

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

# Request for Quotation

BFQ NUMBER 7011EC06

BUYER 33

ADDRESS CORRESPONDENCE TO ATTENTION OF 304-558-2402

**\*709035731** 304-949-6400 CECIL I WALKER MACHINERY COMPA PO BOX 2427

CHARLESTON WV 25329

DIVISION OF HIGHWAYS **EQUIPMENT DIVISION** ROUTE 33 BRUSHY FORK ROAD Ţ BUCKHANNON, WV 26201 304-472-1750

DATE PRINTED TERMS OF SALE SHIP VIA F.O.B. FREIGHT TERMS 09/29/2010 BID OPENING DATE: 11/10/2010 BID OPENING TIME 01:30PM CAT LINE QUANTITY UOP ITEM NUMBER UNIT PRICE AMOUNT NO 0001 EΑ 760-06 1 101 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE OPEN END CONTRACT 2.3 XXV 24 P 12:59 TO PROVIDE 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE FOR THE WEST VIRGINIA DIVISION OF HIGHWAYS. PER THE ATTACHED SPECIFICATIONS. MANDATORY PRE-BID A MANDATORY PRE-BID WILL BE HELD ON THURSDAY, 10/28 AT 11AM, 2019 WASHINGTON ST E., CHARLESTON WV 25305. ALL INTERESTED PARTIES ARE REQUIRED TO ATTEND THIS MEETING FAILURE TO ATTEND THE MANDATORY PRE-BID SHALL RESULT IN DISQUALIFICATION OF THE BID. NO ONE PERSON MAY REPRESENT MORE THAN ONE BIDDER. AN ATTENDANCE SHEET WILL BE MADE AVAILABLE FOR ALL POTENTIAL BIDDERS TO COMPLETE. THIS WILL SERVE AS THE OFFICIAL DOCUMENT VERIFYING ATTENDANCE AT THE MANDATORY FAILURE TO PROVIDE YOUR COMPANY AND REPRESENTATIVE NAME ON THE ATTENDANCE SHEET WILL RESULT IN DISQUALIFICATION OF THE BID. THE STATE WILL NOT ACCEPT ANY OTHER DOCUMENTATION TO VERIFY ATTENDANCE. THE BIDDER IS RESPONSIBLE FOR ENSURING THEY HAVE COMPLETED THE INFORMATION REQUIRED ON THE ATTENDANCE THE PURCHASING DIVISION AND THE STATE AGENCY WILL NOT ASSUME ANY RESPONSIBILITY FOR A BIDDER-S FAILURE TO COMPLETE THE PRE-BID ATTENDANCE SHEET. ADDITION, WE REQUEST THAT ALL POTENTIAL BIDDERS INCLUDE THEIR E-MAIL ADDRESS AND FAX NUMBER. SEE REVERSE SIDE FOR TERMS AND CONDITIONS TELEPHONE 1543-5406 11/24/10 FFIN 709035731 ADDRESS CHANGES TO BE NOTED ABOVE WHEN RESPONDING TO RFQ, INSERT NAME AND ADDRESS IN SPACE ABOVE LABELED 'VENDOR'

# **GENERAL TERMS & CONDITIONS** REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
- 2. The State may accept or reject in part, or in whole, any bid.

3. Prior to any award, the apparent successful vendor must be properly registered with the Purchasing Division

and have paid the required \$125 fee.

- 4. All services performed or goods delivered under State Purchase Order/Contracts are to be continued for the term of the Purchase Order/Contracts, contingent upon funds being appropriated by the Legislature or otherwise being made available. In the event funds are not appropriated or otherwise available for these services or goods this Purchase Order/Contract becomes void and of no effect after June 30.
- 5. Payment may only be made after the delivery and acceptance of goods or services.
- 6. Interest may be paid for late payment in accordance with the West Virginia Code.
- 7. Vendor preference will be granted upon written request in accordance with the West Virginia Code.
- 8. The State of West Virginia is exempt from federal and state taxes and will not pay or reimburse such taxes.
- 9. The Director of Purchasing may cancel any Purchase Order/Contract upon 30 days written notice to the seller.
- 10. The laws of the State of West Virginia and the Legislative Rules of the Purchasing Division shall govern the purchasing process.
- 11. Any reference to automatic renewal is hereby deleted. The Contract may be renewed only upon mutual written agreement of the parties.
- 12. BANKRUPTCY: In the event the vendor/contractor files for bankruptcy protection, the State may deem this contract null and void, and terminate such contract without further order.
- 13. HIPAA BUSINESS ASSOCIATE ADDENDUM: The West Virginia State Government HIPAA Business Associate Addendum (BAA), approved by the Attorney General, is available online at www.state.wv.us/admin/purchase/vrc/hipaa.htm and is hereby made part of the agreement. Provided that the Agency meets the definition of a Cover Entity (45 CFR §160.103) and will be disclosing Protected Health Information (45 CFR §160.103) to the vendor.
- 14. CONFIDENTIALITY: The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures, and rules. Vendor further agrees to comply with the Confidentiality Policies and Information Security Accountability Requirements, set forth in http://www.state.wv.us/admin/purchase/privacy/noticeConfidentiality.pdf.
- 15. LICENSING: Vendors must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including, but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, and the West Virginia Insurance Commission. The vendor must provide all necessary releases to obtain information to enable the director or spending verify that the vendor is licensed and in good standing with the above entities.
- 16. ANTITRUST: In submitting a bid to any agency for the State of West Virginia, the bidder offers and agrees that if the bid is accepted the bidder will convey, sell, assign or transfer to the State of West Virginia all rights, title and interest in and to all causes of action it may now or hereafter acquire under the antitrust laws of the United States and the State of West Virginia for price fixing and/or unreasonable restraints of trade relating to the particular commodities or services purchased or acquired by the State of West Virginia. Such assignment shall be made and become effective at the time the purchasing agency tenders the initial payment to the bidder.

I certify that this bid is made without prior understanding, agreement, or connection with any corporation, firm, limited liability company, partnership, or person or entity submitting a bid for the same material, supplies, equipment or services and is in all respects fair and without collusion or Fraud. I further certify that I am authorized to sign the certification on behalf of the bidder or this bid.

### INSTRUCTIONS TO BIDDERS

- 1. Use the quotation forms provided by the Purchasing Division. Complete all sections of the quotation form.
- 2. Items offered must be in compliance with the specifications. Any deviation from the specifications must be clearly indicated by the bidder. Alternates offered by the bidder as EQUAL to the specifications must be clearly defined. A bidder offering an alternate should attach complete specifications and literature to the bid. The Purchasing Division may waive minor deviations to specifications.

3. Unit prices shall prevail in case of discrepancy. All quotations are considered F.O.B. destination unless alternate shipping terms are clearly identified in the quotation.

4. All quotations must be delivered by the bidder to the office listed below prior to the date and time of the bid opening. Failure of the bidder to deliver the quotations on time will result in bid disqualifications: Department of Administration, Purchasing Division, 2019 Washington Street East, P.O. Box 50130, Charleston, WV 25305-0130

5. Communication during the solicitation, bid, evaluation or award periods, except through the Purchasing Division, is strictly prohibited (W.Va. C.S.R. §148-1-6.6).



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304-558-2402	

304-949-6400 CECIL I WALKER MACHINERY COMPA

CHARLESTON WV 25329

**\*709035731** 

PO BOX 2427

DIVISION OF HIGHWAYS **EQUIPMENT DIVISION** ROUTE 33 **BRUSHY FORK ROAD** BUCKHANNON, WV 26201 304-472-1750

SHIP VIA DATE PRINTED TERMS OF SALE F.O.B. FREIGHT TERMS 09/29/2010 BID OPENING DATE: 11/10/2010 BID OPENING TIME 01:30PM CAT. UOP ITEM NUMBER UNIT PRICE AMOUNT LINE **QUANTITY** ALL POTENTIAL BIDDERS ARE REQUESTED TO ARRIVE PRIOR TO THE STARTING TIME FOR THE PRE-BID. BIDDERS WHO ARRIVE LATE, BUT PRIOR TO THE DISMISSAL OF THE TECHNICAL PORTION OF THE PRE-BID WILL BE PERMITTED TO SIGN IN. BIDDERS WHO ARRIVE AFTER CONCLUSION OF THE TECHNICAL PORTION OF THE PRE-BID, BUT DURING ANY SUBSEQUENT PART OF THE PRE-BID WILL NOT BE PERMITTED TO SIGN THE ATTENDANCE SHEET. QUESTIONS: WRITTEN QUESTIONS WILL BE ACCEPTED THROUGH CLOSE OF BUSINESS (5:00 PM, EST) ON THURSDAY, 10/21/10. SEND QUESTIONS TO: PURCHASING DIVISION ATTENTION SHERI SLONE/CHUCK BOWMAN 2019 WASHINGTON STREET EAST CHARLESTON, WV 25305 QUESTIONS MAY BE SENT VIA FAX, E-MAIL, OR REGULAR MAIL. E-MAIL: SHERI.D.SLONE@WV.GOV FAX: 304-558-4115 IT IS THE VENDOR'S RESPONSIBILITY TO VERIFY THAT THEIR QUESTIONS HAVE BEEN RECEIVED BY CALLING 304-558-2402. EXHIBIT 2 LIFE OF CONTRACT: THIS CONTRACT BECOMES EFFECTIVE ON AND EXTENDS FOR A PERIOD OF ONE (1) YEAR OR UNTIL SUCH "REASONABLE TIME" THEREAFTER AS IS NECESSARY TO OBTAIN A NEW CONTRACT OR RENEW THE ORIGINAL CONTRACT. THE "REASONABLE TIME" PERIOD SHALL NOT EXCEED TWELVE (12) MONTHS. DURING THIS "REASONABLE TIME" THE VENDOR MAY TERMINATE THIS CONTRACT FOR SEE REVERSE SIDE FOR TERMS AND CONDITIONS (304) 543-5406 11/24/10 ADDRESS CHANGES TO BE NOTED ABOVE 709035731 DEPRESENTATIVE



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DATE PRINTED TERMS OF SALE SHIP VIA FREIGHTTERMS FOB 09/29/2010 BID OPENING DATE: BID OPENING TIME 11/10/2010 01:30PM CAT. LINE QUANTITY UOP ITEM NUMBER UNIT PRICE AMOUNT BID OPENING TIME: 1:30 PM PLEASE PROVIDE A FAX NUMBER IN CASE IT IS NECESSARY TO CONTACT YOU REGARDING YOUR BID: (304) 759-6464 CONTACT PERSON (PLEASE PRINT CLEARLY): MATTHEW E. \$88,101.00 THIS IS THE END OF RFQ 7011EC06 \*\*\*\*\* TOTAL: SEE REVERSE SIDE FOR TERMS AND CONDITIONS SIGNATURE TELEPHONE DATE (304)543-5406 11/24/10 ADDRESS CHANGES TO BE NOTED ABOVE 709035731



RFO COPY

TYPE NAME/ADDRESS HERE

State of West Virginia
Department of Administration
Purchasing Division
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Post Office Box 50130
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DIVISION OF HIGHWAYS
EQUIPMENT DIVISION
ROUTE 33
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26201 304-

304-472-1750

DATE PRINTED	TERMS OF FALE	SHIP	l.	(CI)	FARGH TERMS
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# GENERAL TERMS & GONDITIONS REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
- 2. The State may accept or reject in part, or in whole, any bid.
- 3. Prior to any award, the apparent successful vendor must be properly registered with the Purchasing Division and have paid the required \$125 fee.
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- 13. HIPAA BUSINESS ASSOCIATE ADDENDUM: The West Virginia State Government HIPAA Business Associate Addendum (BAA), approved by the Attorney General, is available online at www.state.wv.us/admin/purchase/vrc/hipaa.htm and is hereby made part of the agreement. Provided that the Agency meets the definition of a Cover Entity (45 CFR §160.103) and will be disclosing Protected Health Information (45 CFR §160.103) to the vendor.
- 14. CONFIDENTIALITY: The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures, and rules. Vendor further agrees to comply with the Confidentiality Policies and Information Security Accountability Requirements, set forth in http://www.state.wv.us/admin/purchase/privacy/noticeConfidentiality.pdf.
- 15. LICENSING: Vendors must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including, but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, and the West Virginia Insurance Commission. The vendor must provide all necessary releases to obtain information to enable the director or spending unit to verify that the vendor is licensed and in good standing with the above entities.
- 16. ANTITRUST: In submitting a bid to any agency for the State of West Virginia, the bidder offers and agrees that if the bid is accepted the bidder will convey, sell, assign or transfer to the State of West Virginia all rights, title and interest in and to all causes of action it may now or hereafter acquire under the antitrust laws of the United States and the State of West Virginia for price fixing and/or unreasonable restraints of trade relating to the particular commodities or services purchased or acquired by the State of West Virginia. Such assignment shall be made and become effective at the time the purchasing agency tenders the initial payment to the bidder.

I certify that this bid is made without prior understanding, agreement, or connection with any corporation, firm, limited liability company, partnership, or person or entity submitting a bid for the same material, supplies, equipment or services and is in all respects fair and without collusion or Fraud. I further certify that I am authorized to sign the certification on behalf of the bidder or this bid.

# INSTRUCTIONS TO BIDDERS

- 1. Use the quotation forms provided by the Purchasing Division. Complete all sections of the quotation form.
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REQ COPY

ype name/address here

C. I. WALKER MACHINERY Co.

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

# Request for passage mechanics Quotation

7011EC06

ADDRESS CORRESPONDENCES COATE

BUYER 33 304-558-2402

ivision of Highways OUIPMENT DIVISION OUTE 33 RUSHY FORK ROAD uckhannon, wv 304-472-1750 26201

SHIPVA FOD PARIORITERMS DATE PRINTED TERMS OF SALE 11/16/2010 BID OPENING DATE: BID OPENING TIME 01:30PM 11/17/2010 UOP ITEMNUMBER UNITARIOE AMOUNT LINE CUANTITY addenium no. THIS ADDENDUM IS ISSUED TO ADDRESS SPECIFICATION CHANGES AS DISCUSSED AND AGREED UPON DURING MANDATORY PRE-BID MEETING PER ATTACHED. 11/17/2010 BID OPENING DATE REMAINS: BID OPENING TIME REMAINS: 1:30 PM \*\*\*\*\*\*\*\*\* END ADDENDUM NO. 760-06 oboi AND BLADE TRACTOR/DOZER WITH WINCH HORSEPOWER \$88,101 7011ECD6 TOTAL: ES THE END OF RFO THIS SEE REVERSE SIDE FOR TERMS AND CONDITIONS (304) 543-5406 11/24/10 ADDRESS CHANGES TO BE NOTED ABOVE 709035731

WHEN RESPONDING TO RFQ, INSERT NAME AND ADDRESS IN SPACE ABOVE LABELED 'VENDOR'

# GENERAL TERMS & CONDITIONS REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
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# PREBID ADDENDUM #2 70-11-EC06

# OPEN END CONTRACT 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE

### CHANGES TO SPECIFICATIONS:

# FROM:

4.2 DELIVERY – 2<sup>nd</sup> Paragraph – 2<sup>nd</sup> Sentence
Delivery is preferred within 120 days after receipt of purchase agreement.

# TO:

4.2 DELIVERY – 2<sup>nd</sup> Paragraph – 2<sup>nd</sup> Sentence
Delivery is preferred within 180 days after receipt of purchase agreement.

### FROM:

4.2 DELIVERY - 3<sup>rd</sup> Paragraph
A completed pilot model for inspection must be provided within 90 calendar days after receipt of the purchase agreement by the successful vendor.

### TO:

4.2 DELIVERY – 3<sup>rd</sup> Paragraph
A completed pilot model for inspection must be provided within 120 calendar days after receipt of the purchase agreement by the successful vendor.

### FROM:

7.5.2 Drum capacity should be about 257 feet.

### TO:

7.5.2 Drum capacity should be about 257 feet. However we will spool only 150 feet.

### FROM:

7.5.2.1 Winch rope diameter shall be a minimum of 5/8 inches and maximum 3/4 inches with tail chain and hook. (Must comply with winch specifications.)

# TO:

7.5.2.1 Winch rope diameter shall be a minimum of 5/8 inch cable with tail chain and hook. (Must comply with winch specifications.)

Prebid Addendum 7011EC06

FROM:

7.5.3 Minimum line pull (full drum) 25,000 lbs./11340kg.

TO:

7.5.3 Minimum line pull (full drum) 22,000 lbs.

PROM:

7.5.4 Maximum line speed (full drum) 207 feet/min/63m/min.

TO:

7.5.4 Minimum line speed (full drum) 100 ft. per minute

DELETE: (Duplicate)

7.11 Preventive Maintenance and Operators Orientation/Training:

7.11.1 The Manufacturer or dealer shall provide preventive maintenance/operator orientation seminar to be conducted at the Equipment Division,

Buckhannon. All training aids i.e. videos, charts, manuals, etc. to be furnished by successful vendor.

# PREBID ADDENDUM #2 70-11-EC06

# OPEN END CONTRACT 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE

CHANGES	TO BIDDER'S EVALUATION REPORT:	
X42 DEI	ivery date of completed representative unit: 12	Calendar Days After eipt of Purchase Agreement
X4.2.2 Deli	ivery date of balance of completed units: 180	Calendar Days After Receipt of Purchase Agreement
FROM: X7.5.2 Dru	m Capacity: <u>35.7</u> feet	
TO: X7.5.2 Dru	m Capacity: 254 feet How many feet sp	oooled: 150 feet
FROM: X7.5.2.1	Winch rope diameter inches with tail cl Does it comply with winch specifications	hain and hookYESNO YESNO
TO: X7,5,2,1	3/4" Swedged to 5/8' Winch rope diameterinch cable with	th tail chain and hook  YESNO
	Does it comply with winch specifications	VES NO
FROM: X7.5,3 Mi	nimum line pull (full drum):lbs./kg.	
TO:	nimum lina muli (full duum) 25,000 lhe.	

7011EC06
Bidder's Evaluation

FROM:

X7.5.4 Maximum line speed (full drum): \_\_\_\_feet/min \_\_\_\_m/min.

TO:

X7.5.4 Minimum line speed (full drum): \_\_\_\_OT\_\_ ft. per minute

DELETE: (Duplicate)

X7.11 Preventive Maintenance and Operators Orientation/Training:

X7.11.1 Will manufacturer or dealer conduct seminar at Buckhannon \_\_\_YES\_\_\_NO

X7.11.2 Will you furnish all training aids \_\_\_YES\_\_\_NO

# PRE-BID CONFERENCE HULLO ME ROSO

Request for Quotation Number.

DOH-7011EC06

Oate:

11/03/2010 @ 9:00 am

Project Description:

Tractor/Dozer

PLEASE PRINT LEGIBLY. THIS INFORMATION IS ESSENTIAL TO CONTACT THE ATTENDEES IN A TIMELY MANNER. FAILURE TO DO SO Na Car MAY RESULT IN DELAYS IN YOUR COMPANY GETTING IMPORTANT BID INFORMATION.

Galdison Call Lake

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Firm Name:	WOW
Firm Address:	
Representative Attending:	Layer Khey
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Frank Address.	

Firm Name: Firm Address:	CHANGE STON W 26322	Fin Ma
Representive Attending: Phone Number: Fax Number:	BARKORAO4 2XX 346-5 201 804 346-5305	Representation of the second o

CUNTRESPONDENCION

Email Address.

Represenative Attending:	FOURTHS M. Kawas. Th
Phone Number:	(314) 776-4465
Fax Number:	(300) 776-4406
Email Address:	Garason of Granman - Line

	C. I. WALKER TI MCHANGEN CO.
Firm Address:	Pic Box Just
	CHARLESTON, WW 25329
Representative Attending:	MATE COMMEN
Phone Number	1070 -040 (107)
Fax Number:	Hon 9 - 53L (**)
Email Address:	miconner @ walker - cat. com

Firm Name:	1- Mars Mantenas
Firm Address:	
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Remesenative Attending	
Phone Number	
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# PRE-400 CONFERENCE

PLEASE PRINT LEGIBLY. THIS INFORMATION IS ESSENTIAL TO CONTACT THE ATTENDEES IN A THIRELY MAINIER. FAILURE TO DIO SO 11/03/2010 @ 9:00 am ロードラン するい Represenative Attending: Represenative Attending. Representative Attending: Phone Number: may result in delays in your company getting important bid information. Phone Number Phone Number Email Address: Email Address: Email Address: Firm Address: Firm Address: Firm Address: Fax Number. Fax Number. Fax Murrber. Firm Name: Firm Name: Firm Name: SON IN STREET Date: Someone Company 415 **DOH-761**(ECS6) 会は一下では中人の人の人のと 66.3 **Tractor/Dozer** Sonn の対対化 aselario erish.com 34-843-3511 EXT. p colvert @ rish, com Ł EQUIPMENT 34- 843- 12-36 CALVERT え、イズが次でな 304- 642- 35 H-304 - Drz. 6126 Pox SO assed Cor OCION REPORT なるであった。 じった でなってい Rish ã 2 . Request for Quotation Number: Represensive Attending: Representative Attending: Representative Attending: Project Description: Phone Number. Phone Number: Phone Numeration: Email Address: Email Address: Email Address: Firm Address: Firm Address: Firm Address: Fax Number. Fax Number Fax Number. Firm Name: Firm Name: Firm Name:



RFQ COPY

YPE NAME/ADDRESS HERE

C. I. WALKER MACHINERY CO.

State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

# Request for \_\_\_\_\_ Quotation

7011FC06

MANANTON ESSENTING STANDON GENERAL MONTHUM PROPERTY OF THE PRO BUYER 33

DIVISION OF HIGHWAYS REQUIPMENT DIVISION FROUTE 33 TERUSHY FORK ROAD BUCKHANNON, WV

304-558-2402

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304-472-1750

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Sales Representative	70903573			TO BE NOTED ABOVE

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RFQ COPY

TYPE NAME/ADDRESS HERE

C.I. WALKER MACHENERY CO.

State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Pest Office Box 50130
Charleston, WV 25305-0130

Request for
Quotation
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304-558-2402

Division of Highways EQUIPMENT DIVISION ROUTE 33 PRUSHY FORK ROAD BUCKHANNON, WV

364-472-1750 26201

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# PREBID ADDENDUM \*4 70-11-EC06

# OPEN END CONTRACT 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE

# CHANGES TO SPECIFICATIONS:

FROM PREBID ADDENDUM #2

7.5.4 Minimum line speed (full drum) 100 ft. per minute

TO:

7.5.4 Minimum line speed (full drum) 80 ft, per minute

# WEST VIRGINIA DEPARTMENT OF TRANSPORTATION DIVISION OF HIGHWAYS EQUIPMENT DIVISION

# PROCUREMENT SPECIFICATIONS NO. 300-2-D

# OPEN END CONTRACT 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE

### 1.0 PURPOSE

It is the purpose of these specifications to describe a 75 Horsepower Tractor/Dozer with Winch and Blade (hereinafter referred to as a "dozer" or a "unit") to be purchased for use by the West Virginia Division of Highways (DOH) on an Open End Contract basis.

# 2.0 BIDDING PROCEDURES

The current purchasing procedures regarding bidding as established by the Department of Administration, Purchasing Division, shall apply. Failure to submit the "Request for Quotation" forms, complete in its entirety and according to directions indicated, may subject the bidder to disqualification. Each bid submitted shall also be accompanied by a Bidder's Evaluation Report completed in detail. Addendums in order, along with exception sheets, should be with Bidder's Evaluation Report. FAILURE TO SUBMIT THE BIDDER'S EVALUATION REPORT, COMPLETE IN ITS ENTIRETY, SHALL RESULT IN AUTOMATIC DISQUALIFICATION.

# 3.0 SPECIFICATIONS

The specifications named herein, mandatory and non-mandatory, establish the acceptable level of quality only and are not intended to reflect a preference or favor any particular brand or vendor.

# 3.1 EXCEPTIONS TO NON-MANDATORY SPECIFICATIONS

Exception to a non-mandatory unit specification may be made by the bidder, providing the exception is not available from the manufacturer. Any such exception must be noted on the bidder's evaluation report and should be accompanied by supporting documentation/literature from the manufacturer. Any exception must be indicated on a separate attachment to the bidder's evaluation report and labeled as "Exception to Specifications". The state reserves the right to determine whether the stated exception does or does not reduce the quality and performance of the unit. Failure to provide information for any exceptions may be grounds for rejection of the bid. The state reserves the right to waive minor irregularities in bids or specifications in accordance with §148-1-4(f) of the WV Legislative Rules and Regulations.

# 3.2 MANDATORY SPECIFICATIONS

All specifications preceded by "shall, will, and/or must" or are stated as a "minimum and/or maximum" are mandatory as stated in Purchasing Divisions Policies and Procedures. Any bid failing to meet any mandatory item shall be immediately disqualified. Failure to respond in the appropriate evaluation section may also be grounds for immediate disqualification at the discretion of the State.

A mandatory pre-bid conference is scheduled for this equipment purchase as stated in the RFQ. Vendors having products with variations or exceptions in specified mandatory items are expected to address any such variations or exceptions during the pre-bid conference. The State shall review and consider any such variation or exception, and may at its sole discretion, issue an addendum to change mandatory specifications deemed to be in the State's best interest. Bids from any vendor failing to attend the mandatory pre-bid shall be disqualified. Bids containing any variation or exception to a mandatory specification that was not addressed during the pre-bid conference and accepted by the issuance of an Addendum shall be disqualified.

# 4.0 REPRESENTATIVE UNIT FOR TEST

The successful vendor must (if specified) provide DOH one (1) completed representative unit to be observed and evaluated on each order to insure compliance with specification. If requested, the time period for testing and evaluation shall be seven (7) working days following receipt of the unit. DOH will incur no obligation for deterioration of surfaces, finishes, seals, and mechanical or electrical parts on the unit resulting from operation and testing within the limits of these specifications; nor will DOH incur obligation for damage to the unit resulting from failure to meet specifications when due care and attention is given by DOH and testing is done within the limits of these specifications. Failure of the pilot unit to satisfactorily meet specifications as bid shall be cause for cancellation of the purchase order, and return of the delivered unit along with all associated equipment to the vendor at the vendor's expense.

# 4.1 CONDITION OF UNIT(S) UPON DELIVERY

All units must arrive at the prescribed delivery point having been completely preserviced with oil, lubricants, and coolant. All prescribed precautions pertaining to first operations and break-in of the unit are to be posted conspicuously on the unit for ready observance by the operator.

# 4.2 DELIVERY

Delivery point of the completely assembled representative unit will be the DOH, Equipment Division, Route 33 at Brushy Fork Road, Buckhannon, West Virginia (26201).

The vendor is responsible for guaranteeing delivery of the completed units within the time specified and agreed to by the State. Delivery is preferred within 120 days after receipt of purchase agreement. The vendor is responsible for establishing and coordinating delivery terms with allied manufacturers or suppliers. Delivery terms shall be stated in the bid and the State reserves the right to accept or negotiate such

terms. Failure to reach an agreement may result in rejection of the bid. The successful bidder shall provide their manufacturer's confirmation of the order to the WVDOH contact person within seven (7) working days after receiving the approved purchase order.

A completed pilot model for inspection must be provided within 90 calendar days after receipt of the purchase agreement by the successful vendor.

Delivery is an integral part of this specification and failure to comply will be cause to initiate a D.O.T. Administrative Form WV-82, Vendor Performance Form. The WV-82 Form will provide a means of officially notifying the Purchasing Division and the vendor of unsatisfactory performance; such as late deliveries, poor service, inadequate parts supplies, etc.

The decision to initiate subject Form will be at the sole discretion of the D.O.H. Commissioner's established Equipment Review Board.

Issuance of the WV-82 Vendor Complaint Form on unsatisfactory delivery against any vendor will be cause to refuse to consider similar items from those vendors on future Request For Quotations.

(NOTE: Delivery time could be altered due to labor strikes, severe inclement weather conditions, etc.)

- 5.0 AWARD CRITERIA
- DOH will recommend the award in accordance with the RFQ evaluation criteria described in the requisition. The award shall be made to the lowest unit cost vendor that meets or exceeds the specifications. DOH reserves the right to place multiple orders in any quantity.
- 6.0 SPECIFICATIONS AND GUIDELINES GENERAL
- 6.1 IDENTIFICATION OF THE UNIT BEING PROPOSED

The bidder must identify the unit by manufacturer, model, series, and year of manufacture, in the bid to enable identification by DOH in the manufacturer's specifications of the proposed unit. The bidder will submit complete descriptive literature of the proposed unit, to establish that the bid is the manufacturer's most current model, including latest engineering improvements, which have been, or will imminently be, regularly advertised and sold on the open market. The unit specified herein and offered to be manufactured after January 1, 2010 and be clearly identified and marked with date of manufacture.

OPERATING AND SERVICE MANUALS AND PARTS LISTS
An operator's manual must be included with each unit upon delivery. A "line sheet" (if applicable) and Equipment Preventative Maintenance Questionnaire (as shown in X6.2 of the Bidder's Evaluation Report) must be with pilot unit upon delivery. In addition, there must be 12 service, shop, or maintenance manuals; ten (10) to be distributed to the Districts and two (2) for the Equipment Division.

Also, there must be 14 parts manuals; ten (10) to be distributed to the Districts and four (4) for Equipment Division use. CD ROM is preferred in lieu of parts or service manuals.

# \* NOTE: MANUALS SHALL BE DELIVERED UPON COMPLETION OF DELIVERY OF TOTAL UNITS. FAILURE TO DO SO WILL DELAY PAYMENT.

# 6.3 TRAINING:

Manufacturers and/or dealers will be required to stage a thorough seminar on the subjects of Preventative Maintenance, Operator and Mechanic Training. In order to keep the operators and mechanics updated, the successful vendor shall conduct training with each purchase order against this open end contract. Training is preferred within 2 working days after delivery of the pilot unit on the individual purchase order.

Manufacturers and/or dealers shall be required to furnish the Training Academy with one (1) Operator's Manual to be shipped direct to WVDOH Training Academy, Post Office Box 610, Buckhannon, West Virginia 26201 prior to delivery of the pilot.

The seminar to be held at the W. Va. Division of Highways, Equipment Division, Buckhannon, West Virginia.

# 6.4 PREVENTIVE MAINTENANCE AND OPERATOR PROCEDURES:

Manufacturers and/or dealers will be required to submit to the Equipment Division, in addition to the operating and service manuals, booklets and pamphlets explaining the Preventive Maintenance and Operator Procedures to be used by the operators of this equipment, and must include such things as daily prestart inspection procedure, service schedule, and routine maintenance required, safety precautions, etc.

The successful vendor shall furnish all training aids; i.e., videos, projectors, etc. required in conducting the training.

# - 6.5 WARRANTY AND SERVICE POLICY

The Manufacturers warranty or service policy is to apply to the unit. Such warranty or service policy is to be recognized at any authorized unit dealer, representing manufacturer of proposed unit throughout the State of West Virginia. The applicable warranty or service policy will not be contingent upon obtaining routine service, lubrication, and servicing of the unit from factory authorized agencies. It will be the responsibility of the bidder to have available labor to repair or replace any defective replacement parts, components and materials, and to have available those replacement parts, components, and/or materials found to be defective during the terms of the warranty period. The bidder should state the labor rates, locations where parts will be stocked, availability of parts, and discounts offered for parts, when terms of the warranty offer a pro-rated cost for parts and labor. In addition, the successful bidder should offer field work to repair or replace defective parts, components, and materials found to be

defective during the terms of the warranty and should provide mechanic's travel rates, mileage charges, field mechanic rates, and any surcharge for miscellaneous items, if applicable, for field work during the warranty period. Submit to Division of Highways any technical or engineering improvements during the term of the warranty. The unit must be accompanied upon delivery by the unit's manufacturer's executed warranty or service policy.

A mandatory minimum two (2) year bumper to bumper basic parts and labor warranty excluding abuse and normal wear items is required for this unit.

THE "WARRANTY AND SERVICE POLICY QUESTIONNAIRE" ATTACHED IN THE BIDDER'S EVALUATION REPORT MUST BE COMPLETED IN ITS ENTIRETY BY THE SUCCESSFUL BIDDER OR MANUFACTURER PRIOR TO DELIVERY OF THE PILOT MODEL. (SEE SECTION X6.5 OF BIDDER'S EVALUATION REPORT).

# 6.6 EVALUATION COMMITTEE REQUIREMENTS

Detailed component specifications, product literature, component models, required for specification compliance determination by the Evaluation Committee should be provided with each bid. Any information supplied that is contrary to/or conflicting with the specifications and/or attached Bidders Evaluation Report may be sufficient cause for rejection of bid.

# 6.7 UNSPECIFIED ACCESSORIES & FEATURES

All parts, equipment, accessories, material, design and performance characteristics not specified herein, but which are necessary to provide a complete unit, must be furnished with each unit and required to conform to strength, quality of material, and quality of workmanship to those which are advertised and provided to the market in general by the unit industry.

All parts and accessories advertised and regularly supplied as standard shall be included, except those which would represent duplication of these specified, and except those which, by specification, are not to be furnished. All standard safety features, required by Federal and State Law, shall be included.

- 7.0 SPECIFICATIONS OF THE QUOTED UNIT ARE AS FOLLOWS:
- 7.1 Engine:
- 7.1.1 Engine shall be diesel
- 7.1.2 Engine to be approximately 75 horsepower

7.1.3	Engine flywheel power to be approximately 70 horsepower /
7.2	Weight:
7.2.1	Operating weight shall be minimum 15,000 lbs. to maximum 21,000 lbs.
7.3	Transmission:
7.3.1	Travel speed – forward: Approximately 5.6 MPH/ 9KM/h
7.3.2	Travel speed – reverse: Approximately 6 MPH/9.6KM/h
7.4	Cab/ROPS/FOPS:
7.4.1	Unit shall have fully enclosed cab with air conditioning, ROPS, FOPS, and rear screen
7.4.1.1	ROPS – Must meet SAE J397-Oct 95, SAFJ1040 May 94, ISO 3471-94, ISO 3164-95 Standards
7.4.1.2	FOPS – Must meet SAEJ231 – Jan 81, ISO 3449-Standards
7.5	Winch:
7.5.1	Winch shall be hydrostatic with variable control
7.5.2	Drum capacity should be about 257 feet
7.5.2.1	Winch rope diameter shall be a minimum of 5/8 inches and maximum 3/4 inches with tail chain and hook. (Must comply with winch specifications.)
7.5.3	Minimum line pull (full drum) 25,000 lbs/11340kg
7.5.4	Maximum line speed (full drum) 207 feet/min/ 63m/min.
7.5.5	Winch shall meet or exceed all OSHA safety requirements
7.6	Undercarriage:
7.6.1	Shoe width – 16 inches / 406 mm
7.6.2	Unit shall have standard rock guards on each end
7.7	Blade:

7 Q	Paint.
/.ō	Paint:

- 7.8.1 The dozer shall be painted manufacturer's standard
- 7.8.2 In order to test the adhesive quality of the paint, the DOH may, at its option, require that the vendor measure adhesion by the criteria set forth in ASTM D3359-74, Method B. A rating of less than 4 on this test would be deemed unacceptable.
- 7.8.3 The procedures used by the manufacturer in cleaning, de-greasing, priming, and painting are sufficient to meet this requirement.
- 7.8.4 The Department reserves the right to view larger paint samples after award of contract and the right to require subtle color changes. Such changes, if any, will only be used for selecting a suitable paint color to match the WV DOH logo.
- 7.9 Vendor must certify that unit offered will meet or exceed the "Occupational Safety and Health Act of 1970" or subsequent changes that are in effect at time of manufacturer of the unit.
- 7.10 Advertising: Only one (1) manufacturer name plate, which must include model number, may appear on exterior of unit. Logos created through the stamping or casting process of manufacture are also acceptable. No logo will interfere with the Department's striping and logo.
- 7.11 Preventive Maintenance and Operators Orientation/Training:
- 7.11.1 The Manufacturer or dealer shall provide preventive maintenance/operator orientation seminar to be conducted at the Equipment Division,

  Buckhannon. All training aids i.e. videos, charts, manuals, etc., to be furnished by successful vendor.

# WEST VIRGINIA DEPARTMENT OF TRANSPORTATION DIVISION OF HIGHWAYS EQUIPMENT DIVISION

# **BIDDER'S EVALUATION REPORT**

# PROCUREMENT SPECIFICATIONS FOR OPEN END CONTRACT NO. 300-2-D

# OPEN END CONTRACT 75 HORSEPOWER TRACTOR/DOZER WITH WINCH AND BLADE

NOTE TO BIDDER: Procurement Specification No. 300-2-D, Paragraph 2.0 recommends the completion and submittal of this Report with your bid. Purpose of this Report is to enable the West Virginia Division of Highways Evaluation Committee to make full and fair evaluation of the bid. Addendums in order, along with a summary of exception as a separate attachment, should be with Bidder's Evaluation Report. FAILURE TO SUBMIT THIS REPORT, COMPLETE IN ITS ENTIRETY, MAY SUBJECT THE BIDDER TO DISQUALIFICATION.

	Reference Requisition No.:7011EC06		
	Bidder's Name: C. I. Walker Machinery Co.	Slight Commission of the College of	
	Address: P.O. Box 2427, Charleston, WV 25329		
	Telephone Number: 304-949-6400	नक्तुम् कं <b>यस्त्रीतृ</b> स्थानस्य स्थान	
	Years Bidder has been registered to do business with the State of West Virginia:	_50+	YRS
	Years Company has been an authorized dealer for proposed unit:	50+	YRS
X3.2	Have you complied with all mandatory specifications?x_ YES NO		
X4.2 X4.2.1	DELIVERY: Delivery date of completed representative unit: 120 Calendar Day SEE ADDENDUM Receipt of Purch		nent
X4.2.2	Delivery date of balance of completed units: 180 Calendar Days After SEE ADDENDUM Purchase	er Receipt ( Agreement	æ

X5.0	AWARD CRITERIA;		
X5.1	Price per unit:	\$88,101.0	0 per unit
X6.0	SPECIFICATIONS - GENERAL		
X6.1	Manufacturer, model, series, and date of manufacture of proposed unit:		
	Caterpillar, D3K, January 2011  Is descriptive literature, fully describing proposed unit attached to your bid?x	YE\$	No
	If not, why?	and the state of t	
X6.2	Will the required number of service manuals, and complete parts list be del Equipment Division at Buckhannon upon completion of delivery of total un		e
	X.	YES	_NO
	Will the required Equipment Preventive Maintenance Form (Section X6.2)	of Bidders E	evaluation
	Report) be provided upon inspection of the pilot unit?x_		

# **EQUIPMENT PREVENTATIVE MAINTENANCE QUESTIONNAIRE**

THIS FORM MUST BE COMPLETED IN ITS ENTIRETY BY SUCCESSFUL BIDDER OR MANUFACTURER'S TECHNICAL REPRESENTATIVE PRIOR TO DELIVERY OF PILOT MODEL TO THE WYDOH.

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	COOLING SYSTE	EM CAPACITY: _	And the second s	Andrews to the contract of the					
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TOP OR SI	DE POST:		DIMENSIONS:	LENGTH	WIDTH	HEIGHT			
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X6.3	TRAINING:	
	Will training seminar be conducted on Preventive M Training	aintenance, Operator and Mechanicx_YESNO
	Will you conduct training with each purchase ord	ier against this open end contract? _x_YESNO
	Will training be conducted within 2 working days from the individual purchase order?	om the delivery of the pilot unit on _x_YESNO
	If NQ, explain time frame	and a state of the
	Will an Operator's Manual be furnished directly delivery of the pilot? _x_ YES NO	to Training Academy prior to the
X6.4	If you are the successful vendor, will you furnish projectors, required in conducting the training?	all training aids, i.e., videos, _x_YESNO
X6.4.1	procedures, and service schedule be deliveredxYESNO	with each unit?
	If NO, explain	grad zerosta je priminjenje se zasne kom za mora mora za za nastano je poda kom poda poda poda nastano poda pod poda se poda poda poda je kom poda poda poda poda poda poda poda poda
X6.5	WARRANTY AND SERVICE POLICY	
	Will the warranty and service you provide comply w	rith all areas as stated in Section 6.5x_YESNO
	Is warranty literature attached?	_x_YESNO
	Is a minimum two (2) year bumper to bumper ba excluding abuse and normal wear items included	
	No. 1 18	_x_YESNO
	Describe:Caterpillar 2 year Premiere ESC new machine	e warranty up to 5,000 hours
	450 TO 44 SECTION AND AND AND AND AND AND AND AND AND AN	and the state of t

# X6.5 WARRANTY AND SERVICE POLICY QUESTIONNAIRE THIS FORM MUST BE COMPLETED IN ITS ENTIRETY AND SUBMITTED WITH YOUR BID.

desiring and principles	TO TAKE THE DOLL AND DOLLARS	
AND REAL PROPERTY.	R BID.	d make conics of form
		d, make copies of form.)  ndard warranty. If not offered, so state. (Attach copy)
1. Del	ine the terms of the sta	ver occurs first. Caterpillar Premier ESC New Machine Warranty.
ARTICLE STATE OF THE PERSONS ASSESSED.	No. Comments of the Comment of the C	ver occurs first. Caterpinar relimer 230 frew Machine Wartanty.
See A	ttached	
7,000,000,000,000,000		
2. De	fine warranty service to	be performed at DOH facilities and warranty service to be
perfor	med at manufacturer's	representative facility. List name and location of manufacturer's
repres	entative.	
		rmed at DOH facilities, DOH is responsible for travel and mileage to and
from 1	heir facility. When war	rranty work is performed at Walker facilities,DOH is responsible for
		to Walker. Service calls should be directed to Nitro, (304)755-2500.
***		entories that are within the State of West Virginia. Also, list
	bility levels, if known.	MITCHING NITTON ALL AND AND DE AND
		s: Belle, Nitro, Huntington, Parkersburg, Beckley, Summersville,
CONTRACTOR OF STREET		
Logar	l,	
Residence in the second		
		ty, list the guarantee discount to manufacturer's published list price
for pa	rts that bidder will sell	the parts to owner.
	50 NT / 90	No
A.	Terms: Net 30	Manufacturer's published list price less: 0 % discount  Manufacturer's published list price less: % discount
B.	Terms: Net 60	Section of the sectio
C.	Terms: Net 90	Manufacturer's published list price less:% discount
E 173	41 4 C	to will all manufacturars as analyzaring improvements he entrolitate
		ty, will all manufacturers or engineering improvements be submitted
to Div	rision of Highways?	X YES NO
/ F1		to list the meaning advance abanced for ressoir to the strict
o. Du	ring the term of warran	ty, list the guaranteed rates charged for repair to the unit.
Á	Chair Data	© 6A mar machania haur
A.	Shop Rate	\$64 per mechanic hour
19	Traval Time Chavers	Time directory was 7
В.	Travel Time Charge	\$72 per mechanic hour; port to port
	(Specify if one-way)	minimum in the second s
~	Milaga Chargo	\$ 2.75 per vehicle mile
C.	Mileage Charge	\$3.25_ per vehicle mile
	(Specify if one-way	; port to port
D.	Field Mechanic Rate	\$ 84 per mechanic hour
ه جميدة	i tela istecitatiio trate	A A.A. NAV VENAMPHONE SERVICE

Surcharge for miscellaneous items: 12 %

Specify period of time that prices are in effect: \_\_Subject to change each January\_\_\_\_

E.

F.

X6.6	EVALUATION COMMITTEE REQUIREMENTS		
	Is all component specifications, product literature, component models provided for Evaluation Committee bid determination? xYESNO		
X6.7	Will all parts, equipment, accessories, material, design and performance characteristics not specified herein, but which are necessary to provide a complete unit, be furnished with the unit and conform in strength, quality of material, and quality of workmanship to those which are advertised and provided to the market in general by the unit industry? x_YESNO		
X6.7.1	Are all parts and accessories adequate and regularly supplied as standard to be included except those which may be duplications of specifications herein, and except these by specification are not to be furnished?		
X6.7.2	Are all standard safety features that are required by Federal and State statutes of law included? x_YESNO		
X7.0	SPECIFICATIONS OF THE QUOTED UNIT:		
	The bidder should complete the following schedule in order for the Division to compare the actual bid unit to the specifications. Should the bidder except a requirement, then such exception may be only on the basis that such feature is not offered by the manufacturer. The Division will have the sole discretion as to whether the bidder's substitution meets the requirements of the specification.		
	Manufacturer:Caterpillar Model: D3K		
X7.1	Engine:		
X7.1.1	Is engine dieselx_YESNO		
X7.1.2	Engine horsepower:81HP		
X7.1.3	Engine flywheel power:74 HP		
X7.2	Weight:		
X7.2.1	Operating weight:17,185 lbs.		

X7.3 Tr	ansmission:
X7.3.1	Travel speed forward:5.6 MPH/9KM/h
X7.3.2	Travel speed reverse:6.2 MPH/10KM/h
X7.4 Ca	ab/ROPS/FOPS:
XD7.4.1	Does unit have fully enclosed cab with air conditioning, ROPS, FOPS, and rear screenx_YESNO
X7.4.1.1	Does ROPS meet SAE J397-Oct. 95, SAFJ1040 May 94, ISO3471-94 ISO 3164-95 Standardsx_ YES NO
X7.4.1.2	Does FOPS meet SAEJ231-Jan.81, ISO 3449 Standards_x_YESNO
X7.5 W	inch:
X7.5.1	Is winch hydrostatic with variable controlx_YESNO
X7.5.2	Drum Capacity:256 feet
X7.5.2.1 _x_YES_	Winch rope diameter _3/4" swedged to 5/8"_ inches with tail chain and hookNO
	Does it comply with winch specificationsx_YESNO
X7.5.3	Minimum line pull (full drum):25,000 lbs./11,340kg
X7.5.4	Maximum line speed (full drum):207 feet/min63 m/min
X7.5.5	Does winch meet or exceed all OSHA safety requirements_x_YESNO
X7.6 U	ndercarriage:
X7.6.1	Shoe width: 16 inches/ 406 mm
X7.6.2	Does unit have standard rock guards on each endx_YESNO
X7.7 Bl	ade:
X7.7.1	Does unit have a 6 way bladex_YESNO

X7.8 P	aint (Describe proposed method of painting and c	color):
· Magaza	Painted to Caterpillar paint spec.	enteredirektion in the sense all conference of the sense of
MARYUS Ridalinis		
X7.9 D	oes unit comply with OSHA requirements	X YES NO
X7.10 D	oes unit comply with advertising guidelines	X YES NO
X7.11 Pı	eventive Maintenance and Operators Orientation/Tr	aining:
X7.11.1	Will manufacturer or dealer conduct semin	ar at Buckhannonx_YESNO
X7.11.2	Will you furnish all training aids	_x_YESNO

# C.I. Walker Machinery Co. Exceptions to RFQ 7011EC06 All exceptions are on non-mandatory specs

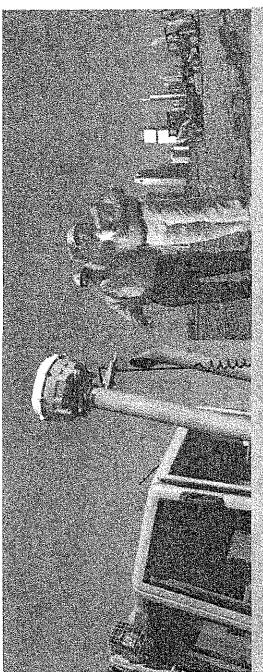
- X7.1.2 Engine to be approximately 75 horsepower

  CAT unit is 81 horsepower
- X7.1.3 Engine flywheel power to be approximately 70 horsepower

  CAT unit flywheel power is 74 horsepower
- X7,3.2 Travel speed reverse: Approximately 6 MPH/9.6KM/h

  CAT unit has travel speed reverse of 6.2 MPH/10KM/h
- X7.5.2 Drum capacity should be about 257 feet

  CAT unit drum capacity is 256 feet



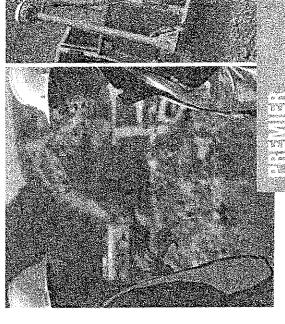
Our most comprehensive coverage includes: well as additional electrical and structural powertrain and hydraulic components, as Hydraulic components are associated components. Powertrain components horsepower for moving the machine. with steering and implement control produce, transmit or control engine

# Contact your local Cat dealer today or visit

# www.cat.com/epp

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# Cat® Equipment Protection Plan



## AEX00502

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TODAY'S WORK, TOMORROW'S WORLD.

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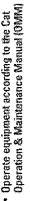
# SISOS MOX TOMINOS Sysu 400x 3Zmnim

This plan safeguards your investments in new and Cat Certified Used\* machines beyond the standard predictability of service and maintenance costswarranty period. It includes all parts and labor to Equipment Protection Plan, you can increase the in materials and workmanship. With the Premier protect you against failures caused by defects and reduce unplanned downtime.

\*Product availability varies by region.







- Have recommended preventive maintenance performed at intervals specified in the OMM
- Upon request, provide proof of preventive maintenance compliance (receipts, copies of work orders, invoices)
- Promptly provide the machine for repair in the event of



- Perform necessary inspections to confirm eligibility
- Install parts approved by Caterpillar on covered repairs
- · Validate your enrollment in the program

- a covered failure

# 

G Compressor / Contenser lectronic Control Modules overnor / Speed Controls & Linkages Piping. ses./ Lines (non-hydrostatic) III./ Transfer Pump Senders / Solemoids / Sensors Engine - Internal Components whieel & Tarque Converter gine (31) Filter Mount uel Tank & Assoc, Parts Water Pump Tuel friection Pumps Exhaust / Wuffler Wantfolds

Balance Final Pump / Governor Drive Oil Pump Oil Pan Group Fan & Fan Drive

ransmission Oil Lines The Shafts

Prve Wetas Linkage / lines Convected to Hystat Pump Drine (pilot/eli) Cartrol Valves Senders / Sensors Rovertrain Transmission Hydraubic Controls Transmission Oil Filter Base Transmission Gears C Final Brives / Planetary Dine Train Oil Lines : Bevel and Transfer Case Vet Brake Assemblies Vdrostatic Pumps & ransmission Oil Tank

Inversal Joint Jounteshoft Transmission inal Drive & Wheel rive Axle Dil Pump Axles Axle Seals

Control Valves Accumulator Brake Lines Steering Clutch
Seering Clutch & Brake Control Valve
Steering Clutch & Brake Control Valve
Steering Clutch & Mottle
Steering Librage
Steering Column
Steering Column
Steering Console
Te Rod

Circuit Board Start Switch Hydraulic Guick Couplers & Swivels Hydraulic Gil Filter Mount Hydraulic Gil Temperature Sensor ydraulic / Steering Hoses & Lines kybadir Cylinders Vdraulit Valves & Controls udraufic Accumulators tydraulic Oil Filter Base Adeulic Oil Coblers Hoses and I mes

Equalizer Bar Center Pin Support Equalizer Bar Support Suspension Control Valve Suspension Cylinder Automatic Grade Control Bogie Suspension Cross Slope Control Suspension Control Axie Spring Equalizer Bar Stabillar

Brake Caliper, Head Assembly Brake Master Cylinder Vacuum Pump Wheel Cylinites

Gauges / Indicators / Instruments Rise / Circuit Breaker Panel Relays / Circuit breakers Generator Alternator / Generator Battery Charger Main Power Relay Wiring hamesses

Chassis / Implement Frames Weldmänt Carbody Mam Frame

ston Bings ston & Connecting Rod adkshaft, Main Bearings & Rod

emshaff & Camshaff Bearings

Timing / Accessory Gears Timing Chaid / Belt Inlet / Exhaust Valve Valve Cover & Base Valve Spring & Guide

Russer Shaff Assembly Push Rod

Track flotter Frame Track Adjuster Recoil String

# **EXCLUSIONS**

included in the plan, Other exclusions include: if a component is not listed, it may not be

- Improper or abusive use of the machine
- rendered unusable by a covered component failure and other maintenance items replaced during the covered component repair, unless such items are Lubricating oil, antifreeze, filters, consumables
- > Failures caused by normal wear-out
- Freight charges for parts shipments
- Travel time and mileage involved in getting to a job site
- Hauling costs and / or retrieval costs
- > Overtime labor costs
- Repair costs resulting from the failure of any non-covered components
- Downtime loss

Avdraulic Tanks

- Equipment rental charges
- incurred as a result of a covered component failure Any incidental / consequential damages or costs
- Modifications unless approved by Caterpillar

Examples of covered and excluded components included components and more information on Cat Equipment Protection Plons, contact your local Cat dealer. contract will govern. For a complete list of or items are listed here. The actual dealer





Rev. 09/08

#### State of West Virginia

#### VENDOR PREFERENCE CERTIFICATE

Certification and application\* is hereby made for Preference in accordance with *West Virginia Code*, §5A-3-37. (Does not apply to construction contracts). *West Virginia Code*, §5A-3-37, provides an opportunity for qualifying vendors to request (at the time of bid) preference for their residency status. Such preference is an evaluation method only and will be applied only to the cost bid in accordance with the *West Virginia Code*. This certificate for application is to be used to request such preference. The Purchasing Division will make the determination of the Resident Vendor Preference, if applicable.

1.	Application is made for 2.5% resident vendor preference for the reason checked: Bidder is an individual resident vendor and has resided continuously in West Virginia for four (4) years immediately preceding the date of this certification; or, Bidder is a partnership, association or corporation resident vendor and has maintained its headquarters or principal place of business continuously in West Virginia for four (4) years immediately preceding the date of this certification; or 80% of the ownership interest of Bidder is held by another individual, partnership, association or corporation resident vendor who has maintained its headquarters or principal place of business continuously in West Virginia for four (4) years immediately
	preceding the date of this certification; or,  Bidder is a nonresident vendor which has an affiliate or subsidiary which employs a minimum of one hundred state residents and which has maintained its headquarters or principal place of business within West Virginia continuously for the four (4) years immediately preceding the date of this certification; or,
2. <u>✓</u>	Application is made for 2.5% resident vendor preference for the reason checked: Bidder is a resident vendor who certifies that, during the life of the contract, on average at least 75% of the employees working on the project being bid are residents of West Virginia who have resided in the state continuously for the two years immediately preceding submission of this bid; or,
3.	Application is made for 2.5% resident vendor preference for the reason checked:  Bidder is a nonresident vendor employing a minimum of one hundred state residents or is a nonresident vendor with an affiliate or subsidiary which maintains its headquarters or principal place of business within West Virginia employing a minimum of one hundred state residents who certifies that, during the life of the contract, on average at least 75% of the employees or Bidder's affiliate's or subsidiary's employees are residents of West Virginia who have resided in the state continuously for the two years immediately preceding submission of this bid; or,
4.	Application is made for 5% resident vendor preference for the reason checked: Bidder meets either the requirement of both subdivisions (1) and (2) or subdivision (1) and (3) as stated above; or,
5.	Application is made for 3.5% resident vendor preference who is a veteran for the reason checked: Bidder is an individual resident vendor who is a veteran of the United States armed forces, the reserves or the National Guard and has resided in West Virginia continuously for the four years immediately preceding the date on which the bid is submitted; or,
6.	Application is made for 3.5% resident vendor preference who is a veteran for the reason checked:  Bidder is a resident vendor who is a veteran of the United States armed forces, the reserves or the National Guard, if, for purposes of producing or distributing the commodities or completing the project which is the subject of the vendor's bid and continuously over the entire term of the project, on average at least seventy-five percent of the vendor's employees are residents of West Virginia who have resided in the state continuously for the two immediately preceding years.
require agains	understands if the Secretary of Revenue determines that a Bidder receiving preference has failed to continue to meet the ements for such preference, the Secretary may order the Director of Purchasing to: (a) reject the bid; or (b) assess a penalty t such Bidder in an amount not to exceed 5% of the bid amount and that such penalty will be paid to the contracting agency ucted from any unpaid balance on the contract or purchase order.
authori the req	mission of this certificate, Bidder agrees to disclose any reasonably requested information to the Purchasing Division and zes the Department of Revenue to disclose to the Director of Purchasing appropriate information verifying that Bidder has paid juired business taxes, provided that such information does not contain the amounts of taxes paid nor any other information and by the Tax Commissioner to be confidential.
and ac	penalty of law for false swearing (West Virginia Code, §61-5-3), Bidder hereby certifies that this certificate is true courate in all respects; and that if a contract is issued to Bidder and if anything contained within this certificate es during the term of the contract, Bidder will notify the Purchasing Division in writing immediately.
	C.I. WALKER MACHINERY Co. Signed: Marie Z. Com
	11/24/10 Title: SALES REPRESENTATINE.
*Check	any combination of preference consideration(s) indicated above, which you are entitled to receive.

RFQ No. 7011ECOG

#### STATE OF WEST VIRGINIA Purchasing Division

#### **PURCHASING AFFIDAVIT**

West Virginia Code §5A-3-10a states: No contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and the debt owned is an amount greater than one thousand dollars in the aggregate

#### DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Debtor" means any individual, corporation, partnership, association, Limited Liability Company or any other form or business association owing a debt to the state or any of its political subdivisions. "Political subdivision" means any county commission; municipality; county board of education; any instrumentality established by a county or municipality; any separate corporation or instrumentality established by one or more counties or municipalities, as permitted by law; or any public body charged by law with the performance of a government function or whose jurisdiction is coextensive with one or more counties or municipalities. "Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceed five percent of the total contract amount.

EXCEPTION: The prohibition of this section does not apply where a vendor has contested any tax administered pursuant to chapter eleven of this code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

Under penalty of law for false swearing (West Virginia Code §61-5-3), it is hereby certified that the vendor affirms and acknowledges the information in this affidavit and is in compliance with the requirements as stated.

#### WITNESS THE FOLLOWING SIGNATURE Authorized Signature: County of Kanawha **YIMBINIPIN** Taken, subscribed, and sworn to before me this 24 day of December, 2010 20 18. My Commission expires Quality AFFIX SEAL HERE OFFICIAL SEAL NOTARY PUBLIC STATE OF WEST VIRGINIA

Rena Renee Moles 3 Park Road Nitro. WV 25143 My Commission Expires Aug. 8, 2018

#### **D3K Features**

#### Comfortable Cab

Spacious cab and air suspension seat keep you comfortable all day.

#### **Seat-Mounted Controls**

Intuitive seat-mounted controls improve operator performance and reduce fatigue.

#### SystemOne™ Undercarriage

See a dramatic reduction in tractor owning and operating costs with the state-of-the-art SystemOne undercarriage.

#### AccuGrade™ Systems

Revolutionary grade control systems improve accuracy and increase productivity – with less effort.



#### Contents

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The Cat® D3K Track-Type Tractor delivers superior performance and comfort while reducing your operating costs. The large spacious cab provides a comfortable work area. Intuitive seat-mounted controls are easy to use for greater job accuracy and efficiency. The innovative SystemOne undercarriage reduces maintenance time and costs – significantly impacting your bottom line. The AccuGrade Laser and GPS Systems help you get to grade faster, with fewer passes and less manpower. From the first cut to finish grade, the D3K sets the standard.

# **Operator Station**

Superior comfort keeps you productive, all day long.

The operator station is designed to keep operators comfortable, relaxed and productive throughout the long work shift. The D3K features:

- Standard air conditioning with cab option.
- · Spacious cab with generous leg room.
- Fully adjustable air suspension seat with a heated seat option for cold climates.
- Wide door openings for getting in and out of the cab easily.
- Clear view to the blade corners and bottom cutting edge, especially important in fine grading, working against foundations and curbing.
- Operator sound level has been lowered inside the cab 4 dB(A) to an industry leading 80 dB(A) –ANSI/SAE J1166 OCT 98.
   This provides a quiet, comfortable working environment reducing operator fatigue and increasing their productivity.

#### **Seat-Mounted Joystick Controls**

For optimum comfort and precise control, the D3K features ergonomically designed seat-mounted controls. Seat-mounted controls isolate vibrations from the operator, and provide independent seat and controls adjustment. Individual wrist pads and armrests can be adjusted independently for optimum comfort.

#### Monitoring Package

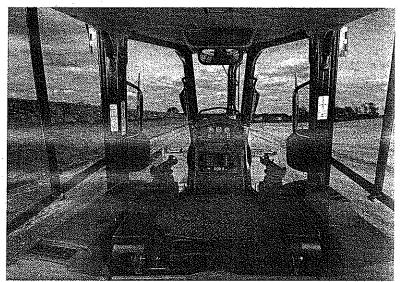
Easy to read display provides vital system information. Buttons below the display allow the operator to select parameters for forward/reverse speed, blade response, steering response and decel pedal operation modes.

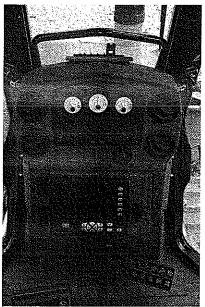
#### **Dozer Blade Control**

Ergonomic joystick is easy to use and reduces operator fatigue. The intuitive control makes operating the tractor easy for both new and experienced operators. The new handle shape conforms to your hand for precise blade raise and tilt control with less operator fatigue. A thumb roller controls the blade angle and requires less effort than other competitive machines. A blade shake button on top of the handle provides momentary quick tilt movement to easily remove material from the blade.

#### Combined Decel/Brake Pedal

Decel pedal serves combined function as engine speed control and brake. Depressing pedal through bottom of travel detent applies brake. Pedal mode can also be changed to control transmission speed with selection buttons on the display panel.



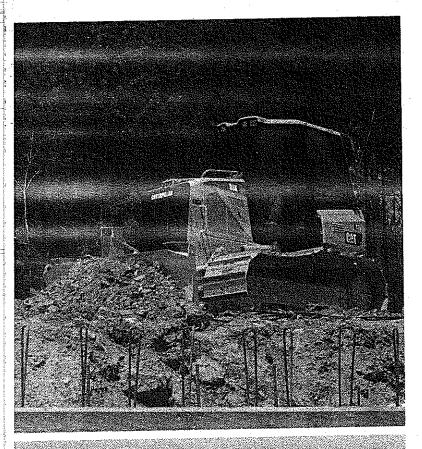






# Hydrostatic Transmission Control

Seat-mounted controls improve productivity.



#### **Speed and Direction Control**

Speed, direction and steering are all controlled with a single, easy to use joystick for less effort and lower fatigue so you can get more done. The joystick controls direction and has three simple detented positions for travel – forward, reverse and neutral. With the machine in motion, simply move the joystick in the direction you wish to move the machine. The more the joystick is moved to the right or left, the tighter the turn. Regardless of ground conditions, steering is consistent and predictable.

#### Infinitely Variable Speed Control

The speed control thumb wheel mounted on the joystick is used to infinitely increase and decrease speed, letting the operator select the optimum speed for ground and job conditions. It also eliminates power interruption when changing speeds. A speed recall button on the joystick is used to select pre-determined speed settings.

#### Maneuverability

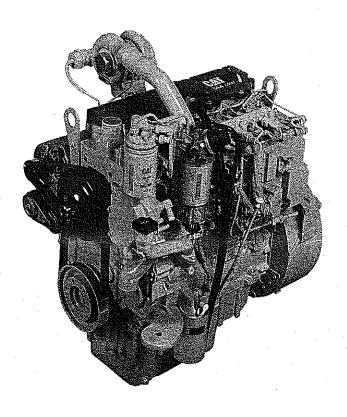
The power turn feature lets you handle large loads around corners or through hard spots. Power turn capability improves mobility in soft underfoot conditions and is very effective on side slopes. Counter-rotation provides easy, quick maneuvering in tight areas or congested job sites.

### Engine

# ACERT<sup>TM</sup> technology reduces emissions, increases performance.

The Cat® C4.4 is a 4.4 L (269 m²) displacement, four cylinder, inline configured engine equipped with a Caterpillar common rail fuel system. It uses ACERT™ Technology, a series of Caterpillar engineered innovations that provide advanced electronic control, precision fuel delivery and refined air management, resulting in outstanding performance and lower emissions. It meets the U.S. EPA Tier 3, EU Stage IIIA and Japan MOC Step 3 emissions standards.

The C4.4 delivers increased horsepower, outstanding durability, reliability and improved response to change in loads, delivering power when you need it. The engine is more compact, allowing the cab to sit more forward – this improves machine balance and provides greater operator comfort. The engine and transmission control systems are integrated to optimize performance and fuel efficiency.



# Undercarriage

Lower owning and operating costs.

Undercarriage is a significant portion of a tractor's owning and operating costs. Caterpillar offers two different undercarriage choices for lowest owning and operating costs for your application needs. A sealed and lubricated track (SALT) undercarriage is standard; SystemOne™ undercarriage is available as an option. Full length guarding on top of the track roller frame prevents abrasive material from falling down on moving parts.

Machine balance is the key to good grading performance. Greater track length and a stable platform lead to optimum balance, allowing you to complete jobs easier and quicker with the D3K than with competitive machines.

#### SystemOne Undercarriage

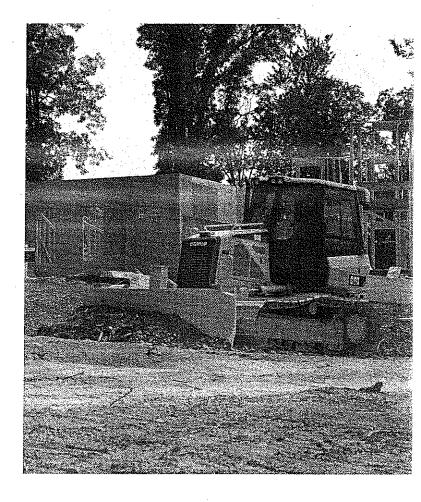
With the revolutionary SystemOne undercarriage option, undercarriage maintenance time and costs are greatly reduced, lowering your costs and helping your bottom line. This innovative system uses a rotating bushing design which increases bushing life and eliminates the need for bushing turns. The rotating bushing combined with long wear life sprockets and center tread idler, increases the life and dependability of the entire system. Ideal for virtually any application or underfoot condition, the SystemOne undercarriage also features significantly less vibration for a better, more comfortable ride.

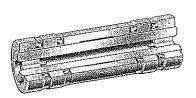
#### Sealed and Lubricated Track (SALT) Undercarriage

The standard sealed and lubricated track (SALT) undercarriage is built for long life in rugged conditions. Segmented sprockets are easy to replace and less expensive than replacing the complete sprocket hub.

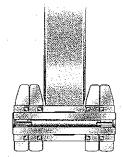
#### **Choice of Track Frame Configurations**

Track frames are available in extra long (XL) or low ground pressure (LGP) configurations. XL undercarriage provides increased ground contact area and flotation, superior balance and excellent finish grading. In addition, the LGP version also features a wider track shoe, increasing ground contact area for optimum flotation and stability on sloping and finish grading jobs. As an additional choice, the LGP undercarriage on the D3K is available with 762 mm (30 in) shoes.





SystemOne Cartridge



SystemOne Center Tread Idler

# AccuGrade<sup>TM</sup> Systems

Grade with increased accuracy.

Caterpillar is revolutionizing the way to move material with new technology solutions for earthmoving machines – solutions that provide greater accuracy, higher productivity, lower operating costs and greater profits. The AccuGrade System is designed and integrated into the machine and hydraulic systems to create an automated blade control system that allows operators to grade with increased accuracy. The system uses machine-mounted sensors to calculate precise blade slope and elevation information.

#### AccuGrade Laser

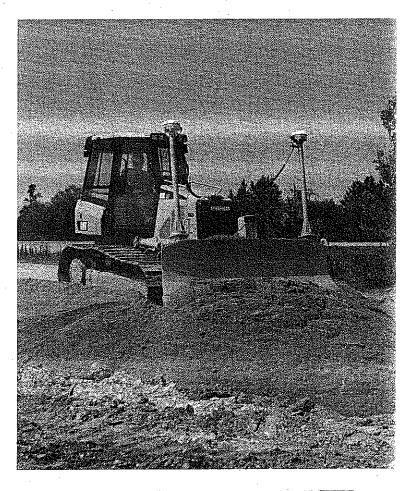
AccuGrade Laser uses a laser transmitter and receiver for precise grade control. A laser transmitter on the worksite creates a constant grade reference over the work area. A digital laser receiver mounted on the machine captures the laser signal. The system calculates the blade adjustments necessary to achieve grade, makes automatic elevation adjustments typically performed by the operator and provides automatic blade control. The operator simply steers the machine. Automated blade control lets you achieve grade faster and in fewer passes, reducing the need for traditional survey stakes or grade checkers. The system also calculates cut/fill requirements for manual blade control. Work is completed faster, more accurately and with less manpower. AccuGrade Laser is well suited for flat work such as concrete pads and driveways.

#### AccuGrade GPS

AccuGrade GPS computes the machine positioning information and compares the position of the blade relative to the design plan. Information is provided to the operator via an in-cab display showing blade elevation, necessary cut/fill to achieve grade, blade position on the design surface and a graphical view of the design plan with machine location. AccuGrade GPS puts all the information the operator needs to complete the job in the cab for a greater level of control. Vertical and horizontal guidance tools visually guide the operator to the desired grade. Automated features allow the hydraulic system to automatically control blade adjustments to move the blade to grade. The operator simply uses the light bars to guide the machine for consistent, accurate grades and slopes, increasing productivity with less fatigue. AccuGrade GPS is best used for cut and contour jobs.

#### **AccuGrade Monitor**

Caterpillar is the first to integrate this system and its monitor into the machine's dash for easy viewing during operation. The AccuGrade monitor is conveniently located, allowing the operator to view system information while keeping a straight line of sight to the blade corners.





AccuGrade Laser Display



AccuGrade GPS Display

### Blade and Attachments

Cat work tools can handle tough dozing applications.

#### **VPAT Blade**

The VPAT blade is specifically designed for finish grading, backfilling ditches, cutting V ditches, windrowing, fill spreading, medium land clearing and heavy dozing. Built for rugged strength and durability, this 6-way blade allows for adjustable angle, tilt and pitch. Visibility to the blade corners and cutting edge is improved. This is especially critical when working near curbs and foundation structures.

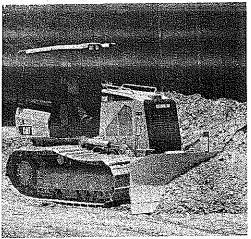
#### Parallelogram Ripper

The aggressive parallelogram ripper lets you do more productive ripper work. The parallel linkage design provides better penetration and maneuverability in tight working areas.

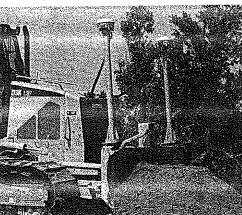
#### **Forestry Options**

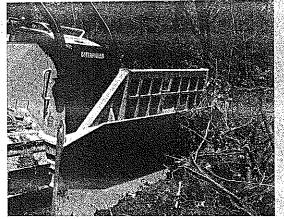
An easier way to work in the woods. The D3K is available with the following features to aid in forestry applications:

- Forestry blade, featuring additional guarding to protect the tractor from debris and to provide increased productivity.
- Cat hydrostatic winch, featuring excellent line pull at any speed and infinitely variable drum speed.
- 360 degree guarding, for added protection of the cab.
- · Heavy-duty rear tank guard.

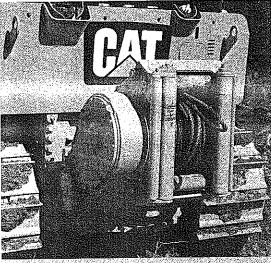












## Hydrostatic Winch

# Infinitely variable speed control.

The Cat hydrostatic winch offers outstanding control of the load with infinitely variable modulation of speed and pull. Mechanical winches force the operator to choose the gear ratio of the winch. The Cat hydrostatic winch eliminates this compromise by providing the speed of a standard winch and the pull of a low speed winch, all in one package. The result is;

- Excellent line pull at any speed
- Infinitely variable drum speed
- Lower operator effort
- Unmatched load control

# Serviceability

Easy access and minimal maintenance requirements keep vour machine on the job.



#### **Easy Access**

Long service intervals and easy maintenance keep the machine up and running and lower your owning and operating costs. A large, hinged door on the left side of the engine compartment provides easy access to all regular engine maintenance points, including engine fuel filters and water separator, the engine oil filter, the engine oil dipstick and filter, the fuel priming pump and the engine air pre-cleaner and filters. Grouped pressure taps allow for quick testing and troubleshooting of the hydraulic system. The hydraulic filters are all located in the left rear service compartment and are accessible from ground level.

#### **Product Link**

The optional Product Link system simplifies equipment fleet tracking. Using satellite or cellular technology, the system automatically reports information such as location, machine hours, active and logged service codes and security alarms.

#### **Machine Security System**

An optional Machine Security System (MSS) that utilizes a programmable key system that deters theft, vandalism and unauthorized usage. MSS uses electronically coded keys selected by the customer to limit usage by individuals or time parameters.

## **Customer Support**

Unmatched support makes the difference.

Your Cat dealer is ready to assist you with your purchase decision and everything after.

- Make comparisons of machines, with estimates of component life, preventative maintenance and cost of production.
- Financing packages are flexible to meet your needs.
- Your Cat dealer can evaluate the cost to repair, rebuild and replace your machine, so you can make the right choice.
- For more information on Cat products, dealer services and industry solutions, visit us at www.cat.com.



#### **D3K Track-Type Tractor Specifications**

i engentengeren Giodes Ernber	
CAT C4.4	ACERT
60.5 kW	81 hp
55.2 kW	74 hp
4400 cm <sup>3</sup>	269 in <sup>3</sup>
55.2 kW	74 hp
105 mm	4.13 in
127 mm	5 in
	55.2 kW 4400 cm <sup>3</sup> 55.2 kW 55.2 kW 55.2 kW 55.2 kW 105 mm

- Ratings at 1,900 rpm.
- Net power advertised is the power available at the flywheel when engine is equipped with fan, air cleaner, muffler and alternator.
- No derating required up to 3000 m (9,843 ft) altitude.

Weights	da da optektor Marko kalendar	
Operating weight – XL	7795 kg	17,185 lb
Operating weight  – LGP	8093 kg	17,842 lb

 Operating with dozer blade, canopy ROPS, back-up alarm, operator, coolant, lubricants
 and full fuel tank.

Drive pumps	2	
Track motors	2	
Relief valve settings	47 650 kP	a 6,911 psi
Maximum travel speed – forward	9 km/h	5.6 mph
Maximum travel speed – reverse	10 km/h	6.2 mph

- Dual-path, closed loop hydrostatic drive provides infinitely variable speeds from 0-9 km/h (0-5.6 mph) forward and 0-10 km/h (0-6.2 mph) reverse.
- Full-flow filtering of hydrostatic charge system oil.
- Drive pumps: two variable-displacement, slipper-axial piston pumps mounted tandemstyle to engine flywheel housing.
- Track motors: two variable-displacement, link-type piston motors.

#### Service Refill Capacities Fuel tank 195 L 51.5 gal Crankcase and filter 11 L 2.91 gal Final drives, XL 10 L 2.6 gal (each side) Final drives, LGP 10 L 2.6 gal (each side) Cooling system 22.4 L 5.92 gal Transmission/ 59.5 L 15.7 gal hydraulic tank

#### **Hydraulic Controls**

Pump output	73.5 L/min	19.4 gal/min
Relief valve setting	20 600 kPa	2,988 psi

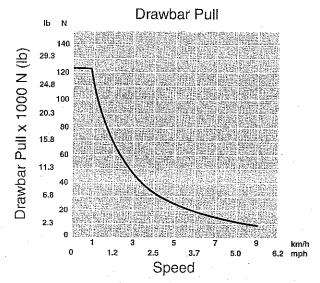
- Pump output ratings at 2,150 rpm and 6895 kPa (1,000 psi).
- · Control positions:
- Lift cylinders raise, hold, lower, float.
- Tilt cylinders left, hold, right.
- Angle cylinders left, hold, right.
- Ripper cylinders raise, hold, lower.

#### **Final Drive**

#### Features:

- · Double-reduction planetary gear set.
- Mounted independently of track frames to isolate them from machine weight and ground-induced shock loads.

#### Drawbar



#### Steering and Braking

#### Features:

- Full powerturn.
- · Counterrotation.
- Single lever steering, speed and direction control.
- Hydrostatic (dynamic) braking through machine drive system using transmission control lever, center brake pedal or decel pedal.

### D3K Track-Type Tractor Specifications

Undercarriage		
Number of rollers (each side)	6	
Number of shoes (each side) – SALT (Sealed and Lubricated Track) Undercarriage Number of	36	
shoes (each side)  – SystemOne Undercarriage		
Shoe width – XL	406 mm	16 in
Shoe width - LGP	635 mm	25 in
Length of track on ground – XL	2095 mm	83 in
Length of track on ground – LGP	2095 mm	83 in
Track gauge - XL	1495 mm	59 in
Track gauge – LGP	1725 mm	68 in
Ground contact area - XL		
Ground contact area - LGP	26 607 cm <sup>2</sup>	4,124 in <sup>2</sup>
Ground pressure  – XL	44.8 kPa	6.5 psi
Ground pressure  – LGP	29.7 kPa	4.3 psi
<ul> <li>Hydraulic track ad</li> </ul>	justers.	

- · Box section track roller frames.
- Bolt-on rear track guiding guards.
- Full length recoil guarding with sprocket guards and wipers.
- Serrated, two-piece split master link (SALT).
- · Single-grouser shoes.
- Segmented sprocket (SALT).
- · Sealed and lubricated rollers and idlers.

Standards	
ROPS .	SAE J397-OCT95,
	SAE J1040-MAY94,
	ISO 3471-94,
	ISO 3164-95
FOPS	SAE J231-JAN81,
	ISO 3449-92

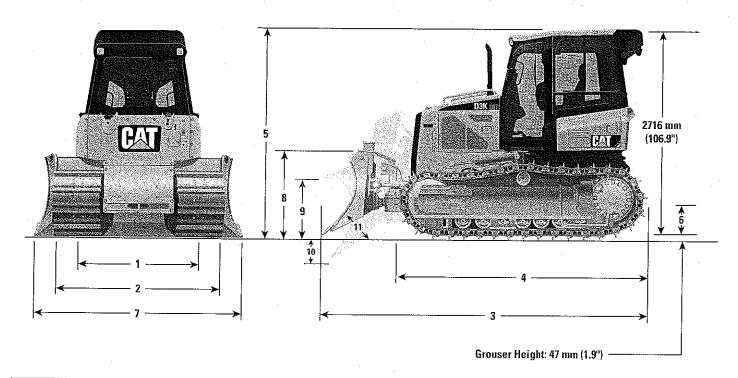
Туре	Parallelogram	
Number of shanks	3	
Maximum digging depth	337.5 mm	13.3 in
Maximum reach at ground line	766 mm	30.2 in
Maximum ground clearance under tip	448 mm	17.6 in
Overall width	1710 mm	67.3 in
Height	165 mm	6.5 in
Weight	554 kg	1,222 lb

Winch		
Weight	610 kg	1,345 lb
Winch drive	Hydrostatic	
Control	Hydraulic	
Speed	Variable	
Winch length	705 mm	27.76 in
Overall width	741 mm	29.2 in
Drum diameter	254 mm	10 in
Drum width	274 mm	10.8 in
Throat clearance	171.5 mm	6.75 in
Rope diameter - recommended	16 mm	0.63 in
Rope diameter – optional	19 mm	0.75 in
Drum capacity – recommended cable	113 m	371 ft
Drum capacity – optional cable	78 m	256 ft
Maximum line pull  bare drum	18 144 kg	40,000 lb
Maximum line pull – full drum	11 340 kg	25,000 lb
Maximum line speed – bare drum	40 m/min	131 ft/min
Maximum line speed – full drum	63 m/min	207 ft/min

- Infinitely variable line speed and line pull from 0 to maximum.
- Power in/power out, brake off, free spool (standard equipment).
- Single lever, low effort hydraulic control.
- Precise load control and speed modulation.
- Integral mounted drawbar.
- 3 roller fairlead available. Kit available to add a 4th roller.

#### Dimensions

All dimensions are approximate.



		XL	LGP
1	Track gauge	1495 mm (58.9")	1725 mm (67.9")
2	Width of tractor (std shoes, no blade)	1901 mm (74.8")	2360 mm (92.9")
3	Overall length (w/blade)	4266 mm (168")	4255 mm (167.6")
4	Length of basic tractor (w/o blade)	3275 mm (128.9")	3275 mm (128.9")
5	Tractor height	2763 mm (108.8")	2763 mm (108.8")
6	Ground clearance	332 mm (13")	332 mm (13")

LGP	ate	XL	BLADE
3149 mm (124")	115")	2646 mm (104.2")	Blade width
860 mm (33.8")	33.9")	910 mm (35.8")	Blade height
730 mm (28.7")	29.3")	730 mm (28.7")	Blade lift height
573 mm (22.5")	22.5")	573 mm (22.5")	Digging depth
52° to 58°	58°	52° to 58°	Blade cutting edge angle, adjustable
438 mm (17.2")	7.7")	368 mm (14.5")	Maximum tilt
25°	25°	25°	Maximum angle (either side)
374 mm (113.1")	5.1") 28	2417 mm (95.2")	Blade width at maximum angle
66 m³ (2.17 yd³)	yd³) 1.	1.52 m³ (1.99 yd³)	Blade capacity (SAE)
3	7.7") 25° 5.1") 28	368 mm (14.5") 25° 2417 mm (95.2")	Maximum tilt Maximum angle (either side) Blade width at maximum angle

#### **D3K Standard Equipment**

Standard equipment may vary. Consult your Caterpillar dealer for details.

ELECTRICAL

Horn

Backup alarm

Diagnostic connector

Heavy duty 750 CCA batteries

Alternator, 12V, 120 Amp, heavy duty brushless

12V Starter

OPERATOR ENVIRONMENT

ROPS/FOPS cab with sliding side windows and air conditioning

Cat C500 comfort cloth air suspended seat with adjustable armrests

76 mm (3 inch) retractable seat belt

Adjustable seat-mounted, electro-hydraulic controls

Foot rests

Compact Instrument Cluster including:

- Gauges for engine coolant temperature, hydraulic oil temperature and fuel level
- 12 indicators
- Digital display (ground speed, engine RPM, hour meter)

Rotary throttle switch

Travel speed limiter

Independent forward/reverse speed settings

Single pedal combining deceleration and

braking functions

Rearview mirror

12V power port

Coat hook

Storage compartment

Cup holder

Heavy duty rubber floor mat

Windshield washers and wipers, front and rear

POWER TRAIN

Caterpillar C4.4 ACERT diesel engine,

turbocharged

Aluminum bar plate cooling system (radiator,

power train)

Blower fan

Air cleaner with precleaner, automatic dust

ejection and under-hood intake

Fuel priming pump with integrated

fuel/water separator

Dual path, closed-loop hydrostatic

transmission

Under-hood muffler

**HYDRAULICS** 

Hydraulics, 3 valve

UNDERCARRIAGE

SALT Undercarriage

Lifetime lubricated track rollers (6) and idlers

Carrier rollers

Tracks, 41 sections:

• XL configuration 406 mm (16 in)

• LGP configuration 635 mm (25 in)

Hydraulic track adjusters

OTHER STANDARD EQUIPMENT

C-Frame, VPAT, hydraulic cylinders and lines

Fuel tank

Heavy duty crankcase guard

Lockable engine enclosures

Idler guards

Radiator guard and grill

Front pull device

Rigid drawbar

S•O•S<sup>SM</sup> ports (engine, power train

and hydraulics)

ANTIFREEZE

Extended life coolant, -37° C (-35° F)

#### **D3K Optional Equipment**

Optional equipment may vary. Consult your Caterpillar dealer for details.

#### **ELECTRICAL**

Integrated two front halogen lights, one rear halogen light

Integrated four front halogen lights, two rear halogen lights

#### POWER TRAIN

Drive auxiliary

Installation, winch

#### UNDERCARRIAGE

#### TRACK PAIRS, XL

- Track, 406 mm (16 in) MS SystemOneTM
- Track, 356 mm (14 in) TG SALT

#### TRACK PAIRS, LGP

- Track, 635 mm (25 in) MS SystemOne™
- Track, 635 mm (25 in) Self cleaning SALT
- Track, 635 mm (25 in) Self cleaning SystemOne<sup>TM</sup>

#### OPERATOR ENVIRONMENT

#### Cab

Cab, polycarbonate windows, 360 degree guarding protection

Seat, cloth, air suspension, heated

Radio, AM/FM, CD player

#### **HYDRAULICS**

Hydraulics, 4 valve for use with ripper Hydraulics, 4 valve for use with winch

#### **GUARDS**

Guard, rear, heavy duty Grill, radiator, heavy duty Guard, track guiding, center

Guard, track guiding, full length

Screen, rear, cab

Screen, side, cab

Screen, rear, canopy

Screen, front and sides, canopy

Sweeps, front

Sweeps, rear

#### BLADES

VPAT XL blade

VPAT LGP blade

VPAT Intermediate blade

#### REAR ATTACHMENTS

Drawbar, towing

Mounting, winch

Ripper, parallelogram, includes three shanks and teeth

#### REAR ATTACHMENT CONTROL

Control, ripper

Control, winch

Control, ripper and winch

#### MACHINE CONTROL AND GUIDANCE

Installation, AccuGrade ready

#### STARTING AIDS

Heater, engine, coolant, 120V

Starting aid, ether

#### OTHER ATTACHMENTS

Enclosure, sound suppression

Machine Security System

Caterpillar Product Link 321

Caterpillar Product Link 121

#### ANTIFREEZE

Coolant, ext. life, -50° C (-58° F)

#### FIELD INSTALLED ATTACHMENT

Winch, hydrostatic