Diaz Data Services, LLC 331 Schuylkill Street

Harrisburg, PA 17110

MAR, 28. 2014 12:02PM WV DIV OF PURCH

P. 7 NO. 6369



State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

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INSURANCE COMMISSION

1124 SMITH STREET CHARLESTON, WV 25305-0540

304-558-3707

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4/02/2014

RFQ COPT

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WY DIV OF PURCH

NO. 6369 P. 8



State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

Solicitation etration

INS14015

EPISE E

EVELYN MELTON 804-558-7023

INSURANCE COMMISSION

1124 SMITH STREET CHARLESTON, WV 25305-0540 304-558-3707

RFQ COPY
TYPE NAME/ADDRESS HERE
Diaz Data Services, LLC
331 Schuylkill Street
Harrisburg, PA 17110

04/02/2014 BID OPENING TIME 1:30PM 8.50 THIS IS THE END OF REQ INS14015 \*\*\*\*\*\* TOTAL: Control of PLETHONE 717-233-6664 04/01/2014 Jenine Diaz owner ADDRESS CHANGES TO BE NOTED ABOVE 27-3558552

All Vendors should arrive prior to the starting time for the pre-bid. Vendors who arrive after the starting time but prior to the end of the pre-bid will be permitted to sign in, but are charged with knowing all matters discussed at the pre-bid.

Questions submitted at least five business days prior to a scheduled pre-bid will be discussed at the pre-bid meeting if possible. Any discussions or answers to questions at the pre-bid meeting are preliminary in nature and are non-binding. Official and binding answers to questions will be published in a written addendum to the Solicitation prior to bid opening.

4. VENDOR QUESTION DEADLINE: Vendors may submit questions relating to this Solicitation to the Purchasing Division. Questions must be submitted in writing. All questions must be submitted on or before the date listed below and to the address listed below in order to be considered. A written response will be published in a Solicitation addendum if a response is possible and appropriate. Non-written discussions, conversations, or questions and answers regarding this Solicitation are preliminary in nature and are non-binding.

Question Submission Deadline: March 12, 2014 - end of business

Submit Questions to:

Evelyn P. Melton 2019 Washington Street, East Charleston, WV 25305 Fax: (304) 558-4115

Email: evelyn.p.melton@wv.gov

- 5. VERBAL COMMUNICATION: Any verbal communication between the Vendor and any State personnel is not binding, including that made at the mandatory pre-bid conference. Only information issued in writing and added to the Solicitation by an official written addendum by the Purchasing Division is binding.
- 6. BID SUBMISSION: All bids must be signed and delivered by the Vendor to the Purchasing Division at the address listed below on or before the date and time of the bid opening. Any bid received by the Purchasing Division staff is considered to be in the possession of the Purchasing Division and will not be returned for any reason. The Purchasing Division will not accept bids, modification of bids, or addendum acknowledgment forms via e-mail. Acceptable delivery methods include hand delivery, delivery by courier, or facsimile. The bid delivery address is:

Department of Administration, Purchasing Division 2019 Washington Street East Charleston, WV 25305-0130

	The bid should contain the information listed below on the face of the envelope or the bid maynot be considered:
	SEALED BID
	BUYER:
	SOLICITATION NO.:
	BID OPENING DATE:
	BID OPENING TIME:
	FAX NUMBER:
	In the event that Vendor is responding to a request for proposal, the Vendor shall submit one original technical and one original cost proposal plus convenience copies of each to the Purchasing Division at the address shown above. Additionally, the Vendor should identify the bid type as either a technical or cost proposal on the face of each bid envelope submitted in response to a request for proposal as follows:
	BID TYPE: Technical Cost
7.	BID OPENING: Bids submitted in response to this Solicitation will be opened at the location identified below on the date and time listed below. Delivery of a bid after the bid opening date and time will result in bid disqualification. For purposes of this Solicitation, a bid is considered delivered when time stamped by the official Purchasing Division time clock.
	Bid Opening Date and Time: April 2, 2014 @ 1:30 P.M.

Bid Opening Location:

Department of Administration, Purchasing Division

2019 Washington Street East Charleston, WV 25305-0130

- 8. ADDENDUM ACKNOWLEDGEMENT: Changes or revisions to this Solicitation will be made by an official written addendum issued by the Purchasing Division. Vendor should acknowledge receipt of all addenda issued with this Solicitation by completing an Addendum Acknowledgment Form, a copy of which is included herewith. Failure to acknowledge addenda may result in bid disqualification. The addendum acknowledgement should be submitted with the bid to expedite document processing.
- 9. BID FORMATTING: Vendor should type or electronically enter the information onto its bid to prevent errors in the evaluation. Failure to type or electronically enter the information may result in bid disqualification.

### GENERAL TERMS AND CONDITIONS:

- 1. CONTRACTUAL AGREEMENT: Issuance of a Purchase Order signed by the Purchasing Division Director, or his designee, and approved as to form by the Attorney General's office constitutes acceptance of this Contract made by and between the State of West Virginia and the Vendor Vendor's signature on its bid signifies Vendor's agreement to be bound by and accept the terms and conditions contained in this Contract.
- 2. DEFINITIONS: As used in this Solicitation/Contract, the following terms shall have the meanings attributed to them below Additional definitions may be found in the specifications included with this Solicitation/Contract.
  - 2.1 "Agency" or "Agencies" means the agency, board, commission, or other entity of the State of West Virginia that is identified on the first page of the Solicitation or any other public entity seeking to procure goods or services under this Contract.
  - 2.2 "Contract" means the binding agreement that is entered into between the State and the Vendor to provide the goods and services requested in the Solicitation.
  - 2.3 "Director" means the Director of the West Virginia Department of Administration, Purchasing Division.
  - 2.4 "Purchasing Division" means the West Virginia Department of Administration, Purchasing Division.
  - 2.5 "Purchase Order" means the document signed by the Agency and the Purchasing Division, and approved as to form by the Attorney General, that identifies the Vendor as the successful bidder and Contract holder.
  - 2.6 "Solicitation" means the official solicitation published by the Purchasing Division and identified by number on the first page thereof.
  - 2.7 "State" means the State of West Virginia and/or any of its agencies, commissions, boards, etc. as context requires.
  - 2.8 "Vender" or "Vendors" means any entity submitting a bid in response to the Solicitation, the entity that has been selected as the lowest responsible bidder, or the entity that has been awarded the Contract as context requires.

3.	CONTRACT TERM; RENEWAL; EXTENSION:	The term of this	Contract	shall be determin	ed in
	accordance with the category that has been identified as	applicable to this	Contract	below:	

1	Term Contrac
(A)	A DE AN COMO NO

Initial Contract Term: This Contract becomes effective on upon award

and extends for a period of one (1) year(s).

Renewal Term: This Contract may be renewed upon the mutual written consent of the Agency, and the Vendor, with approval of the Purchasing Division and the Attorney General's office (Attorney General approval is as to form only). Any request for renewal must be submitted to the Purchasing Division Director thirty (30) days prior to the expiration date of the initial contract term or appropriate renewal term. A Contract renewal shall be in accordance with the terms and conditions of the original contract. Renewal of this Contract is limited to

100 (2) successive one (1) year periods. Automatic renewal of this Contract is prohibited. Notwithstanding the foregoing, Purchasing Division approval is not required on agency delegated or exempt purchases. Attorney General approval may be required for vendor terms and conditions.

Reasonable Time Extension: At the sole discretion of the Purchasing Division Director, and with approval from the Attorney General's office (Attorney General approval is as to form only), this Contract may be extended for a reasonable time after the initial Contract term or after any renewal term as may be necessary to obtain a new contract or renew this Contract. Any reasonable time extension shall not exceed twelve (12) months. Vendor may avoid a reasonable time extension by providing the Purchasing Division Director with written notice of Vendor's desire to terminate this Contract 30 days prior to the expiration of the then current term. During any reasonable time extension period, the Vendor may terminate this Contract for any reason upon giving the Purchasing Division Director 30 days written notice. Automatic extension of this Contract is prohibited. Notwithstanding the foregoing, Purchasing Division approval is not required on agency delegated or exempt purchases, but Attorney General approval may be required.

Release Order Limitations: In the event that this contract permits release orders, a release order may only be issued during the time this Contract is in effect. Any release order issued within one year of the expiration of this Contract shall be effective for one year from the date the release order is issued. No release order may be extended beyond one year after this Contract has expired.

	Fixed Period Contract:	This Contract	becomes	effective upon	Vendor's receipt o	f the notice to
	proceed and must be com	pleted within				days.

		One Time Purchase: The term of this Contract shall run from the issuance of the Purchase Order until all of the goods contracted for have been delivered, but in no event shall this Contract extend for more than one fiscal year.
		Other: See attached.
4.	receivi	CE TO PROCEED: Vendor shall begin performance of this Contract immediately upon ng notice to proceed unless otherwise instructed by the Agency. Unless otherwise specified, the recuted Purchase Order will be considered notice to proceed
5.		TITIES: The quantities required under this Contract shall be determined in accordance with egory that has been identified as applicable to this Contract below.
		Open End Contract: Quantities listed in this Solicitation are approximations only, based on estimates supplied by the Agency. It is understood and agreed that the Contract shall cover the quantities actually ordered for delivery during the term of the Contract, whether more or less than the quantities shown.
	V	Service: The scope of the service to be provided will be more clearly defined in the specifications included herewith.
		Combined Service and Goods: The scope of the service and deliverable goods to be provided will be more clearly defined in the specifications included herewith.
		One Time Purchase: This Contract is for the purchase of a set quantity of goods that are identified in the specifications included herewith. Once those items have been delivered, no additional goods may be procured under this Contract without an appropriate change order approved by the Vendor, Agency, Purchasing Division, and Attorney General's office.

- 6. PRICING: The pricing set forth herein is firm for the life of the Contract, unless specified elsewhere within this Solicitation/Contract by the State. A Vendor's inclusion of price adjustment provisions in its bid, without an express authorization from the State in the Solicitation to do so, may result in bid disqualification.
- 7. EMERGENCY PURCHASES: The Purchasing Division Director may authorize the Agency to purchase goods or services in the open market that Vendor would otherwise provide under this Contract if those goods or services are for immediate or expedited delivery in an emergency. Emergencies shall include, but are not limited to, delays in transportation or an unanticipated increase in the volume of work. An emergency purchase in the open market, approved by the Purchasing Division Director, shall not constitute of breach of this Contract and shall not entitle the Vendor to any form of compensation or damages. This provision does not excuse the State from fulfilling its obligations under a One Time Purchase contract.
- 8. REQUIRED DOCUMENTS: All of the items checked below must be provided to the Purchasing Division by the Vendor as specified below.

	BID BOND: All Vendors shall furnish a bid bond in the amount of five percent (5%) of the total amount of the bid protecting the State of West Virginia. The bid bond must be submitted with the bid.		
	PERFORMANCE BOND: The apparent successful Vendor shall provide a performance bond in the amount of  The performance bond must be issued and received by the Purchasing Division prior to Contract award. On construction contracts, the performance bond must be 100% of the Contract value.		
	LABOR/MATERIAL PAYMENT BOND: The apparent successful Vendor shall provide a labor/material payment bond in the amount of 100% of the Contract value. The labor/material payment bond must be issued and delivered to the Purchasing Division prior to Contract award.		
In lieu of the Bid Bond, Performance Bond, and Labor/Material Payment Bond, the Vendor may procertified checks, cashier's checks, or irrevocable letters of credit. Any certified check, cashier's check or irrevocable letter of credit provided in lieu of a bond must be of the same amount and delivered on same schedule as the bond it replaces. A letter of credit submitted in lieu of a performance labor/material payment bond will only be allowed for projects under \$100,000. Personal or busichecks are not acceptable.			
	MAINTENANCE BOND: The apparent successful Vendor shall provide a two (2) year maintenance bond covering the roofing system. The maintenance bond must be issued and delivered to the Purchasing Division prior to Contract award.		
$\checkmark$	WORKERS' COMPENSATION INSURANCE: The apparent successful Vendor shall have appropriate workers' compensation insurance and shall provide proof thereof upon request.		
	INSURANCE: The apparent successful Vendor shall furnish proof of the following insurance prior to Contract award and shall list the state as a certificate holder.		
	Commercial General Liability Insurance:		
	Builders Risk Insurance: builders risk – all risk insurance in an amount equal to 100% of the amount of the Contract.		

The apparent successful Vendor shall also furnish proof of any additional insurance requirements contained in the specifications prior to Contract award regardless of whether or not that insurance requirement is listed above.
LICENSE(S) / CERTIFICATIONS / PERMITS: In addition to anything required under the Section entitled Licensing, of the General Terms and Conditions, the apparent successful Vendor shall furnish proof of the following licenses, certifications, and/or permits prior to Contract award, in a form acceptable to the Purchasing Division.

The apparent successful Vendor shall also furnish proof of any additional licenses or certifications contained in the specifications prior to Contract award regardless of whether or not that requirement is listed above.

- 9. LITIGATION BOND: The Director reserves the right to require any Vendor that files a protest of an award to submit a litigation bond in the amount equal to one percent of the lowest bid submitted or \$5,000, whichever is greater. The entire amount of the bond shall be forfeited if the hearing officer determines that the protest was filed for frivolous or improper purpose, including but not limited to, the purpose of harassing, causing unnecessary delay, or needless expense for the Agency. All litigation bonds shall be made payable to the Purchasing Division. In lieu of a bond, the protester may submit a cashier's check or certified check payable to the Purchasing Division. Cashier's or certified checks will be deposited with and held by the State Treasurer's office. If it is determined that the protest has not been filed for frivolous or improper purpose, the bond or deposit shall be returned in its entirety.
- 10. ALTERNATES: Any model, brand, or specification listed herein establishes the acceptable level of quality only and is not intended to reflect a preference for, or in any way favor, a particular brand or vendor. Vendors may bid alternates to a listed model or brand provided that the alternate is at least equal to the model or brand and complies with the required specifications. The equality of any alternate being bid shall be determined by the State at its sole discretion. Any Vendor bidding an alternate model or brand should clearly identify the alternate items in its bid and should include manufacturer's specifications, industry literature, and/or any other relevant documentation demonstrating the equality of the alternate items. Failure to provide information for alternate items may be grounds for rejection of a Vendor's bid.
- 11. EXCEPTIONS AND CLARIFICATIONS: The Solicitation contains the specifications that shall form the basis of a contractual agreement. Vendor shall clearly mark any exceptions, clarifications, or

other proposed modifications in its bid. Exceptions to, clarifications of, or modifications of a requirement or term and condition of the Solicitation may result in bid disqualification.

12. LIQUIDATED DAMAGES: Vendor shall pay liquidated damages in the amount for

This clause shall in no way be considered exclusive and shall not limit the State or Agency's right to pursue any other available remedy.

- 13. ACCEPTANCE/REJECTION: The State may accept or reject any bid in whole, or in part. Vendor's signature on its bid signifies acceptance of the terms and conditions contained in the Solicitation and Vendor agrees to be bound by the terms of the Contract, as reflected in the Purchase Order, upon receipt.
- 14. REGISTRATION: Prior to Contract award, the apparent successful Vendor must be properly registered with the West Virginia Purchasing Division and must have paid the \$125 fee if applicable.
- 15. COMMUNICATION LIMITATIONS: In accordance with West Virginia Code of State Rules §148-1-6.6, communication with the State of West Virginia or any of its employees regarding this Solicitation during the solicitation, bid, evaluation or award periods, except through the Purchasing Division, is strictly prohibited without prior Purchasing Division approval. Purchasing Division approval for such communication is implied for all agency delegated and exempt purchases.
- 16. FUNDING: This Contract shall continue for the term stated herein, contingent upon funds being appropriated by the Legislature or otherwise being made available. In the event funds are not appropriated or otherwise made available, this Contract becomes void and of no effect beginning on July 1 of the fiscal year for which funding has not been appropriated or otherwise made available.
- 17. PAYMENT: Payment in advance is prohibited under this Contract. Payment may only be made after the delivery and acceptance of goods or services. The Vendor shall submit invoices, in enteres, to the Agency at the address on the face of the purchase order labeled "hvoice To."
- 18. UNIT PRICE: Unit prices shall prevail in cases of a discrepancy in the Vendor's bid.
- 19. DELIVERY: All quotations are considered freight on board destination ("F.O.B. destination") unless alternate shipping terms are clearly identified in the bid. Vendor's listing of shipping terms that contradict the shipping terms expressly required by this Solicitation may result in bid disqualification.
- 20 INTEREST: Interest attributable to late payment will only be permitted if authorized by the West Virginia Code. Presently, there is no provision in the law for interest on late payments.
- 21. PREFERENCE: Vendor Preference may only be granted upon written request and only in accordance with the West Virginia Code § 5A-3-37 and the West Virginia Code of State Rules. A Resident Vendor Certification form has been attached hereto to allow Vendor to apply for the preference. Vendor's

- failure to submit the Resident Vendor Certification form with its bid will result in denial of Vendor Preference does not apply to construction projects.
- 22. SMALL, WOMEN-OWNED, OR MINORITY-OWNED BUSINESSES: For any solicitations publicly advertised for bid on or after July 1, 2012, in accordance with West Virginia Code §5A-3-37(a)(7) and W. Va. CSR § 148-22-9, any non-resident vendor certified as a small, women-owned, or minority-owned business under W. Va. CSR § 148-22-9 shall be provided the same preference made available to any resident vendor. Any non-resident small, women-owned, or minority-owned business must identify itself as such in writing, must submit that writing to the Purchasing Division with its bid, and must be properly certified under W. Va. CSR § 148-22-9 prior to submission of its bid to receive the preferences made available to resident vendors. Preference for a non-resident small, women-owned, or minority-owned business shall be applied in accordance with W. Va. CSR § 148-22-9.
- 23. TAXES: The Vendor shall pay any applicable sales, use, personal property or any other taxes arising out of this Contract and the transactions contemplated thereby. The State of West Virginia is exempt from federal and state taxes and will not pay or reimburse such taxes.
- 24. CANCELLATION: The Purchasing Division Director reserves the right to cancel this Contract immediately upon written notice to the vendor if the materials or workmanship supplied do not conform to the specifications contained in the Contract. The Purchasing Division Director may cancel any purchase or Contract upon 30 days written notice to the Vendor in accordance with West Virginia Code of State Rules § 148-1-7.16.2.
- 25. WAIVER OF MINOR IRREGULARITIES: The Director reserves the right to waive minor irregularities in bids or specifications in accordance with West Virginia Code of State Rules § 148-1-4.6.
- 26. TIME: Time is of the essence with regard to all matters of time and performance in this Contract.
- 27. APPLICABLE LAW: This Contract is governed by and interpreted under West Virginia law without giving effect to its choice of law principles. Any information provided in specification manuals, or any other source, verbal or written, which contradicts or violates the West Virginia Constitution, West Virginia Code or West Virginia Code of State Rules is void and of no effect.
- 28. COMPLIANCE: Vendor shall comply with all applicable federal, state, and local laws, regulations and ordinances. By submitting a bid, Vendors acknowledge that they have reviewed, understand, and will comply with all applicable law.
- 29 PREVAILING WAGE: On any contract for the construction of a public improvement, Vendor and any subcontractors utilized by Vendor shall pay a rate or rates of wages which shall not be less than the fair minimum rate or rates of wages (prevailing wage), as established by the West Virginia Division of Labor under West Virginia Code §§ 21-5A-1 et seq. and available at <a href="http://www.sos.wv.gov/administrative-law/wagerates/Pages/default.aspx">http://www.sos.wv.gov/administrative-law/wagerates/Pages/default.aspx</a>. Vendor shall be responsible for cusuing compliance with prevailing wage requirements and determining when prevailing wage

- requirements are applicable. The required contract provisions contained in West Virginia Code of State Rules § 42-7-3 are specifically incorporated herein by reference.
- 30. ARBITRATION: Any references made to arbitration contained in this Contract, Vendor's bid, or in any American Institute of Architects documents pertaining to this Contract are hereby deleted, void, and of no effect.
- 31. MODIFICATIONS: This writing is the parties' final expression of intent. Notwithstanding anything contained in this Contract to the contrary, no modification of this Contract shall be binding without mutual written consent of the Agency, and the Vendor, with approval of the Purchasing Division and the Attorney General's office (Attorney General approval is as to form only). No Change shall be implemented by the Vendor until such time as the Vendor receives an approved written change order from the Purchasing Division.
- 32. WAIVER: The failure of either party to insist upon a strict performance of any of the terms or provision of this Contract, or to exercise any option, right, or remedy herein contained, shall not be construed as a waiver or a relinquishment for the future of such term, provision, option, right, or remedy, but the same shall continue in full force and effect. Any waiver must be expressly stated in writing and signed by the waiving party.
- 33. SUBSEQUENT FORMS: The terms and conditions contained in this Contract shall supersede any and all subsequent terms and conditions which may appear on any form documents submitted by Vendor to the Agency or Purchasing Division such as price lists, order forms, invoices, sales agreements, or maintenance agreements, and includes internet websites or other electronic documents. Acceptance or use of Vendor's forms does not constitute acceptance of the terms and conditions contained thereon.
- 34 ASSIGNMENT: Neither this Contract nor any monies due, or to become due hereunder, may be assigned by the Vendor without the express written consent of the Agency, the Purchasing Division, the Attorney General's office (as to form only), and any other government agency or office that may be required to approve such assignments. Notwithstanding the foregoing, Purchasing Division approval may not be required on certain agency delegated or exempt purchases.
- 35. WARRANTY: The Vendor expressly warrants that the goods and/or services covered by this Contract will: (a) conform to the specifications, drawings, samples, or other description furnished or specified by the Agency, (b) be merchantable and fit for the purpose intended; and (c) be free from defect in material and workmanship.
- 36. STATE EMPLOYEES: State employees are not permitted to utilize this Contract for personal use and the Vendor is prohibited from permitting or facilitating the same.
- 37. BANKRUPTCY: In the event the Vendor files for bankruptcy protection, the State of West Virginia may deem this Contract null and void, and terminate this Contract without notice.

### 38. [RESERVED]

- 39. CONFIDENTIALITY: The Vendor agrees that it will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the Agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the Agency's policies, procedures, and rules. Vendor further agrees to comply with the Confidentiality Policies and Information Security Accountability Requirements, set forth in http://www.state.wy.us/admin/purchase/privacy/default.html.
- 40. DISCLOSURE: Vendor's response to the Solicitation and the resulting Contract are considered public documents and will be disclosed to the public in accordance with the laws, rules, and policies governing the West Virginia Purchasing Division. Those laws include, but are not limited to, the Freedom of Information Act found in West Virginia Code § 29B-1-1 et seq.
  - If a Vendor considers any part of its bid to be exempt from public disclosure, Vendor must so indicate by specifically identifying the exempt information, identifying the exemption that applies, providing a detailed justification for the exemption, segregating the exempt information from the general bid information, and submitting the exempt information as part of its bid but in a segregated and clearly identifiable format. Failure to comply with the foregoing requirements will result in public disclosure of the Vendor's bid without further notice. A Vendor's act of marking all or nearly all of its bid as exempt is not sufficient to avoid disclosure and WILL NOT BE HONORED. Vendor's act of marking a bid or any part thereof as "confidential" or "proprietary" is not sufficient to avoid disclosure and WILL NOT BE HONORED. In addition, a legend or other statement indicating that all or substantially all of the bid is exempt from disclosure is not sufficient to avoid disclosure and WILL NOT BE HONORED. Vendor will be required to defend any claimed exemption for nondisclosure in the event of an administrative or judicial challenge to the State's nondisclosure. Vendor must indemnify the State for any costs incurred related to any exemptions claimed by Vendor. Any questions regarding the applicability of the various public records laws should be addressed to your own legal counsel prior to bid submission.
- 41. LICENSING: In accordance with West Virginia Code of State Rules §148-1-6.1.7, Vendor must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, West Virginia Insurance Commission, or any other state agency or political subdivision. Upon request, the Vendor must provide all necessary teleases to obtain information to enable the Purchasing Division Director or the Agency to verify that the Vendor is licensed and in good standing with the above entities.
- 42. ANTITRUST: In submitting a bid to, signing a contract with, or accepting a Purchase Order from any agency of the State of West Virginia, the Vendor agrees to convey, sell, assign, or transfer to the State of West Virginia all rights, title, and interest in and to all causes of action it may now or hereafter acquire under the antitrust laws of the United States and the State of West Virginia for price fixing and/or unreasonable restraints of trade relating to the particular commodities or services purchased or acquired

by the State of West Virginia. Such assignment shall be made and become effective at the time the purchasing agency tenders the initial payment to Vendor.

43. VENDOR CERTIFICATIONS: By signing its bid or entering into this Contract, Vendor certifies (1) that its bid was made without prior understanding, agreement, or connection with any corporation, firm, limited liability company, partnership, person or entity submitting a bid for the same material, supplies, equipment or services; (2) that its bid is in all respects fair and without collusion or fraud; (3) that this Contract is accepted or entered into without any prior understanding, agreement, or connection to any other entity that could be considered a violation of law; and (4) that it has reviewed this RFQ in its entirety, understands the requirements, terms and conditions, and other information contained herein. Vendor's signature on its bid also affirms that neither it nor its representatives have any interest, nor shall acquire any interest, direct or indirect, which would compromise the performance of its services hereunder. Any such interests shall be promptly presented in detail to the Agency.

The individual signing this bid on behalf of Vendor certifies that he or she is authorized by the Vendor to execute this bid or any documents related thereto on Vendor's behalf, that he or she is authorized to bind the Vendor in a contractual relationship; and that, to the best of his or her knowledge, the Vendor has properly registered with any State agency that may require registration.

- 44. PURCHASING CARD ACCEPTANCE: The State of West Virginia currently utilizes a Purchasing Card program, administered under contract by a banking institution, to process payment for goods and services. The Vendor must accept the State of West Virginia's Purchasing Card for payment of all orders under this Contract unless the box below is checked.
  - Vendor is not required to accept the State of West Virginia's Purchasing Card as payment for all goods and services.
- 45. VENDOR RELATIONSHIP: The relationship of the Vendor to the State shall be that of an independent contractor and no principal-agent relationship or employer-employee relationship is contemplated or created by this Contract. The Vendor as an independent contractor is solely liable for the acts and omissions of its employees and agents. Vendor shall be responsible for selecting, supervising, and compensating any and all individuals employed pursuant to the terms of this Solicitation and resulting contract. Neither the Vendor, nor any employees or subcontractors of the Vendor, shall be deemed to be employees of the State for any purpose whatsoever. Vendor shall be exclusively responsible for payment of employees and contractors for all wages and salaries, taxes, withholding payments, penalties, fees, fringe benefits, professional liability insurance premiums, contributions to insurance and pension, or other deferred compensation plans, including but not limited to, Workers' Compensation and Social Security obligations, licensing fees, etc. and the filing of all necessary documents, forms and returns pertinent to all of the foregoing. Vendor shall hold harmless the State, and shall provide the State and Agency with a defense against any and all claims including, but not limited to, the foregoing payments, withholdings, contributions, taxes, Social Security taxes, and employer income tax returns.
- 46. INDEMNIFICATION: The Vendor agrees to indemnify, defend, and hold harmless the State and the Agency, their officers, and employees from and against: (1) Any claims or losses for services rendered

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by any subcontractor, person, or firm performing or supplying services, materials, or supplies in connection with the performance of the Contract; (2) Any claims or losses resulting to any person or entity injured or damaged by the Vendor, its officers, employees, or subcontractors by the publication, translation, reproduction, delivery, performance, use, or disposition of any data used under the Contract in a manner not authorized by the Contract, or by Federal or State statutes or regulations; and (3) Any failure of the Vendor, its officers, employees, or subcontractors to observe State and Federal laws including, but not limited to, labor and wage and hour laws.

- 47. PURCHASING AFFIDAVIT: In accordance with West Virginia Code § 5A-3-10a, all Vendors are required to sign, notarize, and submit the Purchasing Affidavit stating that neither the Vendor nor a related party owe a debt to the State in excess of \$1,000. The affidavit must be submitted prior to award, but should be submitted with the Vendor's bid. A copy of the Purchasing Affidavit is included herewith.
- 48. ADDITIONAL AGENCY AND LOCAL GOVERNMENT USE: This Contract may be utilized by and extends to other agencies, spending units, and political subdivisions of the State of West Virginia; county, municipal, and other local government bodies; and school districts ("Other Government Entities"). This Contract shall be extended to the aforementioned Other Government Entities on the same prices, terms, and conditions as those offered and agreed to in this Contract. If the Vendor does not wish to extend the prices, terms, and conditions of its bid and subsequent contract to the Other Government Entities, the Vendor must clearly indicate such refusal in its bid. A refusal to extend this Contract to the Other Government Entities shall not impact or influence the award of this Contract in any manner.
- 49. CONFLICT OF INTEREST: Vendor, its officers or members or employees, shall not presently have or acquire any interest, direct or indirect, which would conflict with or compromise the performance of its obligations hereunder. Vendor shall periodically inquire of its officers, members and employees to ensure that a conflict of interest does not arise. Any conflict of interest discovered shall be promptly presented in detail to the Agency.
- 50. REPORTS: Vendor shall provide the Agency and/or the Purchasing Division with the following reports identified by a checked box below:
  - Such reports as the Agency and/or the Purchasing Division may request. Requested reports may include, but are not limited to, quantities purchased, agencies utilizing the contract, total contract expenditures by agency, etc.
  - Quarterly reports detailing the total quantity of purchases in units and dollars, along with a listing of purchases by agency. Quarterly reports should be delivered to the Purchasing Division via email at purchasing requisitions@wv.gov.
- 51. BACKGROUND CHECK: In accordance with W. Va. Code § 15-2D-3, the Director of the Division of Protective Services shall require any service provider whose employees are regularly employed on the grounds or in the buildings of the Capitol complex or who have access to sensitive or critical information

PAGE 19/66

to submit to a fingerprint-based state and federal background inquiry through the state repository. The service provider is responsible for any costs associated with the fingerprint-based state and federal background inquiry.

After the contract for such services has been approved, but before any such employees are permitted to be on the grounds or in the buildings of the Capitol complex or have access to sensitive or critical information, the service provider shall submit a list of all persons who will be physically present and working at the Capitol complex to the Director of the Division of Protective Services for purposes of verifying compliance with this provision.

The State reserves the right to prohibit a service provider's employees from accessing sensitive or critical information or to be present at the Capitol complex based upon results addressed from a criminal background check.

Service providers should contact the West Virginia Division of Protective Services by phone at (304)558-9911 for more information.

- 52. PREFERENCE FOR USE OF DOMESTIC STEEL PRODUCTS: Except when authorized by the Director of the Purchasing Division pursuant to W. Va. Code § 5A-3-56, no contractor may use or supply steel products for a State Contract Project other than those steel products made in the United States. A contractor who uses steel products in violation of this section may be subject to civil penalties pursuant to W. Va. Code § 5A-3-56. As used in this section:
  - a. "State Contract Project" means any erection or construction of, or any addition to, alteration of or other improvement to any building or structure, including, but not limited to, roads or highways, or the installation of any heating or cooling or ventilating plants or other equipment, or the supply of and materials for such projects, pursuant to a contract with the State of West Virginia for which bids were solicited on or after June 6, 2001.
  - b. "Steel Products" means products rolled, formed, shaped, drawn, extruded, forged, cast, fabricated or otherwise similarly processed, or processed by a combination of two or more or such operations, from steel made by the open heath, basic oxygen, electric furnace, Bessemer or other steel making process.

The Purchasing Division Director may, in writing, authorize the use of foreign steel products if:

- a. The cost for each contract item used does not exceed one tenth of one percent (.1%) of the total contract cost or two thousand five hundred dollars (\$2,500.00), whichever is greater. For the purposes of this section, the cost is the value of the steel product as delivered to the project; or
- b. The Director of the Purchasing Division determines that specified steel materials are not produced in the United States in sufficient quantity or otherwise are not reasonably available to meet contract requirements.

53. PREFERENCE FOR USE OF DOMESTIC ALUMINUM, GLASS, AND STEEL: In Accordance with W. Va. Code § 5-19-1 et seq., and W. Va. CSR § 148-10-1 et seq., for every contract or subcontract, subject to the limitations contained herein, for the construction, reconstruction, alteration, repair, improvement or maintenance of public works or for the purchase of any item of machinery or equipment to be used at sites of public works, only domestic aluminum, glass or steel products shall be supplied unless the spending officer determines, in writing, after the receipt of offers or bids, (1) that the cost of domestic aluminum, glass or steel products is unreasonable or inconsistent with the public interest of the State of West Virginia, (2) that domestic aluminum, glass or steel products are not produced in sufficient quantities to meet the contract requirements, or (3) the available domestic aluminum, glass, or steel do not meet the contract specifications. This provision only applies to public works contracts awarded in an amount more than fifty thousand dollars (\$50,000) or public works contracts that require more than ten thousand pounds of steel products.

The cost of domestic aluminum, glass, or steel products may be unreasonable if the cost is more than twenty percent (20%) of the bid or offered price for foreign made aluminum, glass, or steel products. If the domestic aluminum, glass or steel products to be supplied or produced in a "substantial labor surplus area", as defined by the United States Department of Labor, the cost of domestic aluminum, glass, or steel products may be unreasonable if the cost is more than thirty percent (30%) of the bid or offered price for foreign made aluminum, glass, or steel products.

This preference shall be applied to an item of machinery or equipment, as indicated above, when the item is a single unit of equipment or machinery manufactured primarily of aluminum, glass or steel, is part of a public works contract and has the sole purpose or of being a permanent part of a single public works project. This provision does not apply to equipment or machinery purchased by a spending unit for use by that spending unit and not as part of a single public works project.

All bids and offers including domestic aluminum, glass or steel products that exceed bid or offer prices including foreign aluminum, glass or steel products after application of the preferences provided in this provision may be reduced to a price equal to or lower than the lowest bid or offer price for foreign aluminum, glass or steel products plus the applicable preference. If the reduced bid or offer prices are made in writing and supersede the prior bid or offer prices, all bids or offers, including the reduced bid or offer prices, will be reevaluated in accordance with this rule.

### SPECIFICATIONS

- 1. PURPOSE AND SCOPE: The West Virginia Purchasing Division is soliciting bids on behalf of the OIC WV Workers' Compensation Office of Judges to establish a contract for transcription and decision typing services.
- 2. **DEFINITIONS**: The terms listed below shall have the meanings assigned to them below. Additional definitions can be found in section 2 of the General Terms and Conditions.
  - 2.1 "Contract Services" means transcription of digitally-recorded hearings and transcription of digitally-recorded decisions/orders dictated by fifteen administrative law judges.
  - 2.2 "Pricing Page" means the pages upon which Vendor should list its proposed price for the Contract Services. The Pricing Page is either included on the last page of this RFQ or attached hereto as Attachment D.
  - 2.3 "RFQ" means the official request for quotation published by the Purchasing Division and identified as INS14015.
- 3. QUALIFICATIONS: Vendor shall have the following minimum qualifications:
  - 3.1. 5 years of experience in transcription of legal documents
  - 3.2. Proficient in the use of the English language
- 4. MANDATORY REQUIREMENTS:
  - 4.1 Mandatory Contract Services Requirements and Deliverables: Contract Services must meet or exceed the mandatory requirements listed below.
    - 4.1.1 Transcription of digitally-recorded hearings.
      - 4.1.1.1 Digitally-recorded hearings must be transcribed and electronically returned to the Office of Judges within ten (10) working days from date of receipt on evidentiary hearings, Occupational Pneumoconiosis Board hearings, final permanent total disability hearing and other assigned types of hearings. Expedited hearing digital recordings must be transcribed and electronically returned to the Office of Judges within seven (7) working

days from date of receipt. If the vendor's equipment malfunctions, it must be repaired within a maximum of three (3) working days.

- 4.1.1.2 Digitally-recorded hearings must be transcribed in the following manner:
  - · The first page shall have I" margin at top
  - All subsequent pages shall have header on line 5 leaving 5/8" margin at top
  - Single spacing Questions and Answers format
  - · Arial 12 point Font type size
  - · Index of Direct, Cross, Redirect, Recross, etc. on second page
  - · Certification on last page
  - · Header with claimant name and claim number
  - The first typing line is line 9 on all pages after first and end on approximately line 51 leaving a 1" margin at the bottom of the page.

A sample format of an evidentiary hearing transcript is attached as Attachment A.

A sample format of an Occupational Pneumocomiosis Board hearing transcript is attached as Attachment B.

- 4.1.1.3 The quality of hearing transcripts shall be subjected to a quality review by the Office of Judges. The vendor is required to maintain a 95% accuracy rate in transcription of recorded hearings. The Office of Judges considers three or more errors per page of typed transcripts to exceed an acceptable level. This includes typing, grammar and English context or spelling errors. If the quality of the documents falls below 95% accuracy or if there is a consistent loss of dictation material (either to or from the Office of Judges and the successful vendor) the contract may be terminated as defined in Section 10.1 and 10.2 of this RFQ.
- 4.1.1.4 The vendor must provide a secure FTP or SSL website which will allow Office of Judges' employees to upload digital recordings in DCR and DSS format for transcription within the required time periods. (Most hearings are recorded in DCR format but some may be in DSS format.) The vendor must have the ability to securely transfer electronically the transcribed documents in Word 2010 format to the Office of Judges for printing at their location. The vendor is required to maintain electronic

archives of all hearing transcripts and decisions/orders completed for the Office of Judges for a minimum period of one year from date of receipt.

## 4.1.2 Typing of Decisions/Orders

- 4.1.2.1 Digitally-recorded decisions and orders from the Office of Judges' administrative law judges must be typed and returned electronically within forty-eight hours of receiving the dictation. The vendor selected must indicate the security in place for electronic transfer of information and must accommodate the requirement of the Office of Judges to prioritize the order in which the dictations of decisions are typed.
- 4.1.2.2 Decisions shall be typed in the following manner:
  - The first page shall have 1" margin at top
  - All subsequent pages shall have header on line 5 leaving 5/8" margin at top
  - All pages shall have 1" margin on left-hand side and "4" margin on the right-hand side of each page
  - The first typing line on page 1 is "7" and shall end at approximately "53", for 47 typing lines on page 1.
  - All subsequent pages shall begin on line "8" and end approximately on line "53" leaving 46 typing lines per page
  - Single space with appropriate paragraphing
  - Arial 12 point Font type size

A sample format of a decision is attached to this RFQ as Attachment C.

- 4.1.2.3 The quality of decisions/orders shall be subject to a quality review by the Office of Judges. The vendor is required to maintain a 95% accuracy rate on decisions. The Office of Judges considers three or more errors per page of typed transcripts to exceed an acceptable level. This includes typing, grammar and English context or spelling errors. If the quality of the documents falls below 95% accuracy or if there is a consistent loss of dictation material (either to or from the Office of Judges and the successful vendor) the contract may be terminated as defined in Section 10.1 and 10.2 of this RFQ.
- 4.1.2.4 The Office of Judges has approximately 15 individuals reviewing claim files and dictating decisions/orders. These decisions vary in length

from 2 pages to as many as 20+ pages. A "typical" decision is 5 to 7 pages. The Office of Judges issues approximately 275 decisions per month. The persons dictating the decisions will reference certain preformatted language. The Office of Judges uses approximately 58 of these preformatted paragraphs which the Office of Judges will update and change as necessary. The vendor must have the ability to accommodate the possibility that all decision writers may be dictating simultaneously. All cost necessary to accommodate this level of dictation is a cost of doing business with the agency similar to all other overhead and must be calculated within the vendor's price/cost per page quotation.

4.1.2.5 The vendor must provide a secure FTP or SSL website which will allow Office of Judges' employees to upload digital recordings in DSS, MP3 or WMA format for transcription within the required time periods. (Most decisions are recorded in DSS format.) The vendor must have the ability to securely transfer electronically the transcribed documents in Word 2010 format to the Office of Judges for printing at their location. The vendor is required to maintain electronic archives of all hearing transcripts and decisions/orders completed for the Office of Judges.

### 5. CONTRACT AWARD:

- 5.1 Contract Award: The Contract is intended to provide Agency with a purchase price for the Contract Services. The Contract shall be awarded to the Vendor that provides the Contract Services meeting the required specifications for the lowest overall total cost as shown on the Pricing Pages.
- 5.2 Pricing Page: Vendor should complete the Pricing Page by providing a quote of the price per page for transcription of hearings from digital voice files and the price per page for transcription of dictation on decisions/orders. Vendor should complete the Pricing Page in full as failure to complete the Pricing Page in its entirety may result in Vendor's bid being disqualified.

Notwithstanding the foregoing, the Purchasing Division may correct errors as it deems appropriate. Vendor should type or electronically enter the information into the Pricing Page to prevent errors in the evaluation.

6. PERFORMANCE: Vendor and Agency shall agree upon a schedule for performance of Contract Services and Contract Services Deliverables, unless such a schedule is already included herein by Agency. In the event that this Contract is designated as an open-end

contract, Vendor shall perform in accordance with the release orders that may be issued against this Contract.

- 7. PAYMENT: Agency shall pay flat fee per page as shown on the Pricing Pages, for all Contract Services performed and accepted under this Contract. Vendor shall accept payment in accordance with the payment procedures of the State of West Virginia.
- 8. TRAVEL: Vendor shall be responsible for all mileage and travel costs, including travel time, associated with performance of this Contract. Any anticipated mileage or travel costs may be included in the flat fee or hourly rate listed on Vendor's bid, but such costs will not be paid by the Agency separately.
- 9. FACILITIES ACCESS: Performance of Contract Services may require access cards and/or keys to gain entrance to Agency's facilities. In the event that access cards and/or keys are required:
  - 9.1. Vendor must identify principal service personnel which will be issued access cards and/or keys to perform service.
  - 9.2. Vendor will be responsible for controlling cards and keys and will pay replacement fee, if the cards or keys become lost or stolen.
  - 9.3. Vendor shall notify Agency immediately of any lost, stolen, or missing card or key.
  - 9.4. Anyone performing under this Contract will be subject to Agency's security protocol and procedures.
  - 9.5. Vendor shall inform all staff of Agency's security protocol and procedures.

### 10. VENDOR DEFAULT:

- 10.1. The following shall be considered a vendor default under this Contract.
  - 10.1.1. Failure to perform Contract Services in accordance with the requirements contained herein.
  - 10.1.2. Failure to comply with other specifications and requirements contained herein.
  - 10.1.3 Failure to comply with any laws, rules, and ordinances applicable to the Contract Services provided under this Contract.
  - 10.1.4. Failure to remedy deficient performance upon request.
- 10.2. The following remedies shall be available to Agency upon default.
  - 10.2.1. Cancellation of the Contract.
  - 10.2.2. Cancellation of one or more release orders issued under this Contract.
  - 10.2.3. Any other remedies available in law or equity.

#### 11. MISCELLANEOUS:

11.1. Contract Manager: During its performance of this Contract, Vendor must designate and maintain a primary contract manager responsible for overseeing Vendor's responsibilities under this Contract. The Contract manager must be available during normal business hours to address any customer service or other issues related to this Contract. Vendor should list its Contract manager and his or her contact information below.

Contract Manager: GEORGIANNE SARBOUGH
Telephone Number: (717) 233-6664
Fax Number: (117) 233-7858
Email Address: gsarbaugh @ drazdatu.wm

## ATTACHMENT A

## WORKERS' COMPENSATION OFFICE OF JUDGES

(claimant name)

and

Claimant

[employer name]

Employer

Transcript of proceedings held in the Workers' Compensation Office of Judges Hearing Office [venue location], on the [date] day of [month year], pursuant to notice duly given to all interested parties

JCN No. [number]

BEFORE:

[name], Administrative Law Judge

APPEARANCES:

[person appearing for claimant] [address if attorney appearance]

[person appearing for employer]

[address]

representing the Employer

CC.

[claimant or claimant's counsel]

[employer's counsel]

PAGE 28/66

[claimant name]

[number]

INDEX

Witness

[name] [page number]

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	[claimant name]	[number]	
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[claimant name]

[number]

STATE OF WEST VIRGINIA,
WORKERS: COMPENSATION OFFICE OF JUDGES, LOWIT.

I hereby certify that the foregoing proceeding was transcribed from a digital recording.

This, the [date] day of [month, year].

## ATTACHMENT B

## WORKERS' COMPENSATION OFFICE OF JUDGES

[claimant name] Claimant

JCN No. [JCN number]

and

[employers name] \_\_\_\_Employer\_

Transcript of proceedings held in the Workers' Compensation Division Hearing Office, Office of Judges, One Players Club Drive, Kanawha County, Charleston, WV, 25311, on the Idatel day of [month, year], for the purpose of adducing the testimony of Members of the OCCUPATIONAL PNEUMOCONIOSIS BOARD.

BEFORE:

[name of ALJ], Administrative Law Judge

APPEARANCES:

[attorney name], Atty at Law [attorney address] representing the Claimant

representing the Claumant

[attorney name], Atty at Law [attorney address] representing the Employer

CC:

[Claimant's counsel] [Employer's counsel]

30 [JCN number] [claimant name] INDEX Cross by Cross by Witness [page no.] [page no] Dr. [page no] [page-no]-Dr-[page no] [page no] Dr. [claimant or employer] Exhibits [page no] [page no] No. 1 No. 2 [page no] No. 3

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		[claimant name] [JCN number]			
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	3	THEREUPON,			
	4	DR. JACK L KINDER, Jr., Chairman			
	5	and	26		
	<u>6</u> .	[OP Board member], Member			
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l	11 12	BY [attorney's name]:			
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[claimant name]

[JCN number]

STATE OF WEST VIRGINIA. WORKERS' COMPENSATION OFFICE OF JUDGES, to wit:

I hereby certify that the foregoing proceeding was transcribed from a digital

recording.

This, the [day of the week] day of [month, year].

## ATTACHMENT C

## STATE OF WEST VIRGINIA WORKERS' COMPENSATION OFFICE OF JUDGES

IN THE MATTER OF:

JCN: [number]

[claimant name],

CLAIMANT

D.O.I.: [date]

and

[employer hame],

EMPL-OYER-

## DECISION OF ADMINISTRATIVE LAW JUDGE

## PARTIES:

Claimant, [claimant name], by counsel, [claimant counsel name] Employer, [employer name], by counsel, [employer counsel name]

## ISSUE:

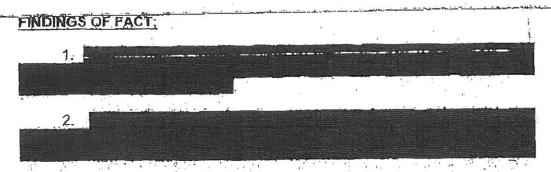
The [claimant or employer] protested the Claim Administrator's Order dated [date] [description of protest].

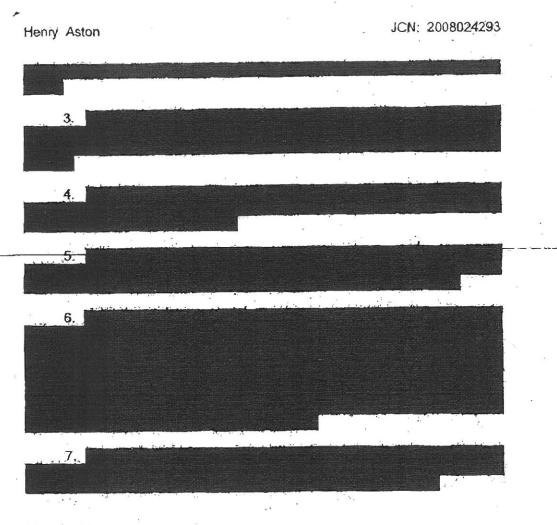
## DECISION:

It is ORDERED that the Claim Administrator's Order dated [date] be [AFFIRMED, REVERSED, or MODIFIED].

## RECORD CONSIDERED:

See Attached Record Considered.





## DECISION;

[Boilemlate Language]

[ALJ dictated discussion]

## CONCLUSIONS OF LAW;

It is therefore ORDERED that the Claim Administrator's Order dated [date], be [AFFIRMED, REVERSED, or MODIFIED].

Henry Aston

JCN: 2008024293

## APPEAL RIGHTS:

[MISC-B

Under the provisions of W.Va. Code §23-5-12, any aggrieved party may file a written appeal within thirty (30) days after receipt of any decision or action of the Administrative Law Judge. The appeal shall be filed directly with the Workers' Compensation Board of Review at P.O. Box 2628, Charleston, WV, 25329.]

OR

[MISC-A

Pursuant to W.Va. Code § 23-4-15b as amended, this order is interlocutory only, and may be appealed only in conjunction with an appeal from a final order with respect to the findings of the Occupational Pneumoconiosis Board.]

Date:

[mitials]

cc;

# ATTACHMENTO INS14015 - PRICING PAGE

TENT#	DESCRIPTION	Unit Price Per Page
	Transmitting Hearings from Olgital Volce Files	3.75
.1		4.75
2	Transcribing Decisions from Digital Voice Files	8.50
	LOLAT CORL	8.30

Awaird will be made to the responsible Vendor with the lowest overall cost meeting specimentons.

VENDOR NAME:	Diaz	Data	Servi	ces,	LLC	· · · · · · · · · · · · · · · · · · ·
		•				
VENDOR ADDRESS:	331	Schuj	ylkill	Str	eet	
			g, PA		10	
VENDOR TELEPHOP	717	7-233-	6664		- FAOR	717-233-5858
VENDOR EMAIL:	jenin	rediaz	@diaz	data	. COM	
REMIT TO ADDRES	s; 331	Schu	ylkil	l Str	ceet	
_	Har	risbu	rg, PA	A 171	10	
SIGNATURE:		A	nud		Jen	ine Diaz
DATE:	04/01	/2014			<u></u>	<del></del>

#### CERTIFICATION AND SIGNATURE PAGE

By signing below, I certify that I have reviewed this Solicitation in its entirety, understand the requirements, terms and conditions, and other information contained herein; that I am submitting this bid or proposal for review and consideration; that I am authorized by the bidder to execute this bid or any documents related thereto on bidder's behalf, that I am authorized to bind the bidder in a contractual relationship; and that to the best of myknowledge, the bidder has properly registered with any State agency that may require registration.

DIAZ DATA	SERVICE	J, LLC	
(Company) MM			
(Authorized Signature)	$\mathcal{O}$		
LUISA J. Ta	1AZ-	PRESID	ENT
(Representative Name, Title)			
(717)233-6664	X 101	(717)	233-585
(Phone Number)	(Fax Number	er)	
4/1/14			_
(Date)			

RFQ No.	INS14015
KPU.NO.	

#### STATE OF WEST VIRGINIA Purchasing Division

# **PURCHASING AFFIDAVIT**

MANDATE: Under W. Va. Code §5A-3-10a, no contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and: (1) the debt owed is an amount greater than one thousand dollars in the aggregate; or (2) the debtor is in employer default.

**EXCEPTION:** The prohibition listed above does not apply where a vendor has contested any tax administered pursuant to chapter eleven of the W. Va. Code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

#### DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Employer default" means having an outstanding balance or liability to the old fund or to the uninsured employers' fund or being in policy default, as defined in W. Va. Code § 23-2c-2, failure to maintain mandatory workers' compensation coverage, or failure to fully meet its obligations as a workers' compensation self-insured employer. An employer is not in employer default if it has entered into a repayment agreement with the insurance Commissioner and remains in compliance with the obligations under the repayment agreement.

"Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceed five percent of the total contract amount.

AFFIRMATION: By signing this form, the vendor's authorized signer affirms and acknowledges under penalty of law for false swearing (W. Va. Code §61-5-3) that neither vendor nor any related party own a debt as defined above and that neither vendor nor any related party are in employer default as defined above, unless the debt or employer default is permitted under the exception above.

WITNESS THE FOLLOWING SIGNATURE:	
Vendor's Name: DIA2 DATA	SERVICES, LLC
Vendol s Name.	all hu
Authorized Signature:	Date:
State of Perusylvaria	
County of Dauphin to-wit:	
The state of the s	lay of 4071/ 2014
Taken, subscribed, and sworn to before me thisd	
My Commission expires November 21	. 20/7
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AFFIX SEAL HERE	NOTARY PUBLIC Madey . //
	Purchasing Affidevit (Revised 07/01/2012)
COMMONWEALTH OF PENNSYLYANIA	

COMMONNIEALTH OF PENINSYLYANIA

NOTARIAL SEAL

BRADLEY E. WEIRICH, NOTARY PUBLIC
CITY OF HARRISBURG, DAUPHIN COUNTY, PA
MY COMMISSION EXPIRES NOV. 21, 2017

17172335858

Rev. 07/12

1.

## State of West Virginia

### **VENDOR PREFERENCE CERTIFICATE**

Certification and application\* is hereby made for Preference in accordance with West Virginia Code, §5A-3-37. (Does not apply to construction contracts). West Virginia Code, §5A-3-37, provides an opportunity for qualifying vendors to request (at the time of bid) preference for their residency status. Such preference is an evaluation method only and will be applied only to the cost bid in accordance with the West Virginia Code. This certificate for application is to be used to request such preference. The Purchasing Division will make the datermination of the Resident Vendor Preference, if applicable.

Application is made for 2.5% resident vendor preference for the reason checked:

	Bidder is an individual resident vendor and has resided continuously in West Virginia for four (4) years immediately preceding the date of this certification; or, Bidder is a partnership, association or corporation resident vendor and has maintained its headquarters or principal place of business continuously in West Virginia for four (4) years immediately preceding the date of this certification; or 80% of the ownership interest of Bidder is held by another individual, partnership, association or corporation resident vendor who has maintained its headquarters or principal place of business continuously in West Virginia for four (4) years immediately preceding the date of this certification; or, Bidder is a nonresident vendor which has an affiliate or subsidiary which employs a minimum of one hundred state residents and which has maintained its headquarters or principal place of business within West Virginia continuously for the four (4) years immediately preceding the date of this certification; or,
2.	Application is made for 2.5% resident vendor preference for the reason checked:  Bidder is a resident vendor who certifies that, during the life of the contract, on average at least 75% of the employees working on the project being bid are residents of West Virginia who have resided in the state continuously for the two years immediately preceding submission of this bid; or,
3.	Application is made for 2.5% resident vendor preference for the reason checked:  Bidder is a nonresident vendor employing a minimum of one hundred state residents or is a nonresident vendor with an affiliate or subsidiary which maintains its headquarters or principal place of business within West Virginia employing a minimum of one hundred state residents who certifies that, during the life of the contract, on average at least 75% of the employees or Bidder's affiliate's or subsidiary's employees are residents of West Virginia who have resided in the state continuously for the two years immediately preceding submission of this bid; or,
4.	Application is made for 5% resident vendor preference for the reason checked:  Bidder meets either the requirement of both subdivisions (1) and (2) or subdivision (1) and (3) as stated above; or,
5,	Application is made for 3.5% resident vendor preference who is a veteran for the reason checked: Bidder is an individual resident vendor who is a veteran of the United States armed forces, the reserves or the National Guard and has resided in West Virginia continuously for the four years immediately preceding the date on which the bid is submitted; or,
6.	Application is made for 3.5% resident vendor preference who is a veteran for the reason checked: Bidder is a resident vendor who is a veteran of the United States armed forces, the reserves or the National Guard, if, for purposes of producing or distributing the commodities or completing the project which is the subject of the vendor's bid and continuously over the entire term of the project, on average at least seventy-five percent of the vendor's employees are residents of West Virginia who have resided in the state continuously for the two immediately preceding years.
7.	Application is made for preference as a non-resident small, women- and minority-owned business, in accordance with West Virginia Code §5A-3-59 and West Virginia Code of State Rules.  Bidder has been or expects to be approved prior to contract award by the Purchasing Division as a certified small, women-and minority-owned business.
require against	understands if the Secretary of Revenue determines that a Bidder receiving preference has failed to continue to meet the ements for such preference, the Secretary may order the Director of Purchasing to: (a) reject the bid; or (b) assess a penalty track Bidder in an amount not to exceed 5% of the bid amount and that such penalty will be paid to the contracting agency acted from any unpaid balance on the contract or purchase order.
authorized	mission of this certificate, Bidder agrees to disclose any reasonably requested information to the Purchasing Division and zee the Department of Revenue to disclose to the Director of Purchasing appropriate information verifying that Bidder has paid juired business taxes, provided that such information does not contain the amounts of taxes paid nor any other information and by the Tax Commissioner to be confidential.
	penalty of law for false swearing (West Virginia Code, §61-5-3), Bidder hereby certifies that this certificate is true curate in all respects; and that if a contract is issued to Bidder and if anything contained within this certificate es during the term of the contract, Bidder will notify the Purchasing Division in writing immediately.
Bldder	BJA 2 DATA SERVICES, LLC Signed:
Date:_	4/1/14 Tible: PRESIDENT

#### ADDENDUM ACKNOWLEDGEMENT FORM SOLICITATION NO.: INS14015

Instructions: Please acknowledge receipt of all addenda issued with this solicitation by completing this addendum acknowledgment form. Check the box next to each addendum received and sign below. Failure to acknowledge addenda may result in bid disqualification.

Acknowledgment: Thereby acknowledge receipt of the following addenda and have made the necessary revisions to my proposal, plans and/or specification, etc.

Addendum Numbers Received: (Check the box next to each addendum received)						
V	Addendum No. 1		Adde	endum No. 6		
	Addendum No. 2		Adde	endum No. 7		
	Addendum No. 3		Adda	endum No. 8		
	Addendum No. 4		Adden	dum No. 9		
	Addendum No. 5		Adden	dum No. 10		
I understand that failure to confirm the receipt of addenda may be cause for rejection of this bid. I further understand that any verbal representation made or assumed to be made during any oral discussion held between Vendor's representatives and any state personnel is not binding. Only the information issued in writing and added to the specifications by an official addendum is binding.						
		DI	AZ	DATA	JERVICES,	Ш
				A Som	27	,
				V Auth	object Signature	
			•	4/1/ Date	114	

NOTE: This addendum acknowledgement should be submitted with the bid to expedite document processing.

# ADDENDUM NO. 1 INS14015 – QUESTION AND ANSWER

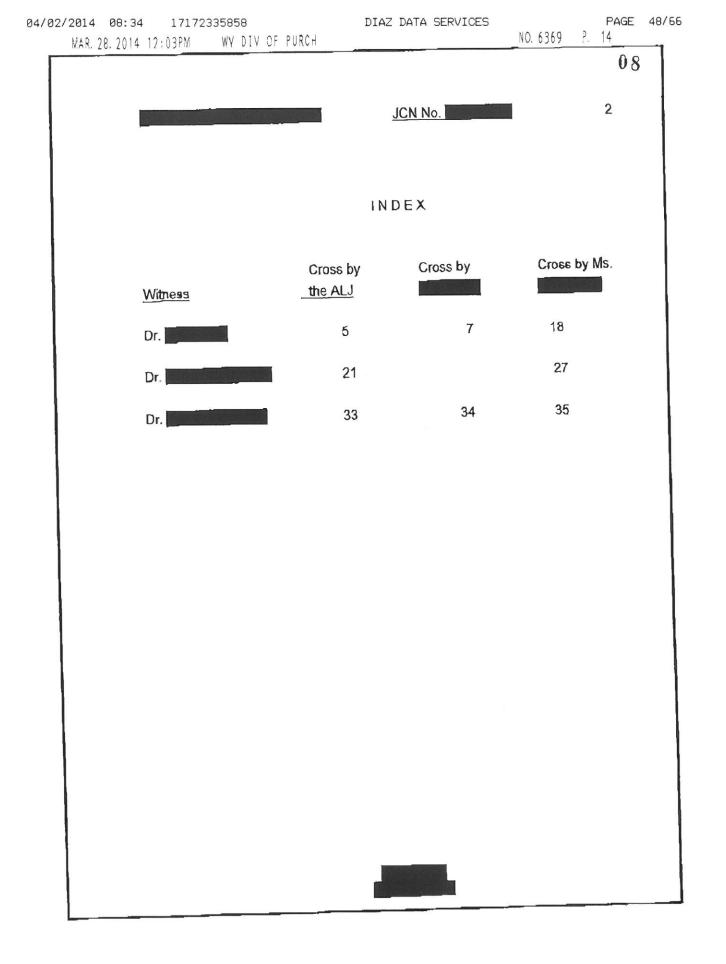
- 1) Who is the current vendor servicing the contract?
  - A: IMEDX, INC.
- 2) What rate is the State currently paying per page for Transcription of Hearings.
  - A: \$1.15 per transcript page
- 3) What rate is the State currently paying per page for Transcription of Decisions.
  - A: \$2,99 per decision page
- 4) How much did the State spend on the existing contract for these services in the most recently closed fiscal year?
  - A; \$40,112.46 in fiscal year 2013
- 5) What is the State's budget for the first year of the new contract, when awarded?
  - A: Open-end contract
- 6) Is this RFQ open to out of state companies and if so how much preference is given to in state?
  - A: Yes, please refer to the Vendor Preference Certificate (page 40) of the packet
- 7) Most of our contacts require double spaced and 25 lines per page. I noticed that the transcripts are required to be single spaced and for hearings it should be up to 51 lines per page and decisions 53 lines per page. However, the sample transcripts show 34 lines. I need to how many lines of text per page are required so we can give you an accurate quote.
  - A: The sample transcript contained 1.3 pt. line spacing allowing 34 text lines per page.

    Also, please note that requirements for decisions include 46 typing lines per page.
- 8) Approximately how many hearings are there in total in a month?
  - A: In the past 12-month period, we have averaged 53 hearings per month.

WV DIV OF PURCH

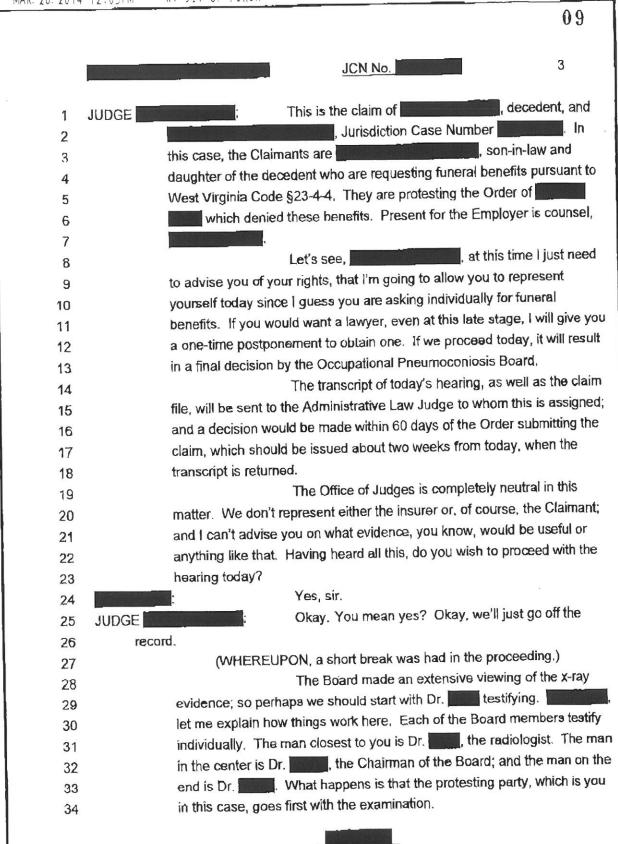
NO. 6369 P. 12

- 9) Who is the current vendor?
  - A: Please refer to the answer in question number 1
- 10) What is the current price per page?
  - A: Please refer to the answers in question nos. 2 and 3
- 11) Would you please provide a typed transcript, text included, of each type of hearing.
  - A: Attached is a sample transcript including text.
- 12) Who is the incumbent for the current requirement of transcription services? Also, what is the current pricing for transcription services?
  - A: Please refer to the answers in question nos. 1, 2 and 3
- 13) If you are a sole proprietor do you still have to carry WC insurance in order to receive this bid?
  - A: The Office of Judges cannot provide legal advice concerning your requirement to carry workers' compensation coverage. Please consult with your legal counsel.
- 14) I see where there are approximately 275 decisions per month approximately how many hearings per month?
  - A: Please refer to answer in question no. 8



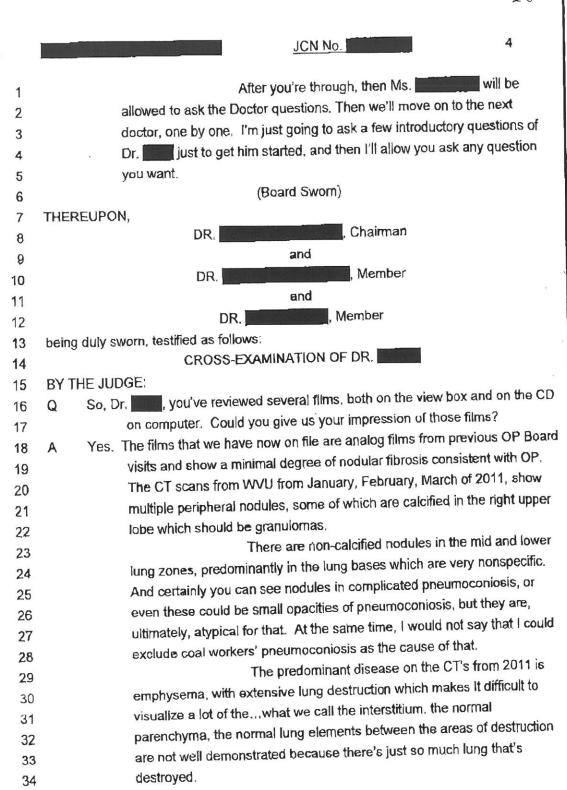
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11

JCN No.

1		So again to summary the x-rays from the 1980's show
2		nodular fibrosis consistent with simple OP. The CT's do not necessarily
3		confirm the background of small opacities but have these larger opacities
4		that are very nonspecific in the lung bases; and I don't believe I can
5		exclude an OP based on the CT's.
6	JUDG	SE HASLEBACHER: Okay. , do you have questions of Dr.
7		? He stated he does find evidence of black lung and cannot exclude
8		that in the later CT's.
9		CROSS-EXAMINATION OF DR.
10	BY	
11	Q	Okay, all right. And as you looked at the films versus this disc image in January
12		to February of '11, he was very, very III In the hospital at WVU, did you see
13		the changes in those films?
14	A	There are minimal changes
15	Q	So nodules to no opacities on the 3-24-11 disc, large size opacities
16	Α	There is one area
17	Q	In the left upper lobe?
18	Α	Yes. There is one left upper lobe opacity that does not look like either a
19		granuloma or an opacity related to occupational pneumoconiosis. It is
20		likely an inflammatory infiltrate. It's an area of lung that's probably
21		infected, could be atelectic (phonetic), could just be collapsed down. It's
22		not a nodule that looks like pneumoconiosis. It doesn't look like a tumor,
23		That opacity to me is not contributory either to confirming an OP diagnosis
24		or excluding it.
25	Q	And did you also see the opacity in the left upper lobe measuring 2.4 by 1.4
26		centimeters approximately?
27	Α	Yes.
28	Q	And the other opacity measuring two by 1.8 centimeters in the left upper lobe
29		also?
30	Α	Okay. I saw multiple opacities. And again I believe I've summarized those in my
31		impressions of them. Individual opacities I can't say anything more
32		specific about. I mean, you know, we could go throughstep through
33		each image and look at each one. And the small round opacities, small
34		round nodules, taken in total to me are indeterminate. You can't tell what

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1		they are.
2	Q	And that is your opinion, right?
3	Α	That is correct.
4	Q	Your impression?
5	Α	All of this is in my opinion.
6	Q	Right. The nodules, is it not correct with coal workers' pneumoconiosis that as
7		dust macules form those progress into nodules, and then those nodules in
8		the complicated form of coal workers' pneumoconiosis go into opacities?
9	Α	Well, we describeyou're trying to split the definitions ofor define nodule
10		opacity macule, and those are not really distinct terms. The macule is
11		something that you see on pathology slides. Radiologists usually don't
12		refer to macules. We don't see macules. That's a pathologist
13	Q	Isn't how the coal workers' pneumoconiosis
14	Α	That is the pathologistology (phonetic), that's correct.
15		Yean, that's how all it works.
16	BY	
17	Q	And it works
18	Α	What I'm saying is, is you're asking me to say that a macule goes to a nodule
19		and goes to an opacity. And that's not the way we use that terminology.
20		A macule is for pathology. That's what the when the pathologist cuts a
21		tissue, he'll describe macules. We see macules as a nodule, a generic
22		term for any area of lung that is not normally aerated can be an opacity.
23		An opacity is a very generic term. For the purposes
24		of OP, we talk about small round opacities which are typical of coal
25		workers' disease. There are opacities that are typical for pneumonia or
26		typical for a tumor. Those are all opacities to us.
27	Q	Right.
28	A	So what I'm seeing nodules, which generically could be called opacities. The
29		larger opacity in the upper lobe on one of the final scans looks to me like again an inflammatory infiltrate or volume loss for some other reason. So
30		again an inflammatory intiltrate of volume loss for some other research that's not the progressivethat's not complicated pneumoconiosis.
31		that's not the progressivethat's not complicated productions  That's not a mass of and again that's not the typical
32		pathophysiology (phonetic) where on January you don't have the
33		complicated large opacity or, you know, progressive massive fibrosis; then
21		COMDICATED INTO OPACITY OF THE PROPERTY OF THE

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	in March you do. That is a much too rapid a progression of a large opacity
	for that to be complicated pneumoconiosis.
0	Theon the 3-24 radiology report from the WVU radiologists, I know you all
S.	don't, you know, look at that. But on the specific report indicating those
	opacities, the radiologist put, "These areas likely relate to development of
	progressive fibrosis."
А	Okav. I would respectively disagree.
	"There are emphysematous changes of the lungs bilaterally." The indication for it
772	was the shortness of breath and the pneumoconiosis. Yeah, his lungs
	were filled with multiple nodules. And I saw this man deteriorate. When
	they would take us in to see theto view the MRI films, to view the chest
	x-rays, they said, "I don't know how this man is breathing the way he does
	because this disease has just literally burnt his lung tissue up."
	You referred to the lung tissue was so poor. I mean
	you can see that. He had no known history, no underlying history of any
	metastatic disease. He had the CT of the abdomen, the pelvis. There
	was no lymph adenopathy. There was no change in size or anything.
	You know, there was no other organ involvement. It was due to that lung
	disease.
DR.	I don't think anyone has said that we didn'tDr.
	I don't think has said that he didn't make a diagnosis of OP.
	Right, right.
DR.	What we're just saying, there are other things on the
	films. And so we'll relate that later, if that's okay, regarding his death.
	Right now we're just describing the radiologic findings that he is describing
	on the films.
BY	And in his opinion, he does not contribute the opacities as being indicative of
Q	progression of the coal workers' pneumoconiosis, to indicate progressive
	massive fibrosis. But in this radiology report, this radiologist did contribute
	that known to Dad's history. And, you know, he'd been there long term in
	the hospital the first three months of January and February and March of
	11; and they saw these changes on the CT's within that length of time.
	And in that length of time, physically seeing him, the
	DR.

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8 JCN No. condition, how his respiratory function deteriorated. You know, you have to...you have to take the whole picture of everything. That's why we've reviewed as much as we have. A You know the size or those, size of those nodules too were increasing, that he Q did have some lung...on the exam there's 1.4 centimeters. Is that also not an indication of progression of fibrosis? BY DR. A nodule of greater than one centimeter is a large opacity by ILO...by the... Α Q Organization that classifies pneumoconiosis. That is correct. So if indeed, I did A not measure these nodules. I believe they're measurements of 1.2. If there's one bigger than that, I cannot, again... I think you're misinterpreting 12 what I'm saying to some extent, because I did not say that I could tell you 13 what those nodules were at the lung bases, and I said I could not exclude 14 that they could be occupational pneumoconiosis. 15 I don't believe that the large opacity in that left upper 16 lobe, which to me has developed in that three months, potentially less 17 than three-month period of time, I have never seen a large opacity 18 develop that quickly in progressive massive fibrosis. I have not seen that. 19 I do not believe that...in my opinion, that is not a large opacity of 20 pneumoconiosis. 21 The ones in the lung bases I could not...again, have 22 23

not excluded that those are OP. Therefore, if there's one greater than one...if there's a 1.2 centimeter, that could be PMF(phonetic)...that could be complicated pneumoconiosis.

1 think there is. 26 Q

It's very difficult for us to measure on here. I need to take a minute and try to 27 A measure one, and I'm happy to do that. Again, I'm not challenging their 28 measurements from the original scale. 29

Okay. Ms. do you have questions of Dr. JUDGE 30 I was going to measure it here. DR. 31 I'm sorry. JUDGE 32 That would be a pretty good size, about two 33 DR. centimeters? 34



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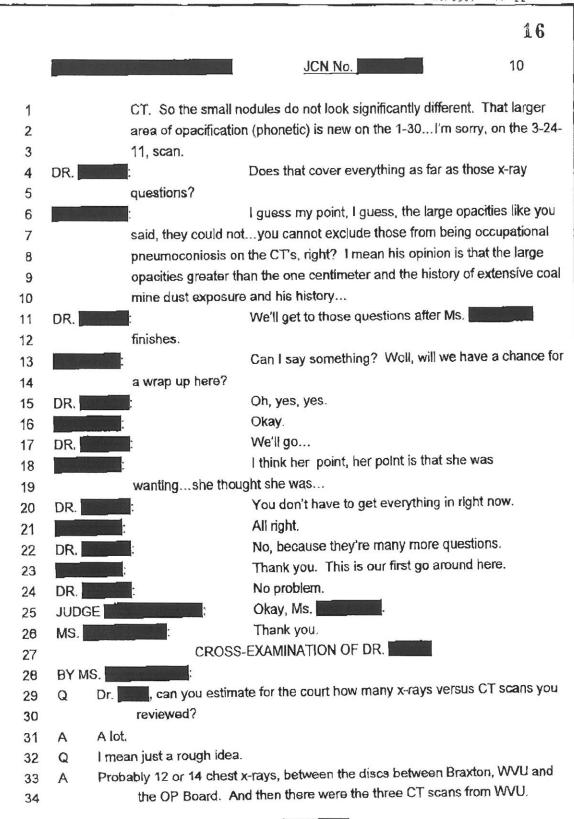
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15 9 JCN No. Yeah, just over one, which I think is what ... 1.4 DR. 1 centimeters in the right lung base on 3-24-11, image 87. So I would agree 2 that if...that would be a large opacity by ILO definitions. 3 4 BY And that two in the left upper lobes? 5 0 Do we want to measure the ... 6 If you can. JUDGE | 7 Were they present on the other...the earlier CAT DR. 8 scan? 9 Well, if by left upper lobe we're referring to the non-10 DR. nodular opacities, there are potentially two areas...well, there may actually 11 be one confluent (phonetic), that are not nodular in the left upper lobe, but 12 that's what's we're asking to be measured. Judge, I'm really not certain 13 what I'm supposed to be doing. 14 But the radiology report refers to upper lung opacity in 15 the left upper lobe, anterior; correct? 16 There's no argument that those are there. 17 DR. Right. They are there, and the measurements...those 18 DR. areas of opacity are larger. They're at least a couple of centimeters, if you 19 took it in...if there's really one instead of two, it would be three or four 20 centimeters in total dimension. And I'm not sure that they're actually 21 distinct. Now were they on the first scan from January? 22 There's no argument that those opacities exist. The 23 DR. argument would be, or the discussion would be, the etiology of those 24 opacities. But opacity just meaning an area. 25 I think your question perhaps might be better directed JUDGE 26 towards Dr. and Dr. which we'll take immediately after Dr. 27 28 And the left upper lobe opacity is new. The non-DR. 29 nodular configuration was not on the 1-30-2011, scan. The right lung 30 base nodule was there. I will measure it and see If It changed any over 31 that time period. It measures 1.2 centimeters which is essentially 32

It's very difficult to measure within a millimeter on a



34 A Yes.

\_\_NO. 6369\_\_\_F. 23\_\_\_\_

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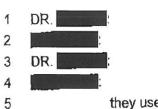
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		JCN NO.
1	Q	Do you find it helpful to review diagnostic imagesI'm sorry, these back up as
2		early asl think the first Board's was in the 1980, 1983?
3	Α	'83, correct.
4	Q	So you reviewed imaging over almost 20 years?
5	Α	Well, they're almost 30yeah, the 2011'83 to 2011.
6	Q	Do you find that the ability to review a series of diagnostic imaging in different
7		media at one time is helpful?
8	Α	It's always helpful to review numerous scans or films over time, yes. Different
9		formats unfortunately sometimes makes it more difficult, as I'm sitting in
10		front of this and my films are all the way over there, yes.
11	Q	But youhow do x-rays differ from CT scans?
12	Α	X-raystypically CT scans are more sensitive for detection of disease, including
13		the entities we're interested in here, like coal workers' or other
14		occupational pneumoconiosis as well as emphysema.
15	Q	And do you find it helpful that you're able to do all of this at one time rather than
16		years apart? Looking at just one film today and a CT scan five years from
17		now?
18	Α	Yes.
19	Q	I take it then that you do not disagree with the findingsyou have no evidence to
20		dispute the findings that what was seen in the 1980's was due to
21		occupational pneumoconiosis?
22	Α	That is correct.
23	Q	And I take it you don't dispute the presence of the changes in the upper lung
24		zones; you just don't believe those are due to coal dust exposure? It's
25		due to an infectious process?
26	Α	The left upper lobe opacity that evolved between 1-30-2011 and 3-24-11, I would
27		not attribute to complicated OP.
28	Q	And you see a change in the lower lobe, and you've testified that that was an
29		atypical presentation; is that correct?
30	Α	It is atypical to have the lower lobe disease without otherwithout upper lobe
31		and without the background of smaller opacities.
32	Q	And are there a number of possible differential diagnoses for the changes that
33		you see in the lower lung zones?

		JCN No.
1	Q	And can you state with any reasonable degree of medical certainty that it's due
2		tothose changes are due to coal workers' pneumoconiosis?
3	Α	Again, I would use the phraseology as I did, that I cannot exclude coal workers'
4		pneumoconiosis as the diagnosis.
5	Q	But you're not making an affirmative diagnosis of that condition?
6	Α	I am not making that, correct. Well, let me back up. On the CT scans, I am not
7		making that diagnosis because I think the nodules are indeterminate. On
В		the x-rays, the original x-rays, there's a background of a mild nodular
9		fibrosis consistent with OP.
10	Q	Could those change could the change that you see in the lower lobe have
11		developed irrespective of the nodular changes you see in the '80's?
12	Α	Yes.
13	MS.	I don't think I have anything else, Dr.
14	JUD	GE Okay.
15		CROSS-EXAMINATION OF DR.
16	BY T	THE ALJ;
17	Q	Dr. , you've heard Dr. testimony regarding the radiology. Carı you
18		tell us whether or not you agree with that and also give us your impression
19		of the remainder of the evidence?
20	Α	I do agree with his impression regarding the x-rays over the period of time. I
21		agree that the statements he made regarding the x-rays that are on the
22		board and the x-rays from Board exams, as well as the CT scans, that we
23		have reviewed.
24	DR.	Can I ask you one question? Your father worked in
25		coal mining for 30 years. What was his principal job titles? What did he
26		do principally?
27		Eventuallyyou can probably elaborate more on this than I
28		can, because he
29		: Well, initially, he went to work in the coal mines as a
30		hand loader, and he drilled a job at the face with nitrous oxide. And I'm
31		talking about the term here ofthe doctors explained to us as a type of
32		fertilizer that you drill the chock (phonetic) with. I know they date back in
33		theprobably the 30's, sometime in the late 40's, I don't know exactly
34		when, the 40's or 50's. I don't know when he went to work.

JCN No.

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40's, 50's, probably.

Yeah, 40's and 50's.

Traditional mining with a cutting machine.

Yes. Yes, but he explained it as the nitrous oxide that they used to shoot with then put off some type of a gas. And then when it met with your lungs, it brought forth nitrous oxide...or nitric acid, and it actually burnt the lungs, is the way the doctor explained to us when he was in the hospital, that he had a great degree.

But then, you know, as time progressed on he worked on... I mean he was a mechanic. He worked at the faces of cutting machines, drilling machine or roof bolting, numerous...numerous types.

That's what I would imagine. DR. Exposure....

That he shot coal...that he shot coal a long time ago.

Yes, that's what he would have dld, sir.

And he only smoked in the surface...

Exposure without protection. You know, they had no protection back then. They were told they didn't need protection back then.

So when I review the case, this gentleman at years of age lived a fairly long life, but did die of a respiratory disease. His main cause of death was respiratory in nature. He ended up most likely having a (inaudible), whether that was active infection or just a resident that was present due to long term steroid use I'm not sure. He also was said to have severe COPD, and he had nodules in his lungs.

He had a history of congestive failure as well, although his left ventricular ejection fraction was around 50 to 55% and had previously had a pacemaker placed in his chest to control his cardiac rhythm. His pulmonary function studies done at WVU on February 1, 2011, showed total impairment, with a ratio of 39 and 37 respectively before and after bronchodllation. He was oxygen dependent. He did have severe lung disease.

He did die a respiratory death. When I reviewed the case and when we discussed it today, or this morning with the x-rays and



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DR. 16 17

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the CT scans, we did want to find out, because there are a lot of remarks of progressive massive fibrosis and it says specifically on 3-8-11. I guess it is, or 2-25-11, sorry, numerous nodules, overall stability in size and number. findings likely to relate to patient's history of coal workers' pneumoconiosis.

Additional considerations would include metastatic disease. Clinical correlation is requested. So radiographically those things were entertained, there could be metastatic disease. It could be pneumoconiosis or other disease that would be just granulomatous disease or infectious process or just scar tissue or inflammation. Many, many different etiologies for opacities. I do not know what those opacities were.

I cannot exclude it as being OP. I don't think they were, but I can't exclude it. It would be very unusual for someone to develop progressive massive fibrosis 30 to 40 years after their exposure has ended without some changes over the years. To suddenly just develop it within the last 3 years of life would be extremely unusual and clinically unlikely.

However, he did have a background of simple coal workers' pneumoconiosis that we can see on his x-rays from before. The process of emphysema in his upper lobes, I'm not sure what it is. I don't know the etiology. It could have been his exposure in dust and blasting and conventional mining techniques that they used in the past.

And it is a little confusing because we see usually emphysema of this type or this nature, we'll see it a lot of times in people who smoke or have that type of habit. He did not have a sufficient amount of exposure to smoke, a smoking history that would have caused it. So again a lot of things are alypical that this would all be pneumoconiosis. I think he has multiple processes going on.

I do think he had a background of pneumoconiosis. I think he died a respiratory death. I cannot explain the nodules. I don't know what they are. Most likely to me they're inflammation, but it would be unlikely to be progressive massive fibrosis. In any event, he did have simple coal workers' pneumoconiosis that we can see from before.



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15 JCN No. The emphysematous process may be blocking us of 1 being able to see that on the present x-rays, because he developed 2 significant emphysema that you can see on the CT scan. Again, I don't 3 know the etiology. It could have been his (inaudible) or it could have been 4 from that previous exposure back in the 40's and 50's. 5 In any event, in my opinion after reviewing all of the 6 medical records that we have I would state that his occupational exposure 7 and his occupational pneumoconiosis was a material contributing factor In 8 9 his death. MS. Say it was? 10 Yes, in my opinion. Do you have any questions for 11 DR. 12 me? As part of the death certificate, it all relates back to 13 the cause of death as severe pneumoconlosis/COPD. 14 : Okay, Ms. JUDGE 15 CROSS-EXAMINATION OF DR. 16 BY MS. 17 the Board had reviewed all of those medical records at the time they 18 Q Dr. you prepared your findings initially? 19 Y88. 20 A You were aware that he had occupational pneumoconiosis radiographically? 21 Q We were aware that we had made a diagnosis. We did not have the x-rays. 22 A And you've indicated that the radiographic presentation is atypical for a coal 23 Q dust...for a coal workers' pneumoconiosis? 24 No, I indicated that the CT scan nodules were atypical for coal workers' 25 A pneumoconiosis. 26 That's what we're looking at today. And you... 27 Q We're looking at radiographs that span quite a period of history. 28 A And has his radiographs between 1983 until the last time the Board saw him I 29 Q believe in 1999, do they show any progression of the simple? 30 31 No. Α So his radiographic picture remains stable from 1983 until 1999, on the x-rays? Q 32 A With regards to the simple CWP... 33 34 Q Yes.

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- JCN No. 16 Yes. But there was development. We do not have CT scans in '99. That would 1 A 2 be a nice thing to have. 3 We only had x-rays, okay. And he had last worked in 1982? Q 4 A 5 Q So his radiographic picture was stable over those years? 6 A Yes. 7 Q And from his pulmonary function picture, you found no increase over those three times? I think you gave him a no increase over the subsequent 8 9 evaluations? From...you mean the '80's? 10 A 11 Q Yes. 12 The last one being '88? A 13 Q Or '90...I'm sorry, the last one being '99, I think it was. Or was it '88? I'm sorry. 14 A I think... 15 Q 16 A I thought...in '99 he was given 30%. 1 only have '83 to '88. 17 Q , 30%, which is a 20% increase, ALJ Order 8-5-7-99 in claim number 18 A 22-01. 8-13-88 was a 20%, no increase. And 11-83 was a 20%. 19 So the additional award was granted by an ALJ? 20 Q 21 A The additional award was granted by an ALJ? Q 22 It actually just says 5-27-99, claim number 30%, which represents a 23 A 20% increase. And then it has a dash, ALJ Order of 8-22-01, silicosis. 24 The 8-22-01 would be the Second Injury Life Award, a 25 JUDGE 26 permanent total disability award was granted to him. MS. Okav. 27 So the Board, ... when the Board assessed and rendered a... pretty much his 28 Q picture had remained relatively stable from the time that he ceased mining 29 30 until at least 1988, and we're unclear what happened in '99 when he died 31 from the total? Correct. 32 A The changes that you saw radiographically, could they be due to...like you've 33 Q

indicated, could be due to a number of conditions?

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1	Α	Yes.
2	Q	The clinical course that you saw between January of 2011 and March of 2011, is
3		that typical clinical presentation you see in someone with simple coal
4		workers' pneumoconiosis?
5	Α	No. I do not know the exact etiology of the nodules or the emphysema found in
6 7	0.50	this gentleman's lungs. I do know that he had a stable pneumoconiosis that accounted for approximately 20% earlier. We found 20% during his
8		living claim. He died a respiratory death. I'm not saying that
9		pneumoconiosis was the main cause of his death. I'm just saying it was a
10		material contributing factor in his death.
11	Q	And based on whatI mean 20% impairment, is that a significant amount of
12		impairment?
13	Α	It can be, yes.
14	Q	And between no further dust exposure in 1982 until he died in 2011, with his
15		condition remaining relatively stable, why do you believe that OP was a
16		material contributing factor?
17	Α	If he'd had that 20% of lung function, he may have lived another year or two. I
18		don't know and to me that's significant.
19	Q	Do you have anywhat is the clinical basis for believing that it did progress due
20		to OP as opposed to any of these other conditions?
21	Α	I didn't say he progressed due to OP. I said he had a 20% impairment during his
22		living claim. I do not know what the other conditions were. I cannot tell
23		you what the diagnosis was. Entertainment of progressive massive
24		fibrosis, in my opinion, is not clinically sound because you do not see
25		progressive massive fibrosis as we've discussed develop in that short a
26		period of time after that many years of loss with a lack of exposure.
27		So what his current process was at the time of his
28		death I'm not sure. He did have an aspergillus cultured that grew positive.
29		He had infection and had been treated with steroids. It could have been
30		some other infection that we don't have. We don't have the pathology to
31		state what that was. We don't know. I don't know what his emphysema
32		was in his upper lobes.
33		It could have been from a lot of different causes, but
34		the most common cause we see as cigarette smoking isn't there. His

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JCN No. 18 1 emphysema could have been contributed by his previous dust exposure. I 2 can't state that with reasonable medical certainty that it is, but it is a 3 possibility. In the final analysis, this gentleman died a respiratory death. 4 He had three main processes that I would point out in 5 his lungs. He had some nodules in the lower lobes that I'm not sure were 6 pneumoconiosis, but probably were inflammatory or some other disease. 7 He had a severe amount of emphysema in his upper lobes that was 8 present, and I'm not sure of the etiology of that. And he had simple coal 9 workers' pneumoconlosis. 10 So it's difficult for me to say that his simple pneumoconiosis wasn't a material contributing factor when you review the 11 12 CT scans and the x-rays in conjunction with his clinical history. 13 MS. I have no further questions of Dr. 14 CROSS-EXAMINATION OF DR. 15 BY THE ALJ: Dr. , you've heard the testimony of Dr. and Dr. Do you agree 16 Q 17 with it and also give us your opinion of the evidence of record? I think it's been reviewed quite extensively. I think the determining factor is that 18 Α 19 we don't have a great etiology of the emphysema and whether his coal 20 dust, other exposures during his working process, caused the emphysema. I think it's the portion that gives us cause to give the 21 22 Claimant the benefit of the doubt. I would agree with their opinions 23 otherwise. 24 JUDGE , do you have any questions of Dr. 25 26 Yeah, 27 CROSS-EXAMINATION OF DR. 28 BY 29 Q With his clinical history, with his course of the long term exposure to the coal 30 dust, just because, do you agree, that just because, you know, he retired 31 in '82, that dust exposure may have subsided at that time; but that disease 32 progression does not subside, it continues? 33 A It can continue. It doesn't always continue.

And the emphysematous changes, taking into consideration his clinical history.

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for coming in.

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JCN No. 19 1 his long term history again of the dust exposure, you're affirming that he 2 did have a simple coal workers' pneumoconiosis. Can you say that those 3 emphysematous changes within his lungs are not related directly to 4 progression of the disease? 5 That is the...that in lies the question, and we're saying we cannot state that. A 6 That if he had smoked 20 years, we wouldn't even be talking about this, 7 Q Right, he hadn't. So obviously the etiology of that, those emphysematous changes, is a big 8 A 9 question mark. And because we don't have another etiology, we're giving 10 him the benefit of the doubt. 11 Because Dad, his smoking history was virtually nil (phonetic)? Q 12 Α He was honest and, you know, it was back many, many...it was virtually nothing. 13 Q 14 JUDGE Okay. Is there anything further? Okay. Ms. 15 16 CROSS-EXAMINATION OF DR. 17 BY MS. 18 , in the interest of time if I asked you the same questions as I did Dr. 19 and Dr. would your testimony be substantially the same? 20 A Yes, ma'am. 21 Q In light of the date of last exposure and the atypical presentation clinically and 22 radiographically and the fact that you are not able to state within a 23 reasonable degree of medical certainty as to the cause of those 24 radiographic changes and the emphysema, do you still remain of the 25 opinion that OP was a material contributing factor in this gentleman's 26 death? 27 Α Yes, ma'am. And are smoking and coal dust exposure the only known causes of emphysema? 28 Q 29 A No, ma'am. 30 MS. I have no additional questions. 31 JUDGE : Okay. In that case, the hearing is concluded and an Order 32 will be issued submitting the claim upon receipt of the transcript. Thank you all

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26 JCN No. 20 1 2 3 4 5 6 7 8 STATE OF WEST VIRGINIA, WORKERS' COMPENSATION OFFICE OF JUDGES, to wit: 9 10 I hereby certify that the foregoing proceeding was transcribed from a digital 11 recording. 12 This, the 25th day of June, 2013. 13 14 15



# FAX TRANSMITTAL FORM

FAX# (304) 558-4115

ATTN: Evelyn P. Melton

FROM: Luisa J. Diaz

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**SOLICITATION NUMBER: INS14015** 

BID OPENING DATE: APRIL 2, 2014

**BID OPENING TIME: 1:30 PM** 

FAX NUMBER: 717-233-5858



April 1, 2014

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Dear Ms. Melton,

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