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Procurement

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Solicitation Response(SR)

Dept: 0704

ID: ESR06191800000005956

Ver.: 1

Function: New

Phase: Final

Modified by batch , 06/19/2018

Header 1

List View

General Information

Contact

Default Values

Discount

Document Information

Procurement Folder: 458532

SO Doc Code: CRFQ

Procurement Type: Central Contract - Fixed Amt

SO Dept: 0704

Vendor ID: 000000100824



SO Doc ID: INS1800000002

Legal Name: PUBLIC CONSULTING GROUP INC

Published Date: 6/12/18

Alias/DBA:

Close Date: 6/19/18

Total Bid: \$175,000.00

Close Time: 13:30

Response Date: 06/19/2018



Status: Closed

Apply Default Values to Commodity Lines

View Procurement Folder



Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

State of West Virginia
Solicitation Response

Proc Folder : 458532

Solicitation Description : Addendum No. 1 - Drug Formulary Review

Proc Type : Central Contract - Fixed Amt

Date issued	Solicitation Closes	Solicitation Response	Version
	2018-06-19 13:30:00	SR 0704 ESR06191800000005956	1

VENDOR

000000100824

PUBLIC CONSULTING GROUP INC

Solicitation Number: CRFQ 0704 INS1800000002

Total Bid : \$175,000.00

Response Date: 2018-06-19

Response Time: 11:13:50

Comments: No additional comments. All information in response to Solicitation INS1800000002 is included in our attached document.

FOR INFORMATION CONTACT THE BUYER

Michelle L Childers
(304) 558-2063
michelle.l.childers@wv.gov

Signature on File

FEIN #

DATE

All offers subject to all terms and conditions contained in this solicitation

Line	Comm Ln Desc	Qty	Unit Issue	Unit Price	Ln Total Or Contract Amount
1	Pharmaceutical research services				\$175,000.00

Comm Code	Manufacturer	Specification	Model #
85101701			

Extended Description :	Pharmaceutical research services
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West Virginia Offices of the Insurance Commissioner

Drug Formulary Review

June 19, 2018

RFP#: CRFQ 0704 INS1800000002

Michelle Childers
2019 Washington Street, East
Charleston, WV 25305



148 State Street, Tenth Floor, Boston, Massachusetts 02109
Tel. (617) 426-2026, Fax. (617) 426-4632
www.publicconsultinggroup.com

I. Transmittal Letter





Public Focus. Proven Results.™

June 19, 2018

Michelle L. Childers
Senior Buyer
Department of Administration, Purchasing Division
2019 Washington Street E
Charleston, WV 25305

Dear Ms. Childers:

Public Consulting Group, Inc. (PCG) is pleased to present this quote to the West Virginia Offices of the Insurance Commissioner (WVOIC) in response to *RFQ 070 4INS1800000002* seeking assistance with WVOIC's prescription drug formulary review process.

PCG's Health Practice Area has developed a niche with state insurance departments. Over the past five years, PCG has established a specific bringing **health insurance form reviews into compliance with major changes in federal and/or state law**. We have a five-part, end-to-end process for doing so, which includes:

1. Assessing current form review policy manuals and compliance checklists to establish a baseline.
2. Providing a comprehensive inventory of federal and/or state laws and regulations that require review, with special attention paid to recent regulatory changes.
3. Designing, developing and implementing a comprehensive process for assuring compliance that is both prospective and retrospective.
4. Drafting bulletins for the Commissioner's Office to communicate the changes.
5. Training compliance staff and carrier representatives on the changes.

Members of this proposed project team are working in several other states and have built impressive formulary review skill sets. PCG has been engaged by the **Pennsylvania Insurance Department** in a very similar scope of work. In addition to providing technical assistance to Pennsylvania's formulary review, PCG is supporting **Rhode Island, the District of Columbia, and Illinois** in their implementation of **health insurance enforcement and consumer protection initiatives**. This work largely includes a thorough peer state research initiative to ultimately inform the **development of standard operating procedures, tools, and additional review resources to monitor and enforce insurer compliance** with applicable state and federal market reform and consumer protection requirements.

This experience will provide PCG's proposed project team with the advantage of immediately supporting WVOIC, following the kick off call. Our experience with Rhode Island, the District of Columbia, and Illinois

closely align with the tasks WVOIC is seeking. Through our expertise providing these services, we are able to offer WVOIC with a strong appreciation for the prescription drug formulary review process.

Additionally, PCG will provide the WVOIC with subject matter expertise in **health policy**, and a regulatory relationship to insurance compliance.

This quote serves as PCG's proposed approach and budget for the completion of the services requested below. PCG is prepared to perform the following tasks:

1. **Review formularies for ACA-compliant plans for 2019 and 2020 plan years** for compliance with all applicable state and federal laws and guidance, including federal **nondiscrimination standards**.
2. **Communicate questions and comments regarding the formularies** with WVOIC as well as with insurers, as directed by WVOIC.
3. **Develop standard operating procedures (SOPs) and/or tools** for WVOIC staff to use when reviewing formularies in future plan years.
4. **Assess whether additional templates and/or guidance to insurers** are appropriate to enable WVOIC and the contractors to **effectively and efficiently evaluate formularies for compliance** with applicable state and federal laws and guidance.
5. **Provide training to Department staff** on use of all SOPs, tools, and templates developed.
6. **Provide training to insurers** on use of tools and templates developed.
7. Analyze previously approved formularies to **identify areas of potential discrimination** and potential trends of nondiscrimination, and produce a report documenting the analysis.
8. **Coordinate with other WVOIC consultants on related tasks.**

PCG's recommended workplan and timeline for the completion of the services requested in the RFQ can be found on the following page.

(The remainder of this page has been left intentionally blank.)

Proposed Workplan and Timeline		
Major Activity	Start Date	End Date
Review formularies for all ACA-compliant plans in the individual and small group markets	7/9/18	7/25/18
Communicate with WVOIC and insurers	7/9/18	7/25/18
Develop standard operating procedures and/or tools for WVOIC staff	1/1/19	6/1/19
Assess need for additional templates and/or insurer guidance	7/9/18	12/31/19
WVOIC Staff Training	2/15/19	8/1/19
Insurer Training	2/15/19	8/1/19
Analyze previously approved formularies	1/1/19	7/1/19
Coordination with WVOIC Consultants	7/9/18	7/8/19
Project Management	7/9/18	7/8/19

References

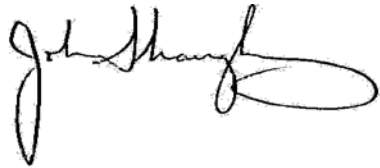
Per RFQ instructions, PCG details three projects performed that are similar in nature and scope to the requirements contained in the Mandatory Requirements section of the RFQ.

Company Name and Address	Contact Information	Project Name	Project Start and End Dates	Brief Description of the Project
Pennsylvania Insurance Department – 1311 Strawberry Square, Harrisburg, PA 17120	Tracy Bixler, Life & Health Insurance Policy Examiner Supervisor: (717) 783-2112, tbixler@pa.gov	Technical Assistance with Preparation for Conducting QHP Plan Management Activities	July 2017 – October 2018	The Pennsylvania Insurance Department (PID) contracted with PCG to provide technical assistance in the review of formularies for ACA-compliant plans in the individual and small group markets.
Rhode Island Office of the Health Insurance Commissioner – 1511 Pontiac Avenue, Building 69-1, Cranston, RI 02920	Linda Johnson, Operations Director: (401) 462-9642, Linda.Johnson@ohic.ri.gov	Enforcement and Consumer Protection Grant	March 2017 – December 2018	The Rhode Island Office of the Health Insurance Commissioner (OHIC) contracted with PCG to provide pharmacy and therapeutics health insurance expertise and to study the potential for discrimination in formulary design and development.
New Hampshire Insurance Department – 21 South Fruit Street, Concord, NH 03301	Michael Wilkey, Director of Compliance and Consumer Services: (603) 271-2261	Plan Management Consultants	February 2013 – December 2018	PCG was contracted to support the New Hampshire Insurance Department's efforts to design, develop, and implement processes to certify and monitor qualified health plans (QHPs) participating in

Company Name and Address	Contact Information	Project Name	Project Start and End Dates	Brief Description of the Project
	Michael.Wilkey@ihs.nh.gov			the state's Federally-Facilitated Marketplace.

Please feel free to direct any questions about this quote to Rich Albertoni at (608) 204-5806 or ralbertoni@pcgus.com

Sincerely,



John Shaughnessy
Practice Area Director

II. Pricing





Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

State of West Virginia
Request for Quotation
10 — Consulting

Proc Folder: 458532

Doc Description: Addendum No. 1 - Drug Formulary Review

Proc Type: Central Contract - Fixed Amt

Date Issued	Solicitation Closes	Solicitation No	Version
2018-06-12	2018-06-19 13:30:00	CRFQ 0704 INS1800000002	2

BID RECEIVING LOCATION

BID CLERK

DEPARTMENT OF ADMINISTRATION

PURCHASING DIVISION

2019 WASHINGTON ST E

CHARLESTON

WV

25305

US

VENDOR

Vendor Name, Address and Telephone Number:

FOR INFORMATION CONTACT THE BUYER

Michelle L Childers

(304) 558-2063

michelle.l.childers@wv.gov

Signature X

FEIN #

DATE

All offers subject to all terms and conditions contained in this solicitation

ADDITIONAL INFORMATION:

Addendum

Addendum No. 1 issued to publish the vendor questions and agency answers.

End of Addendum

Request for Quotation

The West Virginia Purchasing Division is soliciting bids on behalf of The West Virginia Offices of the Insurance Commissioner (WVOIC) to establish a contract for a review of insurers' prescription drug formularies, to better protect consumers and to prevent discrimination in the pricing of prescription drugs. The contractor will develop a standard operating procedures (SOP's) protocol, tools and templates to enable the WVOIC staff to better evaluate the drug formulary and to provide training to the WVOIC on the use of the SOP's, tools and templates. The Commission's goal is to improve regulatory oversight in this area, thereby benefitting the West Virginia consumers.

INVOICE TO		SHIP TO	
ACCOUNTS PAYABLE MANAGER OFFICES OF THE INSURANCE COMMISSIONER PO BOX 50540		OFFICES OF THE INSURANCE COMMISSIONER 900 PENNSYLVANIA AVE	
CHARLESTON	WV25305-0540	CHARLESTON	WV 25302
US		US	

Line	Comm Ln Desc	Qty	Unit Issue	Unit Price	Total Price
1	Pharmaceutical research services	0.00000			

Comm Code	Manufacturer	Specification	Model #
85101701			

Extended Description :

Pharmaceutical research services

III. Resumes



PETER HANEY**SENIOR CONSULTANT AT PUBLIC CONSULTING GROUP, INC.**

Mr. Haney, a Senior Consultant based in Philadelphia, has been with the firm for over six years and specializes in project management, stakeholder engagement, and health policy research for his clients. Mr. Haney is currently serving as the Project Manager on four engagements with state insurance departments as the agencies implement their Health Insurance Enforcement and Consumer Protection grants. Mr. Haney also manages an engagement with the Delaware Center for Health Innovation to assist the state implement several payment and delivery system transformation initiatives.

RELEVANT PROJECT EXPERIENCE**Illinois Department of Insurance, Illinois****Validation Tools Development** (March 2018 – Present): Project Manager

Project: The Illinois Department of Insurance (IDOI) received a federal grant for the purpose of planning and implementing the Insurance Market Reforms under *Part A of Title XXVII of the Public Health Services Act (PHSA)*. IDOI is using a portion of the federal grant dollars to develop and implement standard operating procedures and best practices to ensure plan compliance with Insurance Market Reforms. IDOI contracted with Public Consulting Group to develop tools to facilitate the review of forms and filings of health benefit plans filed with IDOI. Specifically, IDOI is interested in the development of validation tools to evaluate compliance with. 26 C.F.R. § 54.9815-2713, Coverage of Preventive Health Services, as set forth by the PHSA, and with Section 2706, Non-Discrimination in Health Care, under Title XXVII of the PHSA. 42 U.S.C. § 300gg-5, § 300gg-13, 26 U.S.C. § 9815.

Mr. Haney: Serves as the Project Manager for this engagement and is responsible for the delivery of all project deliverables and supporting documentation on time and on budget. Mr. Haney facilitated a comprehensive peer state research initiative to identify approaches to monitoring and enforcing compliance with market reform and consumer protection requirements. He also led the development of updated form review and network adequacy checklists, along with a new mental health parity supporting documentation template.

Department of Insurance, Securities, and Banking, District of Columbia**Market Reforms Technology** (October 2017 – Present): Project Manager

Project: The District of Columbia Department of Insurance, Securities, and Banking (DISB) engaged PCG to provide services in support of DISB's implementation of Insurance Market Reforms under Part A of Title XXVII of the Public Health Service Act ("PHSA"). DISB engaged PCG to develop tools and processes to review plans for discriminatory benefit design as restricted under section 2707 of the PHSA; and to develop tools and processes for a more efficient review of health plan compliance with parity requirements in coverage of mental health and substance use disorder services, as specified forth under section 2726 of the PHSA.

Mr. Haney: Serves as the Project Manager and ensures all project work is completed on time and on budget. Mr. Haney coordinated a peer state research initiative to share key findings and lessons learned from other states with DISB. Mr. Haney assisted in developing a revised discriminatory benefit design checklist for DISB staff.

Insurance Department, Commonwealth of Pennsylvania**Technical Assistance with Formulary Review** (July 2017 – Present): Project Manager

Project: The Pennsylvania Insurance Department (PID) engaged PCG to provide technical assistance to PID in review of the formularies for ACA-compliant plans in the individual and small group markets. PCG will work with the PID's Bureau of Life, Accident, and Health Product Regulation, and may also work with other Commonwealth staff as determined by PID.

Mr. Haney: Serves as the Project Manager and ensures all project work is completed on time and on budget. Mr. Haney also assisted in reviewing formularies for compliance and shared insights and findings with the client.

Technical Assistance with Large Group Checklist Review (April 2018 – Present): Project Manager

Project: The Pennsylvania Insurance Department (PID) contracted PCG to review PID's large group form review checklist and provide recommendations on enhancements to the checklist and PID's review process. PCG is working with PID's Bureau of Life, Accident, and Health Insurance Product Regulation to review and suggest improvements to the existing large group checklist and associated review process. In addition, PCG is assisting PID to review health insurance forms for the large group market for ACA compliance, including compliance with Sections 2726, 2707, 2713, and 2719 of the Public Health Services Act. PCG will also be responsible for training staff on new or updated internal resources, such as checklists.

Mr. Haney: Serves as the Project Manager and ensures all project work is completed on time and on budget. Mr. Haney is also responsible for a review of past large group filings for compliance with all relevant state and federal laws and for reviewing the existing PID large group checklists and providing suggested improvements to increase efficiency and ensure filings follow state and federal law. Mr. Haney will also provide training to PID staff on new and updated resources.

Technical Assistance Comparison of Mental Health Parity Review Processes (April 2018 – Present): Project Manager

Project: The Pennsylvania Insurance Department (PID) contracted PCG to provide new resources and trainings for PID staff to enhance review of comprehensive major medical form submissions in the individual and small group markets regarding Mental Health and Substance Use Disorder parity. Working with the PID's Bureau of Life, Accident, and Health Insurance Product Regulation is reviewing existing PID resources and tools, as well as those used by other regulators and states to suggest new guidance and checklists to increase efficiency and ensure filings are reviewed in compliance with state and federal law, specifically with respect to Section 2726 of Part A of title XXVII of the Public Health Services (PHS) Act. Guidance and resources will be issued publicly for use in submitting and reviewing filings for plan year 2019 and beyond.

Mr. Haney: Serves as the Project Manager and ensures all project work is completed on time and on budget.

Office of the Health Insurance Commissioner, State of Rhode Island

Enforcement and Consumer Protection Grant (March 2017 – Present): Project Manager

Project: The Rhode Island Office of the Health Insurance Commissioner (OHIC) contracted with PCG to provide pharmacy and therapeutics health insurance expertise and to study the potential for discrimination in formulary design and development.

Mr. Haney: Serves as the Project Manager and ensures all project work is completed on time and on budget. Mr. Haney also assisted in the development of proposed enhancements to OHIC's form filing review process. Mr. Haney conducted an environmental scan of potential discriminatory benefit designs in other states and identified state-specific review tools developed in other states for OHIC's review.

Delaware Center for Health Innovation**Board and Committee Support (January 2017 – Present): Project Manager**

Project: PCG provides support to the DCHI's Board, Payment Model Monitoring Committee, Clinical Committee, Workforce and Education Committee, and Patient and Consumer Advisory Committee. The intent of this work will be to support each committee in developing and achieving goals, objectives and milestones critical to the success of the committee's efforts.

Mr. Haney: Current work includes supporting the implementation of primary care practice transformation, adoption of value based payment models, behavioral health care integration, and a health care workforce training curriculum to prepare providers to operate in a transformed system of care. Mr. Haney works directly with the DCHI Executive Director to prepare meeting materials for all stakeholder meetings and actively assists in facilitating discussion during Committee meetings to achieve consensus across stakeholders representing hospital systems, practices, and payers.

Department of Insurance, State of Delaware**Health Insurance Rate Review (June 2015 – March 2017): Consultant**

Project: PCG worked with the Delaware Department of Insurance (DOI) to expand upon and improve the quality and efficiency of its premium rate review process. Specifically, the DOI is looking to identify and understand the discreet factors that impact rate increases and how the regulatory agency can work with issuers and state policy groups to better address the rising cost of health coverage.

Mr. Haney: Served as the project lead for the rate review stakeholder engagement scope of work and provides project management and grant management support to the DOI. Mr. Haney worked with the DOI to enhance transparency and provide readily accessible and consumer-friendly information to the public, enrollees, policyholders, and agency stakeholders to help evaluate proposed rate increases and the reasons the rate increases are approved or not approved. Mr. Haney also developed a questionnaire tailored to Delaware QHP issuers to assess the impact of essential health benefits and QHP Standards on Delaware health insurance premiums. Mr. Haney and the project team compiled issuer responses, summarized key takeaways, and worked with the DOI senior management to incorporate feedback into the following year's review process. This included the development of new, Delaware-specific data collection templates to enhance the rate review process moving forward.

Insurance Department, Commonwealth of Pennsylvania**Technical Assistance with Preparation for Conducting QHP Plan Management Activities (April 2016 – August 2016): Consultant**

Project: The Pennsylvania Insurance Department (PID) contracted with PCG to help prepare the Department to conduct plan management activities in connection with federally-facilitated Health Insurance Marketplace. PID has conducted traditional form and rate review for the individual and small group markets, and additionally will be conducting plan management activities on behalf of the FFM for the 2017 plan year and beyond. PCG is working with the PID's Bureau of Life, Accident, and Health Product Regulation to establish workflows, policies and procedures, and, as necessary, guidance to issuers and staff training to support PID review of Qualified Health Plans (QHPs).

Mr. Haney: Worked with PID to develop draft workflows which outline all of the necessary steps and dependencies built into the PID's current process for form and rate filing intake, form review,

binder intake, and binder reviews. The PID also works with the Pennsylvania Bureau of Managed Care (BMC) for portions of the binder review, which include a review of an issuer's accreditation, service area, network access and adequacy, and essential community providers. Mr. Haney worked with PID to document these BMC dependencies and circulated as a reference document for the entire PID QHP review team. Mr. Haney also assisted in the development of a detailed QHP Review Tracker to guide the PID team through the QHP intake and review procedures. The tracker allowed staff to review items for compliance and indicate the status of their review, and also provided the PID with a list of state-specific and federal standards and requirements to facilitate the review. Mr. Haney also assisted in developing a QHP Review Manual and in providing recommendations regarding changes to issuer guidance.

Health Care Commission, State of Delaware

State Innovation Model Workgroup Support (October 2015 – December 2016): Consultant

Project: PCG provides support to the existing Workforce and Education Committee under Delaware's State Innovation Model work and the Delaware Health Care Commission's End of Life and Palliative Care Committee. The intent of this work will be to support each committee in developing and achieving goals, objectives and milestones critical to the success of the committee's efforts.

Mr. Haney: Leads PCG's engagement with the Workforce and Education Committee and is currently working with the Committee chair, along with leaders from the Delaware Health Care Commission, to conduct research on topics relevant to the work of the committee and present findings for incorporation into workgroup discussions and deliverables. He also develops outlines and content for consensus papers, assists in drafting Request for Proposal (RFP) documents and establish and oversee associated requirements and timelines.

Insurance Department, State of New Hampshire

Continuity of Care/Medicaid Expansion (January 2015 – June 2015): Consultant

Project: Perform policy advising services related to New Hampshire's Premium Assistance Title XIX Section 1115 waiver demonstration project. Project goals include covering the state's newly eligible MAGI population through a premium assistance program allowing the purchase of a Marketplace Qualified Health plan using Medicaid funds.

Mr. Haney: Provided project management support and health policy research expertise to the client.

Health Insurance Marketplace, State of Delaware

Health Insurance Marketplace Consulting (April 2014 – December 2014): Plan Management Consultant

Project: PCG was engaged with the State of Delaware as the "one-stop shop" for all things related to the state's Partnership Health Insurance Marketplace. PCG worked with the state across all programmatic areas of the Marketplace, including Consumer Assistance, Communications and Outreach, and Plan Management. With PCG's assistance, Delaware's Marketplace exceeded federal enrollment targets by nearly 80%.

Mr. Haney: Mr. Haney was responsible for taking the lead on analyzing all policy and regulatory research matters that could have a potential impact on the Marketplace. Mr. Haney guided the client through the process and helped the Marketplace craft their response and comments to proposed federal regulations.

Arkansas Health Insurance Marketplace

Section 1332 Waiver (August 2014 – January 2015): Consultant

Project: In addition to PCG's engagement with AHIM as the Project Management Office, PCG contracted with the AHIM Board to complete and deliver a detailed concept paper and project work plan for an Affordable Care Act Section 1332 State Innovation Waiver.

Mr. Haney: Mr. Haney was responsible for describing the federal regulations governing 1332 waivers, the logistics of the application process, and all of the monitoring, compliance, and reporting functionalities the State would need to take on to submit a complete application package.

Health Policy Commission, Commonwealth of Massachusetts

Community Hospital Study (January 2015 – June 2015): Consultant

Project: PCG is tasked with an analysis of acute care supply and identification of opportunities to support community hospitals' alignment of services with community needs and to support public and private sector health resource planning and investment.

Mr. Haney: Providing expertise and recommendations on community hospitals capacity, the community need, care delivery, payment models, and barriers to transformation for the Massachusetts Health Policy Commission. Our qualitative analysis includes strategies to support structural transformation of community hospitals to inform policy initiatives and to facilitate hospital strategic planning and engagement in transformation.

PROFESSIONAL BACKGROUND

Public Consulting Group, Boston, MA

July 2011 – Present

EDUCATION

Temple University, Fox School of Business, Philadelphia, PA

Master of Business Administration, 2018

College of the Holy Cross, Worcester, MA

Bachelor of Arts in Economics, 2011

MICHAEL A. MERGENER, R.Ph., PhD.**PHARMACIST CONSULTANT**

Michael Mergener, R.Ph., Ph.D., brings over 21 years of experience as a private sector pharmacy consultant, sixteen years of academic experience, and eight years of experience as pharmacist in a community pharmacy setting. He spent many years as a pharmacist consultant to Wisconsin Medicaid. In that role, he helped the Wisconsin Department of Health Services implement its Preferred Drug List in ways that balanced maximizing clinical outcomes while minimizing costs. Mr. Mergener has worked with PCG in several other state insurance department projects.

RELEVANT EXPERIENCE

June 2009 to February 2015 Pharmacist consultant for APS Healthcare. Current projects include opportunity analyses for Medicaid clients for disease management and medication management interventions. Development of alerts and criteria for pharmacy alerts for disease management clients. Design and implementation of pharmacy programs. Manager of methodology and product development

October 1994 to June 2009. Michael Mergener was Chief Pharmacist for the Wisconsin Medicaid Evaluation and Decision Support Project (MEDS) for the consulting division of APS Healthcare and is responsible for administering the drug utilization review program for the State of Wisconsin Medicaid Program; providing consulting and analytical services to the Division of Health Care Financing on drugs and drug related issues; trend analysis; design, analysis and assessment of targeted drug interventions. Provided services to the state on formulary development, preferred drug list criteria, cost savings initiatives, and program impact. Dr. Mergener oversaw pharmacy and clinical services provided to the Medicaid program.

September 1991 to August 1994. Professor and Director of Postgraduate Professional Education for University of the Pacific School of Pharmacy; responsible for all aspects of program development including budgeting and personnel management. Also participated in undergraduate and graduate teaching; research and publication; student advising and counseling; grant proposal submissions; community service; special administrative duties as assigned.

September 1986 to September 1991. Associate Professor and Director of Postgraduate Professional Education for University of the Pacific School of Pharmacy.

October 1982 to September 1986. Assistant Professor and Director of Postgraduate Professional Education for University of the Pacific School of Pharmacy.

June 1978 to September 1982. Assistant Professor for University of Wisconsin-Extension, Extension Services in Pharmacy; program development and evaluation including responsibility for courses offered through the Educational Telephone Network and development of audiocassette courses for pharmacists, teaching courses on therapeutics to nurses, physical and occupational therapists, and drug abuse counselors; research and publication; community service.

January 1975 to June 1978. Research Assistant for University of Wisconsin-Extension, Extension Services in Pharmacy.

EDUCATION

University of Wisconsin–Madison, Madison, WI

Ph.D., Pharmacy, 1978

University of Wisconsin–Madison, Madison, WI
Master of Science, Pharmacy, 1976

University of Wisconsin–Madison, Madison, WI
Bachelor of Science, Pharmacy, 1973

CERTIFICATIONS

Pharmacist License, State of Wisconsin, Number 8583, received April 24, 1974. Currently licensed through May, 2020.

PUBLICATIONS, PRESENTATIONS, AND ADDITIONAL RELATED EXPERIENCE

Publications:

- Mergener, Michael A. "Continuing Education Methods: Effectiveness and Cost," Wisconsin Pharmacist 45:309 (1976)
- Michael A. Mergener, and Weinswig, Melvin H. "Detailmen: Their Pharmaceutical Knowledge and Continuing Education," Medical Marketing and Media 12(8):26 (August 1977)
- Mergener, Michael A. and Weinswig, Melvin H. "A Case for a Professional Development Degree in Pharmacy," Wisconsin Pharmacist 46:401 (October 1977) and 422 (November 1977)
- Mergener, Michael A. and Weinswig, Melvin H. "Pharmacists' Motivations Toward Participation in Continuing Education," Wisconsin Pharmacist 47:391 (1978)
- Mergener, Michael A., Weinswig, Melvin H., and Shannon, Michael C. "Motivation Toward Participation in Continuing Education: A Comparison of Iowa Pharmacists with Wisconsin and Indiana Pharmacists," Iowa Pharmacist 34(6):8 (June 1979)
- Mergener, Michael A. and Weinswig, Melvin H. "The Motivations of Pharmacists for Participating in Continuing Education," Am. J. Pharm. Educ. 43:195 (1979)
- Mergener, Michael A. "The Effect of Continuing Education on the Test Scores of a State Licensing Board Examination," Möbius 1(3):12 (July 1981)
- Mergener, Michael A. "The Effect of Mandatory Continuing Education on Pharmacists' Motivations for Participating in Continuing Education," Am. J. Pharm. Educ. 45:273 (1981)
- Mergener, Michael A. "The Pharmacology and Side Effects of the Antipsychotic Drugs," U.S. Pharmacist 7(4):54 (April 1982)
- Herbert, William J., Mergener, Michael A., and DeMuth, James E. "Institutional Support of Continuing Education as a Fringe Benefit for Hospital Pharmacists," Hosp. Pharm. 17:412 (1982)
- Hanson, Alan L. and Mergener, Michael A. "Experiential Learning: Applications from Adult and General Education to Pharmacy Education," Am. J. Pharm. Educ. 47:23 (1983)
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- Mergener, Michael A. "The Learning Process" in Continuing Education in Pharmacy, Arndt, J. A. and Coens, S. J. (ed.) Alexandria, Virginia: American Association of Colleges of Pharmacy, 1987, pp. 175-205.
- Lum, B., McWaters, D., and Mergener, M., "Cancer Detection and the Community Pharmacist" American Pharmacy NS29 (vol. 7):482-487, July 1988
- Gehres, R., Mergener, M., and Sarnoff, D., "Comprehensive Placement Examination for Sequencing Pharmacy Students into Experiential Courses and Determining Appropriate Remediation" Am. J. Pharm. Educ. 53:16, Spring 1989
- Chattopadhyay, T., Catania, P., and Mergener, M. "Outcome of Home Parenteral Antimicrobial Therapy in an Elderly Population" Am. J. Hosp. Pharm. 47:335, Feb 1990
- Chattopadhyay, T., Catania, P., Harralson, A., and Mergener, M. "Effect of Duration on Outcome of Home IV Antibiotic Therapy" California Journal of Hospital Pharmacy 2:24, May 1990
- Ibrahim, O., Catania, P., Mergener, M., and Supernaw R. "Outcome of Cholesterol Screening in a Community Pharmacy" DICP: The Annals of Pharmacotherapy 24:817, Sept. 1990
- Mergener, M. A., "A Preliminary Study to Determine the Amount of Continuing Education Credit to Award for Home Study Programs" Am. J. Pharm. Educ. 55:263 Fall 1991
- Mergener, M. A., "Pharmacy Capsule: Biotechnology and Drugs" Journal of the American Association for Medical Transcription Vol 10, No. 6, p. 18, November-December 1991
- Mergener, M. A., "Pharmacy Capsule: A Drug by Any Other Name" Journal of the American Association for Medical Transcription Vol 11, No. 1, p. 23, January-February 1992
- Williams, P., Lane, J., Murray, W., Kamigaki, M., and Mergener M. "Pharmacokinetics of the Digoxin-Quinidine Interaction via Mixed-Effect Modeling" Clinical Pharmacokinetics 22:66 (1992)
- Knapp, K., Koch, M., Norton, L., and Mergener M. "Work Patterns of Male and Female Pharmacists: A Longitudinal Study 1959-1989" Evaluation and the Health Professions 15(2):231 (1992)
- Mergener, M. A., "Pharmacy Capsule: Teratogenesis" Journal of the American Association for Medical Transcription Vol 11, No. 3, p. 23, May-June 1992
- Mergener, M. A., "Pharmacy Capsule: Tips for Travellers" Journal of the American Association for Medical Transcription Vol 11, No. 4, p. 20, July-August 1992
- Mergener, M. A., "Pharmacy Capsule: The Buzzword in Pharmacy" Journal of the American Association for Medical Transcription Vol. 11, No. 5, p. 20, September-October 1992
- Mergener, M. A., "Pharmacy Capsule: Transdermal Drug Delivery" Journal of the American Association for Medical Transcription Vol. 11, No. 6, p. 21, November-December 1992
- Mergener, M. A., "Pharmacy Capsule: Direct to Consumer Advertising" Journal of the American Association for Medical Transcription Vol. 12, No. 1, p. 17, January-February 1993
- Mergener, M. A. "Pharmacy Capsule: Management of Postoperative Pain," Journal of the American Association for Medical Transcription, Vol. 12, No 3, p. 20, May-June 1993
- Mergener, M. A. "Introduction to Pharmaceutical Technology," In: Exploring Transcription Practices, Introductory Module. American Association for Medical Transcription, Modesto, CA (1993)
- Mergener, M. A., "Pharmacy Capsule: Management of Postoperative Pain," Journal of the American Association for Medical Transcription, Vol 12, No 4, p. 17 July-August 1993
- Mergener, M. A. "Pharmacy Capsule: Searching for the Magic Bullet," Journal of the American Association for Medical Transcription, Vol. 12, No 6, p.18, November-December 1993
- Mergener, M. A. "Pharmacy Capsule: Gene Therapy," Journal of the American Association for Medical Transcription, Vol 13 No 1 p. 21 January-February 1994

- Mergener, M. A. "Pharmacy Capsule: Nature's Medicine Cabinet," Journal of the American Association for Medical Transcription, Vol 13, No 2, p. 20, March-April 1994
- Mergener, M. A. "Pharmacy Capsule: New Drugs on the Market," Journal of the American Association for Medical Transcription, Vol 13, No 3, p. 18, May-June 1994
- Mergener, M., Carr, RM. "Analysis of Anti-Epileptic Drugs in Fee-for-Service Wisconsin Medicaid." *Wisconsin Medical Journal* Vol. 105 (6):36-39. September 2006

PRESENTATIONS:

- "Drugs and Physical Therapy," presented annually to senior class students in physical therapy, School of Allied Health, University of Wisconsin-Madison, 1973-1982
- "Drug Treatment of Arthritis," Wisconsin Arthritis Foundation, Allied Health Section, Prairie du Chien, Wisconsin, September 9, 1978
- "Drugs Which May Interfere with Laboratory Tests," Medical Technician Educational Telephone Network Program, Madison, Wisconsin, March 19, 1980
- "An Overview of Medication Problems," Public Health Nurse Seminar sponsored by Madison General Hospital, Madison, Wisconsin; also served as panelist for Cardiac Medications and Psychiatric Medications, October 25, 1979
- "Medications Management," Expanding Roles of Arthritis Health Professionals, Wisconsin Arthritis Foundation, Allied Health Professions Section, Madison, Wisconsin, November 20, 1980
- "Care and Storage of Medications," Nursing Extension Wisconsin Inactive Nurse Studies (WINS) via the Educational Telephone Network, Madison, Wisconsin, February 9, 1981
- "Factors Influencing Bioavailability," Nursing Extension WINS via the Educational Telephone Network, Madison, Wisconsin, September 28, 1981
- "Drugs and Physical Therapy," Southwest District of the Wisconsin Therapy Association, Madison, Wisconsin, November 19, 1981
- Graduate Seminar for Psychology Majors, School of Social Work, University of Wisconsin-Madison, Topic: Psychotropic Medication, July 8, 1982
- Ibrahim O., Mergener M., Catania H. and Catania P. "A Method to Evaluate DRG Data to Allocate Clinical Pharmacy Resources." American Society of Hospital Pharmacists Midyear Clinical Meeting, Dallas, Texas. Dec 2-6, 1984
- Browne J., Mergener, M., and Patel, R. "A Comparison of Pharmacokinetic Procedures for Dosing Lithium Based on Analysis of Prediction Error: An Evaluative Study." American Society of Hospital Pharmacists Midyear Clinical Meeting, New Orleans, Louisiana. Dec 8-12, 1985
- "Hypertensive Patients" part of a continuing education program entitled "Patient Counseling: Making an Impact" (1987) February 8, Chico, CA; March 8, Santa Rosa, CA; March 15, Pismo Beach, CA
- "Therapeutic Substitution" pharmacy teleconference jointly sponsored by University of the Pacific School of Pharmacy and Pacific Presbyterian Medical Center May 18, 1987
- "Special Session on Drug Literature Evaluation" invited workshop leader. American Society of Hospital Pharmacists 45th Annual Meeting, San Francisco June 6, 1988
- Lum, B., McWaters, D., and Mergener, M. "Community Pharmacists' Perception of Knowledge in Cancer Symptomatology and the Need for Further Education and Development in Cancer Detection" American Association for Cancer Detection, 22nd Annual Meeting, San Diego CA, Dec 1, 1988
- Gehres, R., Mergener, M., And Sarnoff, D., "A Comprehensive Placement Examination for Sequencing Pharmacy Students into Experiential Courses and for Determining Appropriate

Remediation" 89th Annual Meeting, American Association of Colleges of Pharmacy, August 2, 1988 (poster)

- "Drugs Affecting Sexual Function" pharmacy teleconference jointly sponsored by University of the Pacific School of Pharmacy and Pacific Presbyterian Medical Center, May 17, 1989
- Ibrahim, O., Catania, P., Mergener, M., Supernaw R., and Wagner J. "Comparing Consistency of Cholesterol Measurements in a Community Pharmacy with Medical Laboratories" Proc 24th Midyear Clinical Meeting, American Society of Hospital Pharmacists, Dec 1989
- Williams, P., Mergener, M., "Effect of Concurrent Quinidine on the Predictability of Serum Digoxin Levels" 47th Annual Meeting, American Society of Hospital Pharmacists, June 7, 1990
- Williams, P., Lane, J., Murray, W., Mergener, M., and Kamigaki, M. Command Performance Report "Pharmacokinetics of the Digoxin-Quinidine Interaction Via Mixed Effects Modeling" 25th Midyear Clinical Meeting, American Society of Hospital Pharmacists, Dec 4, 1990
- Williams, P., Mergener, M., and Nakamoto C., "Quinidine Population Pharmacokinetics: Direct Comparison of Three Methods" 25th Midyear Clinical Meeting, American Society of Hospital Pharmacists, Dec 6, 1990
- "Drugs Affecting Sexual Function" VA Administration pharmacy teleconference August 7, 1992
- Christiansen, B., Mergener, M., Nisbet, K., "Health Care Cost Containment-Managing Data To Control Health Care Costs" Employment Regulations in Wisconsin presented by the Institute of Business Law, California State University, Los Angeles, Milwaukee, February 21-22, 1996
- Mergener, M., "Pitfalls and Opportunities: Working with Medicaid" Managing the Prescription Drug Benefit, Boston, October 2, 1996
- Mailloux, A., Mergener M., "A Description of the Wisconsin Medicaid Recipient Lock-in Program" poster presentation Wisconsin Pharmacists Annual Meeting, Madison, Wisconsin August 22, 1997
- Mergener, M., Mailloux, A., "An Overview of the Wisconsin Medicaid Drug Utilization Review Program" poster presentation Wisconsin Pharmacists Annual Meeting, Madison, Wisconsin August 22, 1997
- Mergener, M., "Payment for Cognitive Services-Models", Rocky Mountain DUR Meeting, Salt Lake City, September 6, 1997
- Mergener, MA. "Prospective DUR and Pharmaceutical Care: The Good, the Bad, and the Ugly" University of Wisconsin School of Pharmacy 2004 Winter Symposium, Milwaukee, WI January 25, 2004
- Mergener, MA "A Look at Prospective DUR Responses" American Drug Utilization Review Symposium, Clearwater Beach, FL February 21, 2004
- Mergener, MA "Off-Label Uses of Anti-Epileptic Drugs: A Targeted Intervention" American Drug Utilization Review Symposium, La Jolla, CA March 3, 2006
- Mergener, MA "Drug Database: Applying First DataBank® Tables for Data Mining and Clinical Applications" ADURS Annual Meeting, Dallas, TX February 24, 2007
- Diamond, RJ, Mergener, MA, Collins, TM "Changes In Prescribing When Antidepressants Become Generic" American Psychiatric Association Annual Meeting, San Diego, CA May 21, 2007
- Mergener, MA "Mining Claims Data: What Question Do You Have?" Western Medicaid Pharmacy Administrators Association – 35th Annual Meeting Nebraska City, NE, September 11, 2007
- Mergener, MA "Mining Claims Data: What Answers Do You Want?" Western Medicaid Pharmacy Administrators Association – 35th Annual Meeting Nebraska City, NE, September 12, 2007

- Mergener, MA "Using First DataBank® Tables in Designing Clinical Interventions" First DataBank Annual Customer Seminar, April 21, 2008, San Francisco, CA

PUBLIC SERVICE:

- Regular guest appearances on statewide Wisconsin Public Radio to answer questions on drug related topics, 1979-1982
- Provided public service announcements and information for Wisconsin Public Radio station WHA and the University of Wisconsin, Department of Agricultural Journalism "Drugs and the Mind--Use and Abuse," Capsule College 1976 University of the Wisconsin-Parkside, Kenosha, Wisconsin, April 22, 1976

OTHER PROFESSIONAL ACTIVITIES:

- Reviewer, American Journal of Pharmaceutical Education, 1979-1995
- Reviewer, The Journal of Continuing Education in the Health Professions (formerly Möbius), 1984-1995
- Member, Editorial Board, The Journal of Continuing Education in the Health Professions, 1988-1995
- Field Reviewer for American Council on Pharmaceutical Education (for continuing education providers), 1986-1995
- Pharmat, Inc., single theme continuing education correspondence for pharmacists, reviewer and educational consultant, 1983 to 1995
- Reviewer and contributor to Pharmacist's Letter, 1985-1990
- Reviewer, Grant Awards for Pharmacy Schools, American Association of Colleges of Pharmacy, 1985
- Reviewer, New Investigators Program, American Association of Colleges of Pharmacy, 1989, 1990
- Reviewer, American Journal of Pain Management, 1991-1994
- Member, Northwest U.S./California Regional Pharmacy Cholesterol Council, 1992-1994
- Pharmacy and Therapeutics Committee, Blue Cross/Blue Shield United of Wisconsin, 1994-2003
- Reviewer, Attorney General Consumer and Prescriber Education Grant Program, January-March 2007

LILLIAN CORMAN PENZEL**BUSINESS ANALYST AT PUBLIC CONSULTING GROUP, INC.**

Ms. Corman Penzel, a Business Analysis in PCG's Boston office, started with the firm in 2016. She works on a wide range of healthcare sector projects ranging from behavioral health system analysis to exchange implementation to Health Insurance Enforcement and Consumer Protection grants. Ms. Corman Penzel has extensive experience in stakeholder engagement and formulary review and a background in policy research, data analysis, and mental health and substance use disorder parity.

RELEVANT PROJECT EXPERIENCE**Illinois Department of Insurance, Illinois****Validation Tools Development** (March 2018 – Present): Analyst and Mental Health Parity Lead

Project: The Illinois Department of Insurance (IDOI) received a federal grant for the purpose of planning and implementing the Insurance Market Reforms under *Part A of Title XXVII of the Public Health Services Act (PHSA)*. IDOI is using a portion of the federal grant dollars to develop and implement standard operating procedures and best practices to ensure plan compliance with Insurance Market Reforms. IDOI contracted with Public Consulting Group to develop tools to facilitate the review of forms and filings of health benefit plans filed with IDOI. Specifically, IDOI is interested in the development of validation tools to evaluate compliance with. 26 C.F.R. § 54.9815-2713, Coverage of Preventive Health Services, as set forth by the PHSA, and with Section 2706, Non-Discrimination in Health Care, under Title XXVII of the PHSA. 42 U.S.C. § 300gg-5, § 300gg-13, 26 U.S.C. § 9815.

Ms. Corman Penzel:

Department of Insurance, Securities, and Banking, District of Columbia**Market Reforms Technology** (October 2017 – Present): Analyst

Project: The District of Columbia Department of Insurance, Securities, and Banking (DISB) engaged PCG to provide services in support of DISB's implementation of Insurance Market Reforms under Part A of Title XXVII of the Public Health Service Act ("PHSA"). DISB engaged PCG to develop tools and processes to review plans for discriminatory benefit design as restricted under section 2707 of the PHSA; and to develop tools and processes for a more efficient review of health plan compliance with parity requirements in coverage of mental health and substance use disorder services, as specified forth under section 2726 of the PHSA.

Ms. Corman Penzel: Runs CMS formulary review suite tools for state insurance plans and provides research and administrative assistance to the Department and team as needed. Ms. Corman Penzel also works with data provided by the department and issuers to find potentially discriminatory practices and to update department materials used during the review process.

The Pennsylvania Insurance Department (PID), State of Pennsylvania**Technical Assistance Formulary Review** (July 2017 – Present): Analyst and Mental Health Parity Lead

Project: PCG provides technical assistance to PID in review of the formularies for ACA-compliant plans in the individual and small group markets. PCG works with the PID's Bureau of Life, Accident, and Health Product Regulation, and with other Commonwealth staff as determined by PID to meet the client's objectives and needs

Ms. Corman Penzel: Runs CMS formulary review suite tools for state insurance plans and provides research and administrative assistance to the Department and team as needed. Ms.

Corman Penzel also works with data provided by the department and issuers to find potentially discriminatory practices. Additionally, Ms. Corman Penzel works with the team to train department staff members in reviewing formularies and QHPs regarding mental health and substance use disorder parity.

Health Insurance Technical Assistance Comparison of Mental Health Parity Review Processes

(April 2018 – Present): Mental Health Parity Lead

Project: The Pennsylvania Insurance Department (PID) contracted PCG to provide new resources and trainings for PID staff to enhance review of comprehensive major medical form submissions in the individual and small group markets regarding Mental Health and Substance Use Disorder parity. Working with the PID's Bureau of Life, Accident, and Health Insurance Product Regulation is reviewing existing PID resources and tools, as well as those used by other regulators and states to suggest new guidance and checklists to increase efficiency and ensure filings are reviewed in compliance with state and federal law, specifically with respect to Section 2726 of Part A of title XXVII of the Public Health Services (PHS) Act. Guidance and resources will be issued publicly for use in submitting and reviewing filings for plan year 2019 and beyond.

Ms. Corman Penzel: Serves as the lead in completing the projects three main components: state regulatory review, resource developments, and PID staff training. For regulatory review, Ms. Corman Penzel is reviewing filing processes used by other states and identifying best practices to share with PID staff. For resource development Ms. Corman Penzel is builds on regulatory research to suggest new processes, guidance, and checklists, and provide new tools as needed. Ms. Corman Penzel will also train PID staff on the use of any checklists, templates, and any other resources developed during the project.

Office of the Health Insurance Commissioner, State of Rhode Island

Enforcement and Consumer Protection Grant (March 2017 – Present): Analyst

Project: The Rhode Island Office of the Health Insurance Commissioner (OHIC) contracted with PCG to provide pharmacy and therapeutics health insurance expertise and to study the potential for discrimination in formulary design and development.

Ms. Corman Penzel: Runs CMS formulary review suite tools for state insurance plans and provides research and administrative assistance to the Department and team as needed. Ms. Corman Penzel also works with data provided by the department and issuers to find potentially discriminatory practices. Additionally, Ms. Corman Penzel works with the team to train department staff members in reviewing formularies and QHPs.

Department of Health and Social Services, State of Delaware

State Innovation Model Support (February 2016 – Present): Analyst

Project: PCG provides support to the Delaware Health Care Institute, the existing Workforce & Education and Patient & Consumer Advisory Committees under Delaware's State Innovation Model work, and to the end-of-life workgroup convened by the Delaware Health Care Commission. PCG supports each committee/workgroup in developing and achieving goals, objectives and milestones critical to the success of the respective efforts of each.

Ms. Corman Penzel: Attends and runs committee meetings. Assists with client deliverables and research. Research includes policy topics of interest to the committee such as provider credentialing, population health management, and provider shortage areas.

Department of Public Health, Commonwealth of Massachusetts

Lameul Shattuck Hospital Planning (January, 2017 to July 2017): Analyst

Project: PCG is assisting the Department of Public Health in determining a future vision for the Lemuel Shattuck Hospital (LSH). Working collaboratively with the Project Management team (PMT) and DPH senior management, PCG is examining the appropriateness of all current services provided at the Hospital site, identifying opportunities for programmatic collaboration with DPH's Tewksbury Hospital and possibly other DPH /DMH sites, and providing an analysis of LSH's current reimbursement and payer mix. This project includes identifying opportunities that would maximize reimbursement, benchmarking DPH's hospitals against other state public hospital systems, and drawing on extensive stakeholder engagement to provide a comprehensive final report.

Ms. Corman Penzel: Interviewed over 50 stakeholders, provided detailed client memos throughout the engagement, and assisted in the final recommendation and report process.

Arkansas Health Insurance Marketplace, State of Arkansas

AR Health Insurance Marketplace (AHIM) Professional Consultant Services (2014 – May 2017)

Project: PCG designed and developed the core AHIM *ESI Portal* application for Employer registration, AW-ESI program participation application, capturing roster data, document upload and management, notices, and AHIM assessment, verification and eligibility determination. Additionally, PCG provided policy papers for the State.

Ms. Corman Penzel: Wrote the Policy and Procedure manual for the AHIM AW-ESI portal explaining the system to Employers, Employees, and healthcare agents and brokers. Additionally, coordinated and finalized the user manuals for all AHIM employees. Worked with a PCG team to write and deliver a policy memo on high-risk pools and reinsurance programs.

Office of the Governor-Elect, State of Delaware

Governor's Transition Team Support (December 2016 – January 2017): Analyst

Project: Provided support to the transition team chair and each of the four committee tasked with gathering information and developing policy documentation related to the incoming Governor's transition: Economic Development and Healthy Environment, Public Safety and Strong Neighborhoods, Education and Health Families, and State Budget and Workforce.

Ms. Corman Penzel: Attended meetings and assisted with client deliverables and research. Compiled stakeholder interview data and drafted initial finding reports from stakeholder interviews and public meetings.

Department of Health Care Policy and Financing, State of Colorado

Nursing Facility Pay-for-Performance (P4P) Review (February 2017 – April 2017)

The Department of Health Care Policy and Financing hired PCG to evaluate pay-for-performance applications and supporting documentation as submitted by nursing facilities in Colorado to determine whether each facility has met criteria and is eligible for additional reimbursement. PCG developed an online portal for nursing facilities to submit their self-scores for the P4P application. PCG was also tasked with reviewing, evaluating, and validating whether nursing facilities that applied for additional reimbursement related to the pay-for-performance program had implemented and complied with performance measures defined by the Department.

Ms. Corman Penzel: Reviewed nursing home applications to check for compliance with state measures and validity of self-scores. Also, conducted site visits at the nursing homes as required by the State's contract.

Department of Human Services, State of Colorado

Needs Assessment for Proposed Facility Improvements at the Fitzsimons Veterans Living Center (August 2016 – November 2016): Analyst

Project: Provide service to help CO DHS decide how best to develop vacant parcels of land at the Fitzsimmons VA campus through stakeholder interviews, peer state research, and financial modeling.

Ms. Corman Penzel: Conducted peer state research regarding alternative nursing home models, drafted the stakeholder questionnaire, participated in stakeholder interviews and assisted in writing and editing the final recommendations report.

Office of Financial Management, State of Washington

Assessment and Reform of State Mental Health System (July 2016 – December 2016): Analyst

Project: Assess the current mental health system infrastructure and programming in Washington State, making recommendations for reform of community programs and hospital care.

Ms. Corman Penzel: Conducted research on peer state behavioral health systems and analyzed national behavioral health trends.

PROFESSIONAL BACKGROUND

Public Consulting Group, Boston, MA

July 2016 – Present

EDUCATION

University of Massachusetts Amherst, Amherst, MA

Master of Public Policy, 2016

Mount Holyoke College, South Hadley, MA

Bachelor of Arts in History and in Public Policy, 2015

IV. Attachments

- a. Licenses / Certifications / Permits
- b. Proof of Insurance
- c. Designated Contact
- d. Addendum Acknowledgement
- e. Disclosure of Interested Parties
- f. Purchasing Affidavit



a. Licenses / Certifications / Permits

State of Wisconsin

DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

COMMITTED TO EQUAL OPPORTUNITY IN EMPLOYMENT AND LICENSING

PHARMACIST

No. [REDACTED]

Expires: 5/31/2020

MICHAEL A MERGENER

[REDACTED]
UNITED STATES

The person whose name appears on this document has complied with the provisions of the Wisconsin Statutes and holds the credential specified on the front of this card. To verify the current status of this credential, use "Lookup a License" at dsps.wi.gov.

The named person has complied with Wisconsin Statutes and holds the credential specified. Signature:

Michael A. Mergener

Ch 440.11, Wis Statutes, requires you to notify the Department of a name or address change within 30 days. Please submit corrected information via the web at dsps.wi.gov or by mail to DSPS at PO Box 8935, Madison WI 53708-8935.

b. Proof of Insurance



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

3/30/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Hays Companies 133 Federal Street, 4th Floor Boston MA 02110		CONTACT NAME: Colin Quirk PHONE (A/C, No. Ext): E-MAIL ADDRESS: cquirk@hayscompanies.com FAX (A/C, No):	
INSURED Public Consulting Group, Inc 148 State St. 10th Floor Boston MA 02109		INSURER(S) AFFORDING COVERAGE INSURER A: Great Northern Insurance Company INSURER B: Federal Insurance Company INSURER C: Allied World National Assurance Co INSURER D: Illinois Union Insurance Company INSURER E: INSURER F:	
		NAIC # 20303 20281 10690 27960	

COVERAGES**CERTIFICATE NUMBER:** 18-19 Master**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			35855036	4/1/2018	4/1/2019	EACH OCCURRENCE	\$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1,000,000
	<input checked="" type="checkbox"/> No Deductible						MED EXP (Any one person)	\$ 10,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PERSONAL & ADV INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC						GENERAL AGGREGATE	\$ 2,000,000
	OTHER:						PRODUCTS - COMP/OP AGG	\$ Included
							Employee Benefits	\$ 1,000,000
B	AUTOMOBILE LIABILITY			73540440	4/1/2018	4/1/2019	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person)	\$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS					BODILY INJURY (Per accident)	\$
	<input checked="" type="checkbox"/> HIRED AUTOS	<input checked="" type="checkbox"/> NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident)	\$
								\$
C	<input checked="" type="checkbox"/> UMBRELLA LIAB			0311-2674	4/1/2018	4/1/2019	EACH OCCURRENCE	\$ 15,000,000
	<input type="checkbox"/> EXCESS LIAB	<input type="checkbox"/> OCCUR					AGGREGATE	\$ 15,000,000
	<input type="checkbox"/> CLAIMS-MADE							\$
	DED <input type="checkbox"/> RETENTION \$							
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			71724811	2/18/2018	12/31/2018	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y <input type="checkbox"/> N	N/A				E.L. EACH ACCIDENT	\$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
D	Prof/Cyber Liability			G25611378 002 Retro Date: 2/27/1997	4/1/2018	4/1/2019	Each Claim/Aggregate	\$5,000,000
	Claims Made						Deductible	\$100,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Evidence of Insurance

CERTIFICATE HOLDER**CANCELLATION**

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

James Hays/EKUO

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ACORD 25 (2014/01)

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INS025 (201401)

c. Designated Contact

EXHIBIT B

CMS formulary review tools, including the Drug Count tool and the Formulary Review Suite

Category & Class Drug Count Tool

Link to tools: <https://www.qhpcertification.cms.gov/s/Review%20Tools>

Compares the count of unique chemically distinct drugs in each USPv7 category and class for each drug list with the benchmark.

Key Updates for PY19:

- Accommodates new 2019 data.
- EHB-Rx Crosswalk has been updated using USPv7.0 Model Guidelines.
- EHB-Rx Crosswalk has been updated using the October 2, 2017 monthly release of RxNorm.
- RxNorm Drug Name Extract has been updated using the October 2, 2017 monthly release of RxNorm.
- Added a new plan details result tab that more directly identifies plans that do not meet a benchmark requirement and what corrective action can be taken.

Formulary Review Suite

Aggregate tool that performs both the Non-Discrimination Formulary Outlier Review and the Non-Discrimination Clinical Appropriateness Review.

Key Updates for PY19:

- Accommodates new 2019 data.
- Added a new plan details result tab that more directly identifies plans that do not meet the requirements of any review performed and what corrective action that can be taken.

Non-Discrimination Formulary Outlier Review

Identifies and flags as outliers those plans that have unusually large numbers of drugs subject to prior authorization and/or step therapy requirements in 28 USP classes.

Key Updates for PY19:

- Drug lists and categories and classes have been updated using USPv7.0 Model Guidelines.

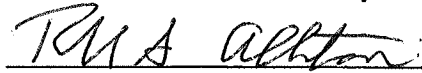
Non-Discrimination Clinical Appropriateness Review

Analyzes the availability of covered drugs associated with ten conditions as recommended in clinical guidelines, to ensure that issuers are offering a sufficient type and number of drugs.

Key Updates for PY19:

- Added Opioid Use Disorder condition.

DESIGNATED CONTACT: Vendor appoints the individual identified in this Section as the Contract Administrator and the initial point of contact for matters relating to this Contract.



(Name, Title)

Rich Albertoni, Associate Manager

(Printed Name and Title)

10 East Doty Street, Suite 824, Madison, WI 53703

(Address)

(608) 345-2496 / (617) 426-4632

(Phone Number) / (Fax Number)

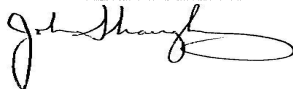
ralbertoni@pcgus.com

(email address)

CERTIFICATION AND SIGNATURE: By signing below, or submitting documentation through wvOASIS, I certify that I have reviewed this Solicitation in its entirety; that I understand the requirements, terms and conditions, and other information contained herein; that this bid, offer or proposal constitutes an offer to the State that cannot be unilaterally withdrawn; that the product or service proposed meets the mandatory requirements contained in the Solicitation for that product or service, unless otherwise stated herein; that the Vendor accepts the terms and conditions contained in the Solicitation, unless otherwise stated herein; that I am submitting this bid, offer or proposal for review and consideration; that I am authorized by the vendor to execute and submit this bid, offer, or proposal, or any documents related thereto on vendor's behalf; that I am authorized to bind the vendor in a contractual relationship; and that to the best of my knowledge, the vendor has properly registered with any State agency that may require registration.

Public Consulting Group, Inc.

(Company)



(Authorized Signature) (Representative Name, Title)

John Shaughnessy, Practice Area Director

(Printed Name and Title of Authorized Representative)

6/18/18

(Date)

(617) 901-7389 / (617) 426-4632

(Phone Number) (Fax Number)

d. Addendum Acknowledgement

ADDENDUM ACKNOWLEDGEMENT FORM
SOLICITATION NO.:

Instructions: Please acknowledge receipt of all addenda issued with this solicitation by completing this addendum acknowledgment form. Check the box next to each addendum received and sign below. Failure to acknowledge addenda may result in bid disqualification.

Acknowledgment: I hereby acknowledge receipt of the following addenda and have made the necessary revisions to my proposal, plans and/or specification, etc.

Addendum Numbers Received:

(Check the box next to each addendum received)

- | | |
|---|--|
| <input type="checkbox"/> Addendum No. 1 | <input type="checkbox"/> Addendum No. 6 |
| <input type="checkbox"/> Addendum No. 2 | <input type="checkbox"/> Addendum No. 7 |
| <input type="checkbox"/> Addendum No. 3 | <input type="checkbox"/> Addendum No. 8 |
| <input type="checkbox"/> Addendum No. 4 | <input type="checkbox"/> Addendum No. 9 |
| <input type="checkbox"/> Addendum No. 5 | <input type="checkbox"/> Addendum No. 10 |

I understand that failure to confirm the receipt of addenda may be cause for rejection of this bid. I further understand that any verbal representation made or assumed to be made during any oral discussion held between Vendor's representatives and any state personnel is not binding. Only the information issued in writing and added to the specifications by an official addendum is binding.

Company



Authorized Signature

6/18/18

Date

NOTE: This addendum acknowledgement should be submitted with the bid to expedite document processing.

e. Disclosure of Interested Parties

West Virginia Ethics Commission



Disclosure of Interested Parties to Contracts

Pursuant to *W. Va. Code* § 6D-1-2, a state agency may not enter into a contract, or a series of related contracts, that has/have an actual or estimated value of \$100,000 or more until the business entity submits to the contracting state agency a Disclosure of Interested Parties to the applicable contract. In addition, the business entity awarded a contract is obligated to submit a supplemental Disclosure of Interested Parties reflecting any new or differing interested parties to the contract within 30 days following the completion or termination of the applicable contract.

For purposes of complying with these requirements, the following definitions apply:

"Business entity" means any entity recognized by law through which business is conducted, including a sole proprietorship, partnership or corporation.

"Interested party" or *"Interested parties"* means:

- (1) A business entity performing work or service pursuant to, or in furtherance of, the applicable contract, including specifically sub-contractors;
- (2) the person(s) who have an ownership interest equal to or greater than 25% in the business entity performing work or service pursuant to, or in furtherance of, the applicable contract. (This subdivision does not apply to a publicly traded company); and
- (3) the person or business entity, if any, that served as a compensated broker or intermediary to actively facilitate the applicable contract or negotiated the terms of the applicable contract with the state agency. (This subdivision does not apply to persons or business entities performing legal services related to the negotiation or drafting of the applicable contract.)

"State agency" means a board, commission, office, department or other agency in the executive, judicial or legislative branch of state government, including publicly funded institutions of higher education: Provided, that for purposes of *W. Va. Code* § 6D-1-2, the West Virginia Investment Management Board shall not be deemed a state agency nor subject to the requirements of that provision.

The contracting business entity must complete this form and submit it to the contracting state agency prior to contract award and to complete another form within 30 days of contract completion or termination.

This form was created by the State of West Virginia Ethics Commission, 210 Brooks Street, Suite 300, Charleston, WV 25301-1804. Telephone: (304)558-0664; fax: (304)558-2169; e-mail: ethics@wv.gov; website: www.ethics.wv.gov.

Revised October 7, 2017

West Virginia Ethics Commission
Disclosure of Interested Parties to Contracts

(Required by W. Va. Code § 6D-1-2)

Contracting Business Entity: Public Consulting Group, Inc. **Address:** 148 State St. 10th Floor, Boston, MA 02144

Authorized Agent: John Shaughnessy **Address:** 148 State St. 10th Floor, Boston, MA 02144

Contract Number: CRFQ 0704 1800000002 **Contract Description:** Pharmaceutical research services

Governmental agency awarding contract: Offices of the Insurance Commissioner

☐ Check here if this is a Supplemental Disclosure

List the Names of Interested Parties to the contract which are known or reasonably anticipated by the contracting business entity for each category below (*attach additional pages if necessary*):

1. Subcontractors or other entities performing work or service under the Contract

☒ Check here if none, otherwise list entity/individual names below.

2. Any person or entity who owns 25% or more of contracting entity (not applicable to publicly traded entities)

☒ Check here if none, otherwise list entity/individual names below.

3. Any person or entity that facilitated, or negotiated the terms of, the applicable contract (excluding legal services related to the negotiation or drafting of the applicable contract)

☒ Check here if none, otherwise list entity/individual names below.

Signature: 

Date Signed: 6/18/18

Notary Verification

State of Massachusetts, County of Suffolk:

I, John Shaughnessy, the authorized agent of the contracting business entity listed above, being duly sworn, acknowledge that the Disclosure herein is being made under oath and under the penalty of perjury.

Taken, sworn to and subscribed before me this 18th day of June, 2018

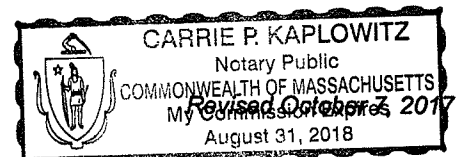

Notary Public's Signature

To be completed by State Agency:

Date Received by State Agency: _____

Date submitted to Ethics Commission: _____

Governmental agency submitting Disclosure: _____



f. Purchasing Affidavit

STATE OF WEST VIRGINIA
Purchasing Division

PURCHASING AFFIDAVIT

CONSTRUCTION CONTRACTS: Under W. Va. Code § 5-22-1(i), the contracting public entity shall not award a construction contract to any bidder that is known to be in default on any monetary obligation owed to the state or a political subdivision of the state, including, but not limited to, obligations related to payroll taxes, property taxes, sales and use taxes, fire service fees, or other fines or fees.

ALL CONTRACTS: Under W. Va. Code §5A-3-10a, no contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and: (1) the debt owed is an amount greater than one thousand dollars in the aggregate; or (2) the debtor is in employer default.

EXCEPTION: The prohibition listed above does not apply where a vendor has contested any tax administered pursuant to chapter eleven of the W. Va. Code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Employer default" means having an outstanding balance or liability to the old fund or to the uninsured employers' fund or being in policy default, as defined in W. Va. Code § 23-2c-2, failure to maintain mandatory workers' compensation coverage, or failure to fully meet its obligations as a workers' compensation self-insured employer. An employer is not in employer default if it has entered into a repayment agreement with the Insurance Commissioner and remains in compliance with the obligations under the repayment agreement.

"Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceeds five percent of the total contract amount.

AFFIRMATION: By signing this form, the vendor's authorized signer affirms and acknowledges under penalty of law for false swearing (W. Va. Code §61-5-3) that: (1) for construction contracts, the vendor is not in default on any monetary obligation owed to the state or a political subdivision of the state, and (2) for all other contracts, that neither vendor nor any related party owe a debt as defined above and that neither vendor nor any related party are in employer default as defined above, unless the debt or employer default is permitted under the exception above.

WITNESS THE FOLLOWING SIGNATURE:

Vendor's Name: Public Consulting Group, Inc

Authorized Signature: [Signature] Date: 6/18/18

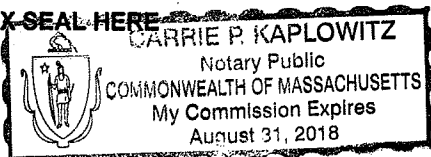
State of Massachusetts

County of Suffolk, to-wit:

Taken, subscribed, and sworn to before me this 15th day of June, 2018.

My Commission expires August 31, 2018.

AFFIX SEAL HERE



NOTARY PUBLIC _____

Purchasing Affidavit (Revised 01/19/2018)