DEPARTMENT OF ADMINISTRATION
 PURCHASING DIVISION
 2019 WASHINGTON ST E
 CHARLESTON WV 25305
 US

VENDOR

Vendor Name, Address and Telephone Number:

FOR INFORMATION CONTACT THE BUYER

Guy Nisbet
 (304) 558-2596
 guy.l.nisbet@wv.gov

Signature X

Troy D. Bunker

FEIN # 439-1925669

DATE 8-16-17

All offers subject to all terms and conditions contained in this solicitation

ADDITIONAL INFORMATION

Addendum

Addendum No.01, issued to publish and distribute the attached information to the vendor community.

**Request for Quotation
Document Redaction Services**

The West Virginia Purchasing Division is soliciting bids on behalf of West Virginia Secretary of State to establish a contract for the one-time purchase of Digital Document Redaction Services, for the agency location 1900 Kanawha Blvd. East, Charleston, WV. 25305, per the bid requirements, specifications and terms and conditions that are contained within the solicitation.

| INVOICE TO | | SUPPLY TO | |
|---------------------|--------------|---------------------|---------------|
| CFO | | SUPPLY CLERK | |
| SECRETARY OF STATE | | SECRETARY OF STATE | |
| BLDG 1 STE 157K | | BLDG 1 STE 157K | |
| 1900 KANAWHA BLVD E | | 1900 KANAWHA BLVD E | |
| CHARLESTON | WV25305-0770 | CHARLESTON | WV 25305-0770 |
| US | | US | |

| Line | Comm Ln Desc | Qty | Unit Issue | Unit Price | Total Price |
|------|-------------------------------------|--------------|------------|------------|-------------|
| 1 | Document redaction of UCC documents | 170000.00000 | PAGE | \$.061 | \$10,370 |

| Comm Code | Manufacturer | Specification | Model # |
|-----------|--------------|---------------|---------|
| 81112005 | | | |

Extended Description :

Agency Estimated Quantity is 170,000 actual amount may be more or less.

SOLICITATION NUMBER: CRFQ 1600 SOS1800000003

Addendum Number:

No.01

The purpose of this addendum is to modify the solicitation identified as ("Solicitation") to reflect the change(s) identified and described below.

Applicable Addendum Category:

- Modify bid opening date and time
- Modify specifications of product or service being sought
- Attachment of vendor questions and responses
- Attachment of pre-bid sign-in sheet
- Correction of error
- Other

Description of Modification to Solicitation:

Addendum issued to publish and distribute the attached documentation to the vendor community.

1. Vendor submitted questions and Agency responses.
2. Publish Attachment A that did not get published with the original publishing of the solicitation.

No other Changes.

Additional Documentation: Documentation related to this Addendum (if any) has been included herewith as Attachment A and is specifically incorporated herein by reference.

Terms and Conditions:

1. All provisions of the Solicitation and other addenda not modified herein shall remain in full force and effect.
2. Vendor should acknowledge receipt of all addenda issued for this Solicitation by completing an Addendum Acknowledgment, a copy of which is included herewith. Failure to acknowledge addenda may result in bid disqualification. The addendum acknowledgement should be submitted with the bid to expedite document processing.

ATTACHMENT A

CRFQ SOS1800000003
Redaction of UCC Documents
Vendor Questions and Agency Responses
08/09/2017

Q.1. Has there been any historical analysis or random sampling to determine approximately how many of the 170,000 documents will require redaction.

A.1. No. it is estimated that 170,000 documents will require redaction services.

Q.2. For those documents requiring redaction, can you estimate the percentage of those documents that will require more than one item to be redacted.

A.2. Unfortunately, no.

Q.3. What percentage of the document population is hand written.

A.3. The greatest percentage of PII will be hand written, but represents a small fraction of each document.

Q.4. What is the condition of the imaged documents in this population

A.4. Not sure if the question is understood, but all documents are clear digital representations of the originals. The older the document, the more likely they could be faded, worn, etc.

Q.5. What is the requested output format for those documents that have been redacted (i.e.; PDF, single-page tiff, etc.). You indicated in the RFQ that source documents are either PDF or Tiff.

A.5. PDF

Q.6. Are the actual documents consistent with the samples? If so, are there variations for documents that are similar to the samples.

A.6. Yes. Yes.

Q.7. When are quotes due?

A.7. According to Purchasing guidelines. The present closing date and time for this solicitation is: 8/17/2017 at 1:30 PM. EDT.

Q.8. What is the date range of the UCC images?

A.8. A small number predate 1975, but consider the bulk from 1975-2015.

Q.9. You've expressed a desire to redact any PII and left it open ended. Are you basically saying you want anything redacted that is provided in the Collateral, Collateral Change or Basis for Claim sections of the UCC?

A.9 Yes and Yes, but also see below A.9.a.

Redaction may be required for any open text area on any of the filing forms. This would be all collateral sections, collateral attachments, and any field allowing a free text description to be entered. In addition, the old forms had a space for SSN which would need redacted on all that used that form.

A. Are these most frequently handwritten? Yes, and most occurrences of PII are handwritten in the margins, unsolicited.

Attachment A

UCC-1

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER (optional)
M. BRIGHT 515-267-4443

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

[Redacted]

200200004978

Dec 13 2002 10:28AM

WI SECRETARY OF STATE

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b) - do not abbreviate or describe names

1a. ORGANIZATION'S NAME
 [Redacted]

OR

1b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

1c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

1d. TAX ID #: SSN OR EIN * ADDL INFO RE ORGANIZATION DEBTOR **1e. TYPE OF ORGANIZATION** **1f. JURISDICTION OF ORGANIZATION** **1g. ORGANIZATIONAL ID #, if any**

CORP WV USA NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (2a or 2b) - do not abbreviate or describe names

2a. ORGANIZATION'S NAME

OR

2b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

2c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

2d. TAX ID #: SSN OR EIN * ADDL INFO RE ORGANIZATION DEBTOR **2e. TYPE OF ORGANIZATION** **2f. JURISDICTION OF ORGANIZATION** **2g. ORGANIZATIONAL ID #, if any**

INDIVIDUAL USA NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNOR of ASSIGNOR OF) - Insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME
JOHN DEERE CONSTRUCTION & FORESTRY COMPANY

OR

3b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

3c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

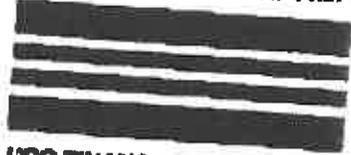
JO IA USA

4. This FINANCING STATEMENT covers the following collateral:

1-JOHN DEERE 203C EXCAVATOR 603068, WITH CAB, 42" BUCKET, AND GRAPPLE

| | | | | | | |
|--|--|--|--|--|--|--|
| 6. ALTERNATIVE DESIGNATION (if applicable) | 7. COLLATERAL | 8. CONSIGNEE/CONSIGNOR | 9. SALES/BALOR | 10. BELLERBUYER | 11. A/LIEN | 12. NON-UCC FILING |
| 13. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) | 14. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) | 15. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) | 16. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) | 17. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) | 18. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) | 19. FILING OFFICE STATEMENT # (SEE INSTRUCTIONS) |
| 8. OPTIONAL FILER REFERENCE DATA | | | | | | |
| SOB | WV | 17550742355 | 12/12/02 | 12/11/02 | | |

FILING OFFICE COPY --- NATIONAL UCC FINANCING STATEMENT (FORM UCC1) (REV. 07/20/00)
 * An individual's social security number is not required to be placed on the form in Wisconsin (See Instructions)



UCC-3

UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS (read and look CAREFULLY)

A. NAME & PHONE OF CONTACT AT FILER OFFICE

UCC DEPARTMENT 1-888-427-8713

B. SEND ACKNOWLEDGMENT TO: (Name and Address)



FILED

AUG 14 2007 02:38PM
MU SECRETARY OF STATE

14. INITIAL FINANCING STATEMENT FILE #

FILE NUM: 200200004978 DATE: 12/15/2002

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

2. TERMINATION: Check boxes of the Financing Statement identified above to be terminated with respect to security interests of the Secured Party authorizing this Termination Statement.

3. CONFIRMATION: Check boxes of the Financing Statement identified above with respect to security interests of the Secured Party authorizing this Confirmation Statement to be confirmed for the additional period provided by applicable law.

4. ASSIGNMENT (full or partial): Give terms of assignment in Item 7a or 7b and address of assignee in Item 7c; and also give name of assignee in Item 8.

5. AMENDMENT (PARTY INFORMATION): Has Amendment affected Debtor OR Secured Party of record. Check only one of these two boxes. Also check one of the following three boxes and provide appropriate information in items 6 and/or 7.

CHANGE responsible address: Follow instructions for detailed instructions in UCC-3. DELETE name: Give name and address to be deleted in Item 6, a, b, c, d, e, f, g, h, i, j, k, l, m, n, o, p, q, r, s, t, u, v, w, x, y, z.

6. CURRENT RECORD INFORMATION: a. ORGANIZATION NAME: [Redacted]

OR b. INDIVIDUAL'S FIRST NAME: [Redacted]

7. CHANGED (NEW) OR ADDED INFORMATION: 7a. ORGANIZATION NAME: [Redacted]

OR 7b. INDIVIDUAL'S LAST NAME: [Redacted]

7c. MAILING ADDRESS: CITY: [Redacted] STATE: [Redacted] POSTAL CODE: [Redacted] COUNTRY: [Redacted]

7d. JURISDICTION OF ORGANIZATION: [Redacted] 7e. ORGANIZATION ID # if any: [Redacted]

8. AMENDMENT (COLLATERAL CHANGE): Check only one box. Describe collateral deleted or added, or give other collateral collateral description or describe additional assigned.

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT (name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or uses the authorizing Debtor, or if this is a continuation authorized by a Debtor, check box and enter name of DEBTOR authorizing this Amendment.

9a. ORGANIZATION NAME: [Redacted]

OR 9b. INDIVIDUAL'S FIRST NAME: [Redacted]

9c. MAILING ADDRESS: CITY: [Redacted] STATE: [Redacted] POSTAL CODE: [Redacted] COUNTRY: [Redacted]

UCC 1

UCC FINANCING STATEMENT
FOLLOW INSTRUCTIONS

| |
|---|
| A. NAME & PHONE OF CONTACT AT FILER |
| B. E-MAIL CONTACT AT FILER |
| C. SEND ACKNOWLEDGMENT TO: (Name and Address) |

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

| | | | | |
|--------------------------|---------------------|-------------------------------|-------------|---------|
| 1a. ORGANIZATION'S NAME | | | | |
| OR | | | | |
| 1b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | | SUFFIX |
| 1c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY |

2. DEBTOR'S NAME: Provide only one Debtor name (2a or 2b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 2b, leave all of item 2 blank, check here and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

| | | | | |
|--------------------------|---------------------|-------------------------------|-------------|---------|
| 2a. ORGANIZATION'S NAME | | | | |
| OR | | | | |
| 2b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | | SUFFIX |
| 2c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY |

3. SECURED PARTY'S NAME (or NAME of ASSIGNEE of ASSIGNOR SECURED PARTY): Provide only one Secured Party name (3a or 3b)

| | | | | |
|--------------------------|---------------------|-------------------------------|-------------|---------|
| 3a. ORGANIZATION'S NAME | | | | |
| OR | | | | |
| 3b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | | SUFFIX |
| 3c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY |

4. COLLATERAL: This financing statement covers the following collateral:

| | | | | |
|---|--|---|--|---|
| 5. Check <u>only</u> if applicable and check <u>only</u> one box: Collateral is <input type="checkbox"/> held in a Trust (see UCC1Ad, item 17 and instructions) <input type="checkbox"/> being administered by a Decedent's Personal Representative | | | | |
| 6a. Check <u>only</u> if applicable and check <u>only</u> one box: | | | | |
| <input type="checkbox"/> Public-Finance Transaction | <input type="checkbox"/> Manufactured-Home Transaction | <input type="checkbox"/> A Debtor is a Transmitting Utility | 6b. Check <u>only</u> if applicable and check <u>only</u> one box: | |
| | | | <input type="checkbox"/> Agricultural Lien | <input type="checkbox"/> Non-UCC Filing |
| 7. ALTERNATIVE DESIGNATION (if applicable): <input type="checkbox"/> Lessee/Lessor <input type="checkbox"/> Consignee/Consignor <input type="checkbox"/> Seller/Buyer <input type="checkbox"/> Bailee/Bailor <input type="checkbox"/> Licensee/Licensee | | | | |
| 8. OPTIONAL FILER REFERENCE DATA: | | | | |

UCC-3

UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS

| |
|---|
| A. NAME & PHONE OF CONTACT AT FILER |
| B. E-MAIL CONTACT AT FILER |
| C. SEND ACKNOWLEDGMENT TO: (Name and Address) |

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE NUMBER

1b. This FINANCING STATEMENT AMENDMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Filer: attach Amendment Addendum (Form UCC3Ad) and provide Debtor's name in item 13

- 2. **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to the security interest(s) of Secured Party authorizing this Termination Statement
- 3. **ASSIGNMENT (full or partial):** Provide name of Assignee in item 7a or 7b, and address of Assignee in item 7c and name of Assignor in item 9. For partial assignment, complete items 7 and 9 and also indicate affected collateral in item 8
- 4. **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to the security interest(s) of Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law

5. **PARTY INFORMATION CHANGE:**

Check one of these two boxes:

AND Check one of these three boxes to:

This Change affects Debtor or Secured Party of record

CHANGE name and/or address: Complete item 6a or 6b; and item 7a or 7b and item 7c

ADD name: Complete item 7a or 7b and item 7c

DELETE name: Give record name to be deleted in item 6a or 6b

6. **CURRENT RECORD INFORMATION:** Complete for Party Information Change - provide only one name (6a or 6b)

| | | | | |
|-------------------------|--------------------------|---------------------|-------------------------------|--------|
| 6a. ORGANIZATION'S NAME | | | | |
| OR | 6b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX |

7. **CHANGED OR ADDED INFORMATION:** Complete for Assignment or Party Information Change - provide only one name (7a or 7b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

| | | | | |
|-------------------------|--------------------------|----------------------------------|--|--------|
| 7a. ORGANIZATION'S NAME | | | | |
| OR | 7b. INDIVIDUAL'S SURNAME | INDIVIDUAL'S FIRST PERSONAL NAME | INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX |

| | | | | |
|---------------------|------|-------|-------------|---------|
| 7c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY |
|---------------------|------|-------|-------------|---------|

8. **COLLATERAL CHANGE:** Also check one of these four boxes: ADD collateral DELETE collateral RESTATE covered collateral ASSIGN collateral. Indicate collateral:

9. **NAME OF SECURED PARTY or RECORD AUTHORIZING THIS AMENDMENT:** Provide only one name (9a or 9b) (name of Assignor, if this is an Assignment). If this is an Amendment authorized by a DEBTOR, check here and provide name of authorizing Debtor

| | | | | |
|-------------------------|--------------------------|---------------------|-------------------------------|--------|
| 9a. ORGANIZATION'S NAME | | | | |
| OR | 9b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX |

10. **OPTIONAL FILER REFERENCE DATA:**



CAUTION:
This is not an amendment.

UCC-5

INFORMATION STATEMENT
FOLLOW INSTRUCTIONS

| |
|--|
| A. NAME & PHONE OF CONTACT AT FILER (optional) |
| B. E-MAIL CONTACT AT FILER (optional) |
| C. SEND ACKNOWLEDGMENT TO: (Name and Address) |
| |
| |
| |
| |

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. Identification of the RECORD to which this INFORMATION STATEMENT relates

| | |
|---|--|
| 1a. INITIAL FINANCING STATEMENT FILE NUMBER | 1b. RECORD INFORMATION TO WHICH THIS INFORMATION STATEMENT RELATES |
|---|--|

2. Check one of these three boxes to indicate the claim made by this INFORMATION STATEMENT

2a. RECORD IS INACCURATE. Enter in item 3 the basis for the belief by the Debtor of Record identified in item 5 that the RECORD identified in item 1 is inaccurate and indicate the manner in which the person believes the RECORD should be amended to cure the inaccuracy

2b. RECORD WAS WRONGFULLY FILED. Enter in item 3 the basis for the belief by the Debtor of Record identified in item 5 that the RECORD identified in item 1 was wrongfully filed

2c. RECORD FILED BY PERSON NOT ENTITLED TO DO SO. Enter in item 3 the basis for the belief by the Secured Party of Record that the person that filed the RECORD identified in item 1 was not entitled to do so under UCC Section 9-509

3. Basis for claim of box checked in item 2

4. If this INFORMATION STATEMENT relates to a RECORD filed (or recorded) in a filing office described in Section 9-501(a)(1) and this INFORMATION STATEMENT is filed in such a filing office, provide the date (and time) on which the INITIAL FINANCING STATEMENT identified in item 1a above was filed (or recorded)

| | |
|----------|----------|
| 4a. DATE | 4b. TIME |
|----------|----------|

5. NAME of PERSON filing this INFORMATION STATEMENT

| | | | |
|--------------------------|---------------------|-------------------------------|--------|
| 5a. ORGANIZATION'S NAME | | | |
| OR | | | |
| 5b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX |

ADDENDUM ACKNOWLEDGEMENT FORM
SOLICITATION NO.:

Instructions: Please acknowledge receipt of all addenda issued with this solicitation by completing this addendum acknowledgment form. Check the box next to each addendum received and sign below. Failure to acknowledge addenda may result in bid disqualification.

Acknowledgment: I hereby acknowledge receipt of the following addenda and have made the necessary revisions to my proposal, plans and/or specification, etc.

Addendum Numbers Received:

(Check the box next to each addendum received)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Addendum No. 1 | <input type="checkbox"/> Addendum No. 6 |
| <input type="checkbox"/> Addendum No. 2 | <input type="checkbox"/> Addendum No. 7 |
| <input type="checkbox"/> Addendum No. 3 | <input type="checkbox"/> Addendum No. 8 |
| <input type="checkbox"/> Addendum No. 4 | <input type="checkbox"/> Addendum No. 9 |
| <input type="checkbox"/> Addendum No. 5 | <input type="checkbox"/> Addendum No. 10 |

I understand that failure to confirm the receipt of addenda may be cause for rejection of this bid. I further understand that any verbal representation made or assumed to be made during any oral discussion held between Vendor's representatives and any state personnel is not binding. Only the information issued in writing and added to the specifications by an official addendum is binding.

Company

Troy D. Bunker

Authorized Signature

Date

NOTE: This addendum acknowledgment should be submitted with the bid to expedite document processing.
 Revised 6/8/2012