REQUIRED BID FORMS

The following certifications must be properly **completed and furnished by the OFFERER as part of the bid**. Failure to submit any of these certifications **shall** deem the bid **non-responsive**.

HAMUN/TH PIVERS TRANSIT



GENERAL TERMS & CONDITIONS REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
- 2. The State may accept or reject in part, or in whole, any bid
- 3. All quotations are governed by the West Virginia Code and the Legislative Rules of the Purchasing Division.
- 4. Prior to any award, the apparent successful vendor must be properly registered with the Purchasing Division and have paid the required \$125 fee.
- 5. All services performed or goods delivered under State Purchase Order/Contracts are to be continued for the term of the Purchase Order/Contracts, contingent upon funds being appropriated by the Legislature or otherwise being made available. In the event funds are not appropriated or otherwise available for these services or goods, this Purchase Order/Contract becomes void and of no effect after June 30.
- 6. Payment may only be made after the delivery and acceptance of goods or services
- 7. Interest may be paid for late payment in accordance with the West Virginia Code.
- 8. Vendor preference will be granted upon written request in accordance with the West Virginia Code
- The State of West Virginia is exempt from federal and state taxes and will not pay or reimburse such taxes.
- 10. The Director of Purchasing may cancel any Purchase Order/Contract upon 30 days written notice to the seller.
- 11. The laws of the State of West Virginia and the *Legislative Rules* of the Purchasing Division shall govern all rights and duties under the Contract, including without limitation the validity of this Purchase Order/Contract.
- 12. Any reference to automatic renewal is hereby deleted. The Contract may be renewed only upon mutual written agreement of the parties.
- 13. BANKRUPTCY: In the event the vendor/contractor files for bankruptcy protection, the State may deem this contract null and void, and terminate such contract without further order.
- 14. HIPAA BUSINESS ASSOCIATE ADDENDUM: The West Virginia State Government HIPAA Business Associate Addendum (BAA), approved by the Attorney General, and available online at the Purchasing Division's web site (http://www.state.wv.us/admin/purchase/vrc/hipaa.htm) is hereby made part of the agreement. Provided that, the Agency meets the definition of a Cover Entity (45 CFR §160.103) and will be disclosing Protected Health Information (45 CFR §160.103) to the vendor.
- 15. WEST VIRGINIA ALCOHOL & DRUG-FREE WORKPLACE ACT: If this Contract constitutes a public improvement construction contract as set forth in Article 1D, Chapter 21 of the West Virginia Code ("The West Virginia Alcohol and Drug-Free Workplace Act"), then the following language shall hereby become part of this Contract: "The contractor and its subcontractors shall implement and maintain a written drug-free workplace policy in compliance with the West Virginia Alcohol and Drug-Free Workplace Act, as set forth in Article 1D, Chapter 21 of the West Virginia Code The contractor and its subcontractors shall provide a sworn statement in writing, under the penalties of perjury, that they maintain a valid drug-free work place policy in compliance with the West Virginia and Drug-Free Workplace Act. It is understood and agreed that this Contract shall be cancelled by the awarding authority if the Contractor: 1) Fails to implement its drug-free workplace policy; 2) Fails to provide information regarding implementation of the contractor's drug-free workplace policy at the request of the public authority; or 3) Provides to the public authority false information regarding the contractor's drug-free workplace policy."

INSTRUCTIONS TO BIDDERS

- 1. Use the quotation forms provided by the Purchasing Division
- 2. SPECIFICATIONS: Items offered must be in compliance with the specifications. Any deviation from the specifications must be clearly indicated by the bidder. Alternates offered by the bidder as EQUAL to the specifications must be clearly defined. A bidder offering an alternate should attach complete specifications and literature to the bid. The Purchasing Division may waive minor deviations to specifications.
- 3. Complete all sections of the quotation form
- 4. Unit prices shall prevail in case of discrepancy
- 5. All quotations are considered F.O.B. destination unless alternate shipping terms are clearly identified in the quotation.
- 6. BID SUBMISSION: All quotations must be delivered by the bidder to the office listed below prior to the date and time of the bid opening Failure of the bidder to deliver the quotations on time will result in bid disqualifications: Department of Administration, Purchasing Division, 2019 Washington Street East, P.O Box 50130, Charleston, WV 25305-0130

Page_____PO#PTR09035
Spending Unit: West Virginia Dept, Of Transportation
Division of Public Transit

BID

BF#1

| Proposal of Improvements Unimited, LLC hereinafter called Bidder, organized and existing under the laws of the State of West Virginia doing business as A Limited Liability Company *. To the West Virginia Division of Public Transit, hereinafter called OWNER. |
|---|
| In compliance with you Advertisement for Bids, Bidder hereby proposed to perform all work for the new construction of a Administrative Office and Bus Maintenance Facility in Bluefield, Mercer County, West Virginia in strict accordance with the Contract Documents, within the time and at the prices stated herein. |
| By submission of this Bid, each Bidder certifies, and in the case of a joint Bid, each party hereto certifies as to his own organization, that this Bid has been arrived at independently, without consultation, communication, or agreement as to any matter relating to this Bid with any other Bidder or with any competitor |
| Bidder hereby agrees to commence work under this Contract on or before a date to be specified in the Notice to Proceed and to obtain substantial completion and final completion for the Project as called for in these Contract Documents. |
| NOTE: Bids include all applicable taxes, permits, and fees |
| * Insert "a corporation," "a partnership," or "an individual" as applicable |
| BASE BID: two million one hundred thousand + no/100 — (Amount to be shown in both words and numbers) \$ 2,100,000 |

BF#2

GENERAL CONTRACTOR

GENERAL WORK This price to include all work shown and noted in the Contract Documents. The

General Contractor shall be responsible for the coordination, scheduling, and

normal administrative activities of the project

In the event of a difference between the written amount and the number amount, the written amount shall prevail.

The Bidder understands that to the extent allowed by applicable Codes, the Owner reserves the right to waive any informality or irregularity in any Bid or Bids and to reject any or all Bids in whole or in part; to reject a Bid not accompanied by the required bid security or by other data required by the Bidding Documents; to reject any condition of the Bid by the Bidder that is in any way inconsistent with the requirements, terms, and conditions of the Bidding Documents; or to reject a Bid that is in any way incomplete or irregular.

The Bidder, if successful and awarded a Contract, agrees that the work is to be substantially complete 365 days after Notice To Proceed is issued or 15 days after the encumbrance date of the Purchase Order if Notice to Proceed has not been received. The Bidder agrees to achieve Final Completion within 60 consecutive calendar days after the substantial completion. Liquidated damages shall be assessed at a rate of \$200 per day if substantial completion is not obtained within 365 calendar days of the Contractor's proceed date and liquidated damages shall be assessed at \$100 per day if final completion is not achieved within 60 calendar days of substantial completion.



INSTITUTE

BF#3

AIA Document A305

Contractor's Qualification Statement

1986 EDITION

This form is approved and recommended by The American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by the AIA or AGC.

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading SUBMITTED TO: ADDRESS: SUBMITTED BY: Improvements Unlimited, LLC
NAME: PO BOX 37
ADDRESS: Lerona, WV 25971 Corporation Partnership Individual PRINCIPAL OFFICE: 9123 Ingleside Rd. Princeton, WV 24740 Joint Venture Other Limited Liability Company NAME OF PROJECT (if applicable): Tri-River Transit Administration Office + Maintenance Facility
Type of Work (file separate form for each Classification of Work): X General Construction ____HVAC _____ Electrical _____Plumbing _____ Other ____ (please specify)

AIA DOCUMENT A305 • CONTRACTOR'S QUALIFICATION STATEMENT • 1986 EDITION • AIA $^{\Phi}$ • ©1986 THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVENUE IN.W., WASHINGTON D.C. 20006

Copyright 1964, 1969, 1979, ©1986 by The American Institute of Architects, 1735 New York Avenue, N.W., Washington, D.C. 20006. Reproduction of the material herein or substantial quotation of its provisions without written permission of the AIA violates the copyright laws of the United States and will be subject to legal prosecution.

1. **ORGANIZATION**

- How many years has your organization been in business as a Contractor? 1 1
- How many years has your organization been in business under its present business name? 1.2 24
 - Under what other or former names has your organization operated? 12.1 NA

- If your organization is a corporation, answer the following: 1.3
 - Date of incorporation: 131
 - 1.3.2 State of incorporation:
 - 133 President's name:
 - Vice-president's name(s): 134

- Secretary's name: 1.35
- Treasurer's name: 1.3.6
- If your organization is a partnership, answer the following: 14
 - Date of organization: 14.1
 - Type of partnership (if applicable): 142
 - 143 Name(s) of general partner(s):

- If your organization is individually owned, answer the following: 15
 - 1.5.1 Date of organization:
 - Name of owner: 1.52

1.6 If the form of your organization is other than those listed above, describe it and name the principals:

A Limited Liability Company William G Erps, Member

2. LICENSING

2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable

General Building WV003431
Electrical Specialty
Asbestos Abatement AC001473
Plumbing PL00246

2.2 List jurisdictions in which your organization's partnership or trade name is filed

Electrical General Building Specialty Asbestos Abatement Plumbing

3. EXPERIENCE

3.1 List the categories of work that your organization normally performs with its own forces

All types of construction, including finishes, carpentry, concrete, steel, sitework, excavation, plumbing, electrical,

- 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)
 - 3.2.1 Has your organization ever failed to complete any work awarded to it? $\sqrt{}$
 - Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers? \sqrt{D}
 - Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?
- 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details) $\sqrt{\theta}$

| 3.4 | On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date. See AHachment A |
|------|---|
| | 3.4.1 State total worth of work in progress and under contract: $\# (600,000)$ |
| 3.5 | On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces. See Atahment B |
| | State average annual amount of construction work performed during the past five years: $\# 1,000,000$ |
| 3.6 | On a separate sheet, list the construction experience and present commitments of the key individuals of your organization See Atachment C |
| REFE | RENCES |
| 4.1 | Trade References: American Block 304-425-8158 |
| | Citizens Building Supply 304-327-6118 |
| | Citizens Building Supply 304-327-6118 County Power Equipment 304-425-8273 |
| | |
| | |
| | |

42 Bank References:

4.

First Community Bank
Bill Hopkins 304-431-9006

- 4.3 Surety:
 - 43.1 Name of bonding company:

Colonial Surety

Name and address of agent: 43.2

5. **FINANCING**

- Financial Statement 51
 - Attach a financial statement, preferably audited, including your organization's latest 511 balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets:

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

- Name and address of firm preparing attached financial statement, and date thereof: 512 Thomas G. Himes, CPA 600 Rogers St. Princeton, WV 24740 Is the attached financial statement for the identical organization named on page one?
- 513 Ves
- If not, explain the relationship and financial responsibility of the organization whose 5.14 financial statement is provided (e.g., parent-subsidiary)

Will the organization whose financial statement is attached act as guarantor of the contract for con-5.2 struction? Yes

| SIGN/ 6 1 | Dated at Princeton, WV this 13th | day of $\mathcal{H}_{\mathcal{U}}$ |
|------------------------|--|------------------------------------|
| | Name of Organization: Improvements Unlimited, LLC | |
| | By: William & Erps William & Erps | |
| 62 | Title: Dwnsr | |
| Μι duly s mislea | worn deposes and says that the information provided herein is true and sufficiently complete sading | being so as not to be |
| Subsci | ribed and sworn before me this 13th 19 2009 Notary Public: NOTARY PUBLIC OFFE | ust |
| | My Commission Expires: 8 – 01–17 LANA G. JOHI State of West V My Commission Expires A 1000 OLD LERONA RD LERO | NSON irginia |

6.

CAUTION: You should sign an original AIA document which has this caution printed in red. An original assures that changes will not be obscured as may occur when documents are reproduced.



Improvements Unlimited, LLC You need it done, we can do it!"

Attachment A

WORK IN PROGRESS

| Project | Owner | Architect | Contract Amount | Bonding Company | Insurance | Percent Complete | Completion Date |
|--|---------------------------|-----------------------|--------------------|-----------------------------|------------|---------------------|--------------------|
| Wyoming Co. Courthouse | Wyoming Co. Commission | Silling Associates | \$541,469 | \$541,469 Colonial Surety | Nationwide | %86 | August 21, 2009 |
| Annex Meadows Remodel – | Meadows | | \$30,000 | | Nationwide | %56 | August 24, 2009 |
| Residential Dockery Roof Replacement – | Dockery | | \$25,000 | | Nationwide | %06 | August 31, 2009 |
| Total | | | \$596,469.00 | | | | |

Mail: PO Box 37 Lerona, WV 25971
Physical: 9123 Ingleside Rd. Princeton, WV 24740
304-487-1266 or 425-9649
Fax: 304-487-2176
Email:serps@frontiernet.net



Improvements Unlimited, LLC You need it done, we can do it!"

Attachment B

WORK COMPLETED

| Project | Owner | Architect | Contract | Bonding Company | Completion Date | Percent Work Self-Perform |
|---|--|---------------------------------|-----------------------------------|--------------------------------|--------------------------------|------------------------------|
| Repair sink hole | Mercer Co. Board of Fd - Iim Akers | | \$240,000.00 | Construction Bonds | Completed on schedule | 100% |
| Repair Mountaineer Machine Shop | Chet May | | \$210,000.00 | | November 2004 – on schedule | 100% |
| Demo Bradshaw & Bartley Schools | McDowell Co. Board of Ed. – Jeff Nash | ZMM Architects | \$749,500.00 | Construction Bonds | December 2005 – on schedule | 100% |
| Site Prep War Pre K-8 School | McDowell Co. Board of Ed. – Jeff Nash | Williams Shriver Architects | \$1,063,000.00 Construction Bonds | Construction Bonds | May 2007 – on schedule | %06 |
| ADA Access & Parking Upgrades Bluefield State College | Bluefield State College – Sheila Johnson | Thompson & Litton Architects | \$2,070,000.00 | \$2,070,000.00 Colonial Surety | July 2007 – on schedule | 100% |
| ADA Site Accessibility Modifications War Memorial, Kimball | McDowell Co. Museum Commission | Ralph Pederson Architects | \$221,600.00 | \$221,600.00 Colonial Surety | December 2007 – on schedule | 100% |
| Mercer Co. Airport Exterior Renovations | Mercer Co. Airport Authority | Chapman Technical Group | \$145,200.00 | Colonial Surety | June 2008 – on schedule | %56 |
| Automart | Billy Pantilli | | \$1,000,000.00 | | January 2009 – on schedule | 95% |

Average amount of work per year

\$1,000,000

Mail: PO Box 37 Lerona, WV 25971 Physical: 9123 Ingleside Rd. Princeton, WV 24740 304-487-1266 or 425-9649 Fax: 304-487-2176

Email:serps@frontiernet.net



Improvements Unlimited, LLC

You need it done, we can do it!"

Attachment C

David Yontz

Experience:

Foreman
March 2002 to Present Improvements Unlimited, LLC Princeton, WV
All types of General and Specialty Carpentry
Plumbing
Dry-Wall Finishing

Work Duty Coordinator
February 2000 to February 2002 Western Michigan Teen Challenge Muskegon, MI
Responsible for upkeep of Physical Facility, Grounds, Landscaping, Lawn Care, etc.

Lead Salesman
November 1993 to January 1998 **Hughes Supply Company** Martinsburg, WV
Industrial Plumbing for Underground Utilities Sales
Takeoffs
Estimating

Mail: PO Box 37 Lerona, WV 25971

Physical: 9123 Ingleside Rd Princeton, WV 24740

304-487-1266 or 425-9649

Fax: 304-487-2176

Email:serps@frontiernet_net



Chad Johnson

Experience:

July 1996 to Present Improvements Unlimited, LLC Princeton, WV All types of General and Specialty Carpentry

Education:

Carpentry Certificate Mercer County Vocational/Technical School

Mail: PO Box 37 Lerona, WV 25971

Physical: 9123 Ingleside Rd. Princeton, WV 24740

304-487-1266 or 425-9649

Fax: 304-487-2176

Email:serps@frontiernet.net



Jerry Church

Experience:

June 1992 to Present Improvements Unlimited, LLC Princeton, WV All types of General and Specialty Carpentry
Master Electrician

Mail: PO Box 37 Lerona, WV 25971

Physical: 9123 Ingleside Rd. Princeton, WV 24740

304-487-1266 or 425-9649

Fax: 304-487-2176

Email:serps@frontiernet.net



Paul West

Experience:

May 1997 to Present Improvements Unlimited Princeton, WV CDL License Truck Driver Heavy Equipment Operator

Winter 1993 to Winter 1996 Winterplace Ski Resort Flat Top, WV Grooming Machine Equipment Operator

1990-1993 **Triad Associative Contractors** North Carolina Pipeline Equipment Operator

Mail: PO Box 37 Lerona, WV 25971

Physical: 9123 Ingleside Rd. Princeton, WV 24740

304-487-1266 or 425-9649

Fax: 304-487-2176

Email:serps@frontiernet_net

REVIEWED FINANCIAL STATEMENTS

December 31, 2007

PREPARED BY:

THOMAS G HIMES, CPA

600 ROGERS STREET

PRINCETON, WV 24740

101 P.C

IMPROVEMENTS UNLIMITED, LLC

REVIEWED FINANCIAL STATEMENTS

December 31, 2007

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Thomas G. Himes, CPA

_CERTIFIED PUBLIC ACCOUNTANT

600 ROGERS STREET PRINCETON, WV, 24740 (304) 425-5119 FAX (304) 487-3597 himes@tghimescpa.com

ACCOUNTANT'S REVIEW REPORT

To the Members of Improvements Unlimited, LLC Princeton, WV 24740

I have reviewed the accompanying balance sheet of Improvements Unlimited, LLC, as of December 31, 2007, and the related statements of income and changes in members' equity and cash flows for the year then ended, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. All information included in these financial statements is the representation of the owner of Improvements Unlimited, LLC.

A review consists principally of inquiries of Company personnel and analytical procedures applied to financial data. It is substantially less in scope than an audit in accordance with generally accepted auditing standards, the objective of which is the expression of an opinion regarding the financial statements taken as a whole. Accordingly, I do not express such an opinion.

Based on my review, I am not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with U.S. generally accepted accounting principles.

Thomas G. Himes, CPA Princeton, WV 24740 May 23, 2008

BALANCE SHEET

December 31, 2007

| ASSETS | |
|---|---|
| CURRENT ASSETS Cash Accounts receivable - Trade Property for resale Other current assets Total Current Assets | \$ 43,934 845,431 76,330 11,500 977,195 |
| PROPERTY AND EQUIPMENT Vehicles and equipment Less: accumulated depreciation Total Property and Equipment | 1,689,437 (944,735) 744,702 |
| TOTAL ASSETS | \$ <u>1,721,897</u> |
| CURRENT LIABILITIES Lines of credit Accounts payable - Trade Other current liabilities Current maturity of long term debt Total Current Liabilities | \$ 403,033 137,512 1,536 36,830 578,911 |
| LONG TERM DEBT - Less Current Maturity | 81,776 |
| TOTAL LIABILITIES | 660,687 |
| MEMBERS' EQUITY Members' Equity | 1,061,210 |
| TOTAL LIABILITIES AND MEMBERS' EQUITY | \$ _1,721,897 |

STATEMENT OF INCOME AND CHANGES IN MEMBERS' EQUITY

| REVENUES | |
|--|--|
| Operating income | \$ 3,325,971 |
| COSTS AND EXPENSES Operating costs and expenses General and administrative expenses Interest expense | 2,830,799 72,568 30,162 2,933,529 |
| INCOME FROM OPERATIONS | 392,442 |
| Other income Loss on disposal of equipment Interest income | 1,394 (10,000) 118 |
| NET INCOME | 383,954 |
| Members' equity at beginning of year Members' draw | 727,591 (50,335) |
| MEMBERS' EQUITY AT END OF YEAR | \$ <u>1,061,210</u> |

STATEMENT OF CASH FLOWS

For the Year Ended December 31, 2007

CASH FLOWS FROM OPERATING ACTIVITIES

| Net income | \$ | 383,954 |
|--|----|-----------|
| Adjustments to reconcile net income to net cash | _ | |
| provided by operating activities: | | 100.000 |
| Depreciation Loss on sale of equipment | | 138,896 |
| (Increase) decrease in: | | 10,000 |
| Accounts receivable | | (392,177) |
| Costs and estimated | | (002,171) |
| earnings in excess of billings | | 204,107 |
| Other current assets | | (11,500) |
| Increase (decrease) in: | | |
| Accounts payable | | 29,651 |
| Other current liabilities | | (1,897) |
| Total adjustments | | (22,920) |
| NET CASH PROVIDED BY OPERATING ACTIVITIES | | 361,034 |
| | | |
| CASH FLOWS FROM INVESTING ACTIVITIES | | |
| Fixed assets purchased | | (269,373) |
| NET CASH (USED) BY INVESTING ACTIVITIES | | (269,373) |
| THE STATE OF THE S | | (203,313) |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Proceeds of line of credit | | 183,033 |
| Payments of long term note | | (187,619) |
| Members' net draw | | (50,335) |
| NET CASH (USED) BY | | |
| FINANCING ACTIVITIES | | (54.024) |
| | _ | (54,921) |
| NET INCREASE IN CASH | | 36,740 |
| Cash at Beginning of Year | | 7,194 |
| CASH AT END OF YEAR | • | 40.004 |
| CASH AT LIND OF TEAR | \$ | 43,934 |
| Supplemental Information: | | |
| Interest paid | \$ | 30,162 |
| | | • |

See accompanying notes and accountant's report

NOTES TO FINANCIAL STATEMENTS

For the Year Ended December 31, 2007

NOTE A - NATURE OF ACTIVITIES AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Activities and Source of Revenue - Improvements Unlimited, LLC (a limited liability company) constructs industrial, commercial, and residential buildings and other construction related work on fixed price and cost-plus contracts. Length of contracts vary, usually 30-90 days. The company also does other revenue producing activities such as snow removal.

Basis of Accounting - The accompanying financial statements of Improvements Unlimited, LLC, have been prepared on the accrual basis of accounting in accordance with U.S. generally accepted accounting principles.

<u>Property and Equipment</u> - Property and equipment are recorded at cost less accumulated depreciation computed over the estimated useful lives of the assets. Assets purchased prior to January 1, 2000, are depreciated using the income tax method of depreciation (accelerated cost recovery system and additional cost recovery on assets in their first year of service). The majority of these assets had lives of five years and were fully depreciated prior to January 1, 2005. Assets purchased after December 31 1999, are depreciated using the straight line method of depreciation. The company's policy is to capitalize assets costing greater than \$1,000 with a useful life of more than one year

<u>Losses from Bad Debts</u> - Bad debt expense is recorded by the specific charge-off method; accordingly, no allowance is provided for losses, if any, which might result from uncollectible accounts.

<u>Income Taxes</u> - As a limited liability company, the company does not pay income taxes since the income and expenses of the Company are passed through to the individual members. Accordingly, no income tax is recorded in the accompanying financial statements.

<u>Cash Equivalents</u> - Improvements Unlimited, LLC, considers cash checking as cash on the statements of cash flows.

<u>Use of Estimates</u> - The Company, as do all entities, must use management's estimates in the preparation of these financial statements in conformity with generally accepted accounting principles. Actual results could differ from those estimates

Recognition of Contract Income and Loss - The Company records profits and losses on its long-term contracts through estimates on the percentage-of-completion basis. The basis on which such profits or losses are recorded prior to completion is to accrue as an estimated ultimate loss, the full amount thereof, and as an estimated profit, that portion which is deemed allocable, on the basis of estimates of the percentage-of-completion, measured by the cost-to-cost method. Income from short-term contracts is recorded on substantial completion of each contract

The asset, "Costs and estimated earnings in excess of billings", if any, represents revenues recognized in excess of amounts billed. The liability, "Billings in excess of costs and estimated earnings", if any, represents billings in excess of revenues recognized

NOTES TO FINANCIAL STATEMENTS (CONTINUED)

For the Year Ended December 31, 2007

NOTE B - LONG TERM DEBT

| GMAC; 0%; original loan \$40,931; for the purchase of and secured by a 2005 Chevrolet truck; payable in 60 monthly payments of \$682.12 each until March 2010 | \$ 18,419 |
|---|--|
| First Community Bank; 8 00%; original loan and equipment cost \$118,110; for the purchase of and secured by a paver and dump truck; payable in five annual payments until August 2011 | 50,077 |
| First Community Bank; 8.00%; original loan and equipment cost \$75,110; for the purchase of and secured by a dozer; payable in five annual payments until September 2011 | <u>.50</u> ,110 |
| Less Current Maturity | 118,606 (<u>36,830</u>) \$ <u>81,776</u> |

NOTE C - LINES OF CREDIT

Lines of Credit

First Community Bank; 5.7% variable; credit available \$500,000; due September 8, 2008; secured by all equipment, inventory, and accounts. Outstanding at December 31, 2007

\$ 403,033

\$ 403,033

NOTE D - LEASES

For the year ended December 31, 2007, Improvements Unlimited, LLC, had no operating leases with future minimum rental payments

Total rent expense for the year ended December 31, 2007, was \$17,698

NOTES TO FINANCIAL STATEMENTS (CONTINUED)

For the Year Ended December 31, 2007

NOTE E - BUSINESS AND CREDIT CONCENTRATION

The company maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits. The Company has not experienced any losses in such accounts. The Company believes it is not exposed to any significant credit risk on cash and cash equivalents.

NOTE F - ACCOUNTS RECEIVABLE

For the year ended December 31, 2007, accounts receivable consisted of:

Completed Jobs

\$845,431

Of the above amount, \$500,000 is receivable from one of the members of Improvements Unlimited, LLC

NOTE G - BACKLOG

As of December 31, 2007, there was a backlog in the amount of \$650,000, for the construction of a Susuki dealership.

NOTE H - RELATED PARTY TRANSACTIONS

During the year ended December 31, 2007, the company entered into contracts with one of the members of Improvements Unlimited, LLC

Transactions with this member during 2007 are:

| PROJECT | REVENUES | COST OF | PROFIT |
|--------------------|---------------|-----------------|----------------|
| | <u>EARNED</u> | <u>REVENUES</u> | (<u>LOSS)</u> |
| Erps Shop Building | \$500,000 | \$500,000 | \$ -0- |

| nomas | U. | Himes, | CPA |
|-------|----|--------|-----|
|-------|----|--------|-----|

500 ROGERS STREET PRINCETON, WV, 24740 (304) 425-5119 FAX (304) 487-3597 himes@tghimescpa.com

ACCOUNTANT'S REVIEW REPORT ON SUPPLEMENTARY INFORMATION

To the Members of Improvements Unlimited, LLC Princeton, WV 24740

CERTIFIED PUBLIC ACCOUNTANT

My report on my review of the basic financial statements of Improvements Unlimited, LLC, for the year ended December 31, 2007 appears on page one. The review was made for the purpose of expressing limited assurance that there are no material modifications that should be made to the financial statements in order for them to be in conformity with U.S. generally accepted accounting principles The information included in the accompanying Schedules 1 - 3 is presented only for supplementary analysis purposes. Such information has been subjected to the inquiry and analytical procedures applied in the review of the basic financial statements, and I am not aware of any material modifications that should be made thereto.

Thomas G. Himes, CPA Princeton, WV 24740 May 23, 2008

SCHEDULE 1 - EARNINGS FROM CONTRACTS

| | REVENUES EARNED | COST OF REVENUES EARNED | GROSS PROFIT (LOSS) | |
|-------------------------------------|----------------------|-------------------------------|---------------------------|--|
| Contracts completed during the year | \$ 2,473,310 | \$ 2,164,014 | \$ 309,296 | |
| Contracts in progress at year end | - | - | - | |
| Sundry | 852,661 | 666,785 | 185,876 | |
| Totals | \$ <u>3,325,97</u> 1 | \$ 2,830,799 | \$495,172 | |

SCHEDULE 2 - CONTRACTS COMPLETED

| | REVENUES COST OF EARNED REVENUES | | PROFIT (LOSS) | |
|--|---|---|--|--|
| DURING THE YEAR ENDED DECEMBER 31, 2007 | | | . | |
| Bluefield State College Kimball War Memorial New Peoples Bank Mercer County Airport Princeton Sidewalks Tiffany Manor Erps Shop Building | \$ 1,278,955 212,187 145,020 134,070 109,928 93,150 500,000 | \$ 1,082,812 243,082 124,250 83,700 73,550 56,620 500,000 | \$ 196,143 (30,895) 20,770 50,370 36,378 36,530 | |
| Total | \$ <u>2,473,310</u> | \$ 2,164,014 | \$ 309,296 | |

SCHEDULE 3 - CONTRACTS IN PROGRESS

| | 1 | New Hope Road Project | _ | Total |
|--|----|--|----|--|
| CONTRACT TOTALS | _ | | _ | |
| Revenues Estimated gross profits | \$ | 100,000 80,000 | \$ | 100,000 80,000 |
| FROM INCEPTION TO DECEMBER 31, 2007 Revenues earned Cost of revenues earned Gross profit Billed to date Estimated cost to complete | \$ | 9,084 7,899 1,185 9,084 10,918 | \$ | 9,084 7,899 1,185 9,084 10,918 |
| FOR THE YEAR ENDED DECEMBER 31, 2007 | | | | |
| Revenues earned | \$ | - | \$ | n.e. |
| Cost of revenues earned Gross profit | | - | | - |

Improvements Unit'd LLC Balance Sheet December 31, 2008

ASSETS

| Current Assets Cash - First Community Bank Accounts Receivable Property for Resale | \$ | 2,318 95 860,891 00 76,330 00 | | |
|---|----|--|------|--------------|
| Total Current Assets | | | | 939,539.95 |
| Property and Equipment Equipment Vehicles Accumulated Depreciation | | 1,245,988.81 462,675.02 (1,083,575.00) | | |
| Total Property and Equipment | | | | 625,088.83 |
| Other Assets | | | | |
| Total Other Assets | | | _ | 0.00 |
| Total Assets | | | \$ | 1,564,628.78 |
| | | | | |
| | | LIABILITIES | ANI | CAPITAL |
| Current Liabilities Accounts Payable Sales Taxes Payable FUTA Payable SUTA Payable State WH/WV Child Support WH West - Savings Notes Payable - GMAC | \$ | 251,395.00 114.32 193.67 320.74 427.13 (138.12) 95.00 10,232.84 | | |
| Total Current Liabilities | | | | 262,640.58 |
| Long-Term Liabilities Line of Credit - FCB Loan, Paver & trucks Note Pay, FCB, '86 Cat dozer | - | 577,499.20 40,533.26 42,567.26 | | |
| Total Long-Term Liabilities | | | _ | 660,599.72 |
| Total Liabilities | | | | 923,240 30 |
| Capital Drawing Owner's Capital Net Income | - | (60,610.54) 1,061,210 00 (359,210 98) | | <i></i> |
| Total Capital | | | - | 641,388.48 |
| Total Liabilities & Capital | | | \$ = | 1,564,628.78 |

Colonial Surety Company

Administrative Office 50 Chestnut Ridge Road Montvale, NJ 07645 201-573-8788

BID BOND

Approved by The American Institute of Architects A.I.A. Document No. A-310 (Feb.1970 Edition)

KNOW ALL PERSONS BY THESE PRESENTS, that we,

Improvements Unlimited, LLC, Lerona, WV

as Principal, hereinafter called the Principal, and COLONIAL SURETY COMPANY, a corporation duly organized under the laws of the Commonwealth of Pennsylvania, as Surety, hereinafter called the Surety, are held and firmly bound unto

Department of Administration. Charleston. WV as Obligee, hereinafter called the Obligee, in the sum of

5% of amount bid not to exceed \$100000

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for

Construction of Administrative Office and Maintenance Facility

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 13th day of August 2009

vitness:

Improvements Unlimited, LLC

(Principal/Title

COLONIAL SURETY COMPANY

Witness:

Staci Yontz

Anthony J. Cimakko

Attomey-in-fact) (Seal)

COLONIAL SURETY COMPANY

Duncannon, Pennsylvania
Administrative Office: 50 Chestnut Ridge Road, Montvale, New Jersey 07645

GENERAL POWER OF ATTORNEY

Know all Men by These Presents, That COLONIAL SURETY COMPANY, a corporation duly organized and existing under the laws of the Commonwealth of Pennsylvania and having an administrative office in Montvale, Bergen County, NJ does by these presents make, constitute and appoint

of the Commonwealth of Pennsylvania and naving an administrative office in Montvale, Bergen County, NJ does by these presents make, constitute and appoint

Anthony J Cimasko

Lerona WV its true and lawful Attorney(s)-in-Fact, with full power and authority hereby conferred in

its name, place and stead, to execute, acknowledge and deliver.

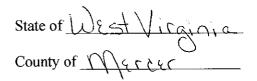
Any and All Bonds

and to bind the Company thereby as fully and to the same extent as if such bonds were signed by the President, sealed with the corporate seal of the Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney(s)-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolution adopted by the Board of Directors of the Colonial Surety Company at a meeting held on the 25th day of July, 1950.

"Be it Resolved, that the President, any Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

"Section I. Attorney-in-Fact. Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements of indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and attested by the Corporate Secretary."

| the President and sealed and attested by t | | | -in-ract snall de bli | naing upon the Comp | any as it signed by |
|---|--------------------|-----------------|---|--|---|
| "In Witness Whereof, Colonial Surety Compa | any has caused th | ese presents to | be signed by its | Preside | nt |
| and its corporate seal to be hereto affixed the | | | September | | · · · · · · · · · · · · · · · · · · · |
| State of New Jersey County of Bergen | 1930 | * / | COLONIAL SURETY Wayne N | COMPANY Nunziata President | |
| On this 8th day of | | Septembe | r | , in the y | ear 2006, before me |
| Theres | a Simmons | | | , a notary public, p | ersonally appeared |
| Wayne | e Nunziata | | , per | sonally known to me to | be the person who |
| executed the within instrument as Pro | esident | | , on beh | alf of the corporation | therein named and |
| acknowledged to me that the corporation ex | ecuted it. | | | | |
| Notary Public of New My Commission Expires Septer | Jersey | <u> </u> | Aluca Pheresa Simmons | Simmons | Notary Public |
| I, the undersigned Secretary of Colonial copy of the Original Power of Attorney issuin force and effect. | | | | | |
| And I do hereby further certify that the C authority of the following resolution adopt and held on the 30th of January 1968, and | ted by the Boar | d of Directors | of the Colonial Su | rety Company at a n | |
| RESOLVED, that the signature of the Sec be affixed or printed by facsimile to any co- nature and seal shall be valid and binding | ertificate to a Po | wer of Attorn | tary of this Corpor ey of this Corporati | ation, and the seal o on, and that such pri | f Corporation, may inted facsimile sig- |
| GIVEN under my hand and the seal of sa August , 20 09 | | Montvale, No | ew Jersey this | 13th | day of |
| For verification of the authenticity of this Power of Attorney you for the Power of Attorney clerk. Please refer to the above name bond to which the power is attached. | | | # Free | lerick S. Gallo, Secretar | у |



AND NOW, this 13th day of August in the calendar year of 2009, before me, a duly appointed and commissioned notary public, came the identified subscriber to the within instrument or instruments, and/or the demonstrated attorney-in-fact for said signatory and subscriber on said instrument or instruments, Anthony J. Cimasko, attorney-in-fact of Colonial Surety Company , an insurance company duly organized and existing under the laws of the Commonwealth of Pennsylvania and which is authorized to conduct business in this State, and that as such being authorized to do so, acknowledged that the within instrument or instruments were executed as the authorized act of his disclosed principal for the purposes therein contained, and declared to be a person executing said instrument or instruments as attorney-in-fact and with full capacity and competency, at the request of and on behalf of Colonial Surety Company therein named and acknowledged to me that the aforesaid Colonial Surety Company had authorized the execution by the aforesaid attorney-in-fact of said instrument or instruments with the intent to be legally bound as required by common and statutory law.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

A Notary Public of \\
My Commission Expires on \(\frac{3}{3} \)

Notary Public in and for the

County of Marca Carolina State of West Virginia

NOTARY PUBLIC



COLONIAL SURETY COMPANY

Duncannon, Pennsylvania
- Inc 1930 --

FINANCIAL STATEMENT—DECEMBER 31, 2007

ASSETS

LIABILITIES & SURPLUS

| *Stocks and Bonds | 22,333,566 | Reserve for Unearned Premiums |
|---------------------------------------|------------|-------------------------------|
| Cash in Office & Banks | 2,802,343 | Claim Reserves |
| Accrued Interest & Dividends | 271,910 | Other Liabilities |
| Premiums & Agents Balances Receivable | 636,235 | Collateral Held 462,719 |
| Other Assets | 875,886 | Capital Stock |
| | | Surplus 7,392,903 |
| Total Admitted Assets | 26,919 940 | Total Liabilities & Surplus |

[&]quot;Bonds and stocks are valued on basis approved by National Association of Insurance Commissioners

STATE OF NEW JERSEY COUNTY OF BERGEN

ss.:

1, Wayne Nunziata, President of COLONIAL SURETY COMPANY, do hereby certify that the foregoing is a full, true and correct copy of the Financial Statement of said Company, as of December 31, 2007

IN WITNESS WHEREOF, I have signed this statement at Montvale, New Jersey, this 15th day of February, 2008

Incorporated

A 1930

A PANSYIVANIA

Theresa Simmons A Notary Public of New Jersey My Commission Expires September 2, 2010 Wayne Nunziata

President

Theresa Simmons

Notary Public

BID FORM # BF5

CONTRACTORS LICENSE

WEST VIRGINIA STATE CODE 21-11-2 REQUIRES THAT ALL PERSONS DESIRING TO PERFOM CONTRACTING WORK IN THIS STATE MUST BE LICENSED. THE WEST VIRGINIA CONTRACTORS LICENSING BOARD IS EMPOWERED TO ISSUE THE CONTRACTORS LICENSE. APPLICATIONS FOR A CONTRACTORS LICENSE MAY BE MADE BY CONTRACTING THE WEST VIRGINIA DIVISION OF LABOR, CAPITOL COMPLEX, BUILING 3, ROOM 319, CHARLESTON, WV 25305. TELEPHONE: (304) 558-7890.

WEST VIRGINIA STATE CODE 21-11-11 REQUIRES ANY PROSPECTIVE BIDDER TO INCLUDE THE CONTRACTOR'S LICENSE NUMBER ON THEIR BID.

ORDER/CONTRACT.

THE BID.



State of West Virginia DRUG FREE WORKPLACE CONFORMANCE AFFIDAVIT West Virginia Code §21-1D-5

| STATE OF WEST VIRGINIA, |
|--|
| COUNTY OF Mercer, TO-WIT: |
| I, Staci Youtz, after being first duly sworn, depose and state as follows: |
| 1. I am an employee of <u>Improvements Unlimited</u> , <u>LLC</u> ; and, (Company Name) |
| 2. I do hereby attest that <u>Improvements Unlimited</u> , <u>LLC</u> (Company Name) |
| maintains a valid written drug free workplace policy and that such policy is in compliance with West Virginia Code §21-1D-5. |
| The above statements are sworn to under the penalty of perjury. |
| Improvements Unlimited, LLC (Company Name) |
| By: Styletz |
| Title: Office Manager |
| Date: |
| Taken, subscribed and sworn to before me this 12 day of 10 day of 10 day. |
| By Commission expires 8-01-17 |
| Sea NOTARY PUBLIC OFFICIAL SEAL LANA G. JOHNSON |
| State of West Virginia (Notary Public) My Commission Expires Aug. 21, 2017 L1909 010 L589NA 90 L599NA WY 669H DE CHEMATTTED WATTH THE BTD TNI ORDER TO |
| THIS WEET NOT BE SUBMITTED WITH THE BID IN ORDER TO COMPLY WITH WV CODE PROVISIONS. FAILURE TO INCLUDE THE AFELD AVIT WITH THE BID SHALL PESULT IN DISCUMITEICATION OF |

Jan 2009

BUY AMERICA CERTIFICATION

Bidder or offerer to complete correct certification.

Certificate of Compliance with Section 165(a)

Title

The bidder or offerer hereby certifies that it will comply with the requirements of section 165(a) of the Surface Transportation Act of 1982, as amended, and the applicable regulations in 49 CFR part 661.

| | , |
|---|---|
| 08/12/09 | |
| Date | • |
| William M. Engs | |
| Authorized Signature | |
| Improvements Unlimited, LLC Company Name | |
| William G. Erps | |
| Name | |
| Name Dwner Member | |
| Title | |
| Certificate for Non-Compliance with Section 165(a) | |
| The bidder or offerer hereby certifies that it cannot com Surface Transportation Assistance Act of 1982, as ame requirement pursuant to section 165(b)(2) or (b)(4) of the and the regulations in 49 CFR 661.7 | ended, but it may qualify for an exception to the |
| Date | |
| Authorized Signature | |
| Company Name | |
| Name | |

VENDOR'S CERTIFICATION OF UNDERSTANDING AND ACCEPTANCE

The Contractor hereby certifies that all Technical Specifications and Contract Terms and Conditions have been carefully reviewed, are fully understood and shall be adhered to in performance and completion of any contract resulting from this bid.

| | 08/02/09 |
|--|--|
| Dat | e |
| 1 | Villing M. Eyrs |
| Auti | horized Signature |
| | Dwner 1 Member |
| Title | |
| I | mprovements Unlimited, LLC npany Name |
| Con | npány Name |
| | |
| | SPECIFICATION COMPLIANCE |
| | |
| | lease check if what is offered is in exact compliance with specifications. Any discrepancies must |
| | as an attachment to the bid proposal. Exact dimensions and/or descriptions must be as a part of the Contractor's bid proposal when submitted. |
| | |
| \checkmark | Bid proposal submitted meets and/or exceeds all specification requirements. |
| ريد سم مديدي برسم اما د الوطيال <u>المثال الوا</u>لية ا لمام امار | |
| | Bid proposal submitted contains deviations from specification requirements. Detailed descriptions of these deviations have been provided with this bid proposal. |
| | · · · |
| | |

CERTIFICATION OF PRIMARY PARTICIPANT REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

The Primary Participant (applicant for an FTA grant or cooperative agreement, or potential contractor for a major third party contract). Improvements Unlimited, LC (COMPANY NAME) certifies to the best of its knowledge and belief, that it and its principals: 1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency: 2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining. attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; 3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and 4 Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. (If the primary participant (applicant for an FTA grant, or cooperative agreement, or potential third party contractor) is unable to certify to any of the statements in this certification, the participant shall attach an explanation to this certification.) THE PRIMARY PARTICIPANT (APPLICANT FOR AN FTA GRANT OR COOPERATIVE AGREEMENT, OR POTENTIAL CONTRACTOR FOR A MAJOR THIRD PARTY CONTRACT). William G. Erps , CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO. William Member Signature and Title of Authorized Official

CERTIFICATION OF RESTRICTIONS ON LOBBYING

The undersigned (Vendor, Contractor) certifies, to the best of his or her knowledge and belief, that:

- 1 No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. [as amended by "Government Wide Guidance for New Restrictions on Lobbying," 61 Fed. Reg., 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L., 104-65, to be codified at 2 U.S.C., 1601, et seq.)]
- 3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. [Note: Pursuant to 31 U.S.C. § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.]

| or occur diatomonic of it | ments <u>Unlimited</u> , <u>LLC</u> , certifies or affirms the truthfulness and accurace certification and disclosure, if any. In addition, the Vendor understands and agrees U.S.C. § 3801, et seq., apply to this certification and disclosure, if any. | у |
|---------------------------|---|---|
| 08/12/09 | William M. Errs | |
| Date | Authorized Signature | |
| Owner/Me | aber | |

ADDENDUM ACKNOWLEDGMENT

I hereby acknowledge receipt of the following checked addendum(s) and have made the necessary revisions to my proposal, plans and/or specifications, etc.

Addendum No.'s:

No 1 07/03/09

No 2 08/10/09

No. 3

No. 4 _____

No. 5

| I understand that failure to cause for rejection of bids | confirm the receipt of the addendum(s) is |
|---|---|
| | William D. Em Signature |
| | Improvements Unlimited, LLC Company |
| | Adla lad |

CONTRACTOR'S PLAN FOR DISADVANTAGED BUSINESS ENTERPRISE(I) PARTICIPATION DIVISION OF PUBLIC TRANSIT WEST VIRGINIA

It is the intention of this organization to affirmatively seek out and consider disadvantaged business enterprises to participate in this contract as subcontractor and/or Suppliers of materials and services.

| | COMPLETE APPROPRIATE COLUMN(S) BELOW | E COLUMN(S) BELOW | |
|---|---|---|--------------------------|
| NAME OF DBE Sub-Contractor | (SUBCONTRACTOR) DESCRIPTION OF WORK TO BE PERFORMED | (SUPPLIER) DESCRIPTION OF MATERIAL OR SERVICE TO BE SUPPLIED | TOTAL DOLLAR AMOUNT @ |
| Hazzard Excavating | Sitework, Cleanup, Traffic Control, Final | | 105,000.00 |
| | Cleanup, + Demobilization | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| - AMMANA | | | |
| | | | |
| TOTAL | | | 105,000.00 |
| CONTRACTOR'S DBI | CONTRACTOR'S DBE GOAL : PERCENT 5 % OF BID AMOUNT \$ | 2,100,000 | |
| DBE for the purpose of the ce DBE for the purpose of the ce If material is to be supplied the 100% of the cost can be recorded. The total DBE participation as | DBE for the purpose of the certificate means a disadvantage business as defined by Special Provisions in the Proposal. If material is to be supplied the figure in this column shall not exceed 60% of the actual cost unless the material is manufactured by a DBE in which case 100% of the cost can be recorded. The total DBF participation as a percentage of the total contract hid amount. | Provisions in the Proposal. unless the material is manufactured by a DBE in which case | Ġ. |
| 3. (3) The total LIBE participation | 3. (a) I me total DBE participation as a percentage of the total contract bid amount. | | |

5. By fully completing and signing this bid form (Bid Form #12), the Contractor shall commit to use a DBE subcontractor(s) to meet the contract goal.
6. By submitting Bid Form #13, the Contractor shall submit written and signed confirmation from the DBE(s) that it is participating in the contract as provided in the prime commission for providing a bonafide service. Authorized Signature contractor's commitment (Bid Form #12).

4. If the material is not supplied by a regular dealer as defined in 49 CFR 26.55(e)(2)(ii), the figure in this column ② shall include only a reasonable and customary fee or

Title Owner | Member

W W WULLBANG AND.

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION CONFIRMATION CERTIFICATION

NOTE: BID FORM #13 MUST BE INCLUDED FROM EACH DBE SUBCONTRACTOR/SUPPLIER THAT IS PARTICIPATING IN THE CONTRACT.*

This DBE subcontractor/supplier confirms that he/she is participating in the contract as provided in the prime Contractor's Plan For DBE Participation (Bid Form #12). Signature on this form does not constitute a contract between the prime contractor and subcontractor/supplier.

Authorized Signature

*Please make as many copies of this bid form as needed.

RFQ No PTR10003

STATE OF WEST VIRGINIA Purchasing Division

PURCHASING AFFIDAVIT

VENDOR OWING A DEBT TO THE STATE:

West Virginia Code §5A-3-10a provides that: No contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and the debt owed is an amount greater than one thousand dollars in the aggregate.

PUBLIC IMPROVEMENT CONTRACTS & DRUG-FREE WORKPLACE ACT:

If this is a solicitation for a public improvement construction contract, the vendor, by its signature below, affirms that it has a written plan for a drug-free workplace policy in compliance with Article 1D, Chapter 21 of the **West Virginia Code**. The vendor **must** make said affirmation with its bid submission. Further, public improvement construction contract may not be awarded to a vendor who does not have a written plan for a drug-free workplace policy in compliance with Article 1D, Chapter 21 of the **West Virginia Code** and who has not submitted that plan to the appropriate contracting authority in timely fashion. For a vendor who is a subcontractor, compliance with Section 5, Article 1D, Chapter 21 of the **West Virginia Code** may take place before their work on the public improvement is begun.

ANTITRUST:

In submitting a bid to any agency for the state of West Virginia, the bidder offers and agrees that if the bid is accepted the bidder will convey, sell, assign or transfer to the state of West Virginia all rights, title and interest in and to all causes of action it may now or hereafter acquire under the antitrust laws of the United States and the state of West Virginia for price fixing and/or unreasonable restraints of trade relating to the particular commodities or services purchased or acquired by the state of West Virginia. Such assignment shall be made and become effective at the time the purchasing agency tenders the initial payment to the bidder.

I certify that this bid is made without prior understanding, agreement, or connection with any corporation, firm, limited liability company, partnership or person or entity submitting a bid for the same materials, supplies, equipment or services and is in all respects fair and without collusion or fraud. I further certify that I am authorized to sign the certification on behalf of the bidder or this bid.

LICENSING:

Vendors must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including, but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, West Virginia Insurance Commission, or any other state agencies or political subdivision. Furthermore, the vendor must provide all necessary releases to obtain information to enable the Director or spending unit to verify that the vendor is licensed and in good standing with the above entities.

CONFIDENTIALITY:

The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures and rules. Vendor further agrees to comply with the Confidentiality Policies and Information Security Accountability Requirements, set forth in http://www.state.wv.us/admin/purchase/privacy/noticeConfidentiality.pdf

Under penalty of law for false swearing (West Virginia Code §61-5-3), it is hereby certified that the vendor affirms and acknowledges the information in this affidavit and is in compliance with the requirements as stated

| vendor's Name: <u>Improvements</u> Unlimited, LLC | | |
|---|-------|--|
| Authorized Signature: William M. Eng | Date: | 08/12/09 |
| Purchasing Affidavit (Revised 01/01/00) | _ | The state of the s |



VENDOR

RFQ COPY

PO Box 37

Lerona, WV

TYPE NAME/ADDRESS HERE

Improvements Unlimited, LLC

25971

State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

Request for Quotation

PTR10003

PAGE 1

**ADDRESS:CORRESPONDENCE TO ATTENTION OF

FRANK WHITTAKER 304-558-2316

SH H P T O

DIVISION OF PUBLIC TRANSIT

| 07/01/2009 | | | MS OF SAL | | SHIP | | FO.B | FREIGHT TERMS |
|-------------------|--|--|---|---|--|--|---|------------------------|
| BID OPENING DATE: | | <u>)8/13/</u> | 44600000000000000 | 800-80-000 | | BID | OPENING TIME | 01:30PM |
| LINE | QUAN | TITY | UOP | CAT. NO. | ITEM NUI | /BER | UNITPRICE | AMOUNT |
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ADDRESS CORRESPONDENCE TO ATTENTION OF

FRANK WHITTAKER 304-558-2316

RFQ COPY TYPE NAME/ADDRESS HERE

Improvements Unlimited, LLC PO Box 37 Lerona, WV 25971

DIVISION OF PUBLIC TRANSIT

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25971

State of West Virginia Department of Administration
Purchasing Division
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FRANK WHITTAKER

304-558-2316

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ADDRESS CORRESPONDENCE TO ATTENTION OF

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FRANK WHITTAKER 304-558-2316

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BUILDING 5, ROOM 906 1900 KANAWHA BOULEVARD, EAST CHARLESTON, WV 25305-0432 304-558-0428

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PO Box 37
Lerona, WV 25971

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Improvements Unlimited, LLC PO Box 37 Lerona, WV 25971 DIVISION OF PUBLIC TRANSIT

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| | | | | | UMBER IN CASE IT NG YOUR BID: | IS NECESSARY | |
| | • | | | | 304-487-2176 | **** *** *** *** *** *** *** *** *** * | |
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Request for Quotation

PTR10003

PAGE 10

ADDRESS CORRESPONDENCE TO ATTENTION OF

FRANK WHITTAKER 304-558-2316

RFQ COPY Type Name/Address Here

Improvements Unlimited, LLC PO Box 37 Lerona, WV 25971

DIVISION OF PUBLIC TRANSIT

BUILDING 5, ROOM 906 1900 KANAWHA BOULEVARD, EAST CHARLESTON, WV 25305-0432 304-558-0428

DATE PRINTED TERMS OF SALE SHIP VIA FREIGHT TERMS FOB. 07/01/2009 BID OPENING DATE: 08/13/2009 BID OPENING TIME QUANTITY LINE UOP ITEM NUMBER UNIT PRICE AMOUNT PLEASE PRINT OR TYPE NAME OF PERSON TO CONTACT CONCERNING THIS QUOTE: William G. Erps 2,100,000 IS THE END OF RFQ PTR10003 **** TOTAL: THIS SEE REVERSE SIDE FOR TERMS AND CONDITIONS ADDRESS CHANGES TO BE NOTED ABOVE



Request for

RFQ NUMBER PTR10003

PAGE

ADDRESS CORRESPONDENCE TO ATTENTION OF:

FRANK WHITTAKER <u> 804-558-2316</u>

*709054837 304-487-1266 IMPROVEMENTS UNLIMITED LLC PO BOX 37

1000 OLD LERONA ROAD LERONA WV 25971

DIVISION OF PUBLIC TRANSIT

SH-p BUILDING 5, ROOM 906

1900 KANAWHA BOULEVARD, EAST

CHARLESTON, WV 25305-0432

304-558-0428

| DATE PRIN | TED TE | RMS OF SALE | SHIP VI | A | F.O.B | FREIGHT TERMS |
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DATE PRINTED

State of West Virginia Department of Administration Quotation Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

TERMS OF SALE

Request for

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ADDRESS CORRESPONDENCE TO ATTENTION OF

FRANK WHITTAKER <u> 804 - 558 - 2316</u>

*709054837 304-487-1266 IMPROVEMENTS UNLIMITED LLC PO BOX 37 1000 OLD LERONA ROAD LERONA WV 25971

DIVISION OF PUBLIC TRANSIT

BUILDING 5, ROOM 906 1900 KANAWHA BOULEVARD, EAST CHARLESTON, WV 25305-0432 304-558-0428

F.O.B. FREIGHT TERMS 07/20/2009 BID OPENING DATE: 08/13/2009 BID OPENING TIME 01;30PM LINE QUANTITY ITEM NUMBER UNIT PRICE AMOUNT IS THE END OF REQ PTR10003 ***** TOTAL: 2,100,000 SEE REVERSE SIDE FOR TERMS AND CONDITIONS 56-2562758 ADDRESS CHANGES TO BE NOTED ABOVE



WV PURCHASING ACA SECT Fax 304-558-4115
REQUEST TOT Quotation

PTR10003

Aug 10 2009 03:08pm P001/004

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| RANK WHITTAKER | |
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RFQ COPY TYPE NAME/ADDRESS HERE

Improveme PO Box 37 Improvements Unlimited, LLC Lerona, WV 25971

DIVISION OF PUBLIC TRANSIT

| DATEPRIN | TEO | TER | MS OF SAI | ε | 3 HP | VIA | F.O.B. | EREIGHT TEAMS |
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RFQ COPY

PO Box 37

Lerona, WV

TYPE NAME/ADDRESS HERE

Improvements Unlimited, LLC

25971

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

WY PURCHASING ACA SECT Fax 304-558-4115
HEQUEST TOT BEON Quotation

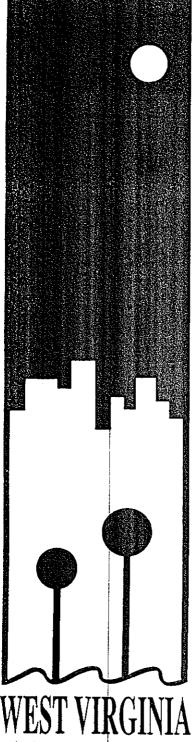
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DIVISION OF PUBLIC TRANSIT

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CONTRACTOR LICENSE

Authorized by the

West Virginia Contractor Licensing Board

Number:

WV003431

Classification:

ELECTRICAL GENERAL BUILDING SPECIALTY

> IMPROVEMENTS UNLIMITED LLC PO BOX 37 LERONA, WV 25971

Date Issued

Expiration Date

SEPTEMBER 05, 2009

Chair, West Virginia Contracto

Licensing Board

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