

State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

#### Request for Quotation

PTR09024

PAGE 1

FREIGHTTERMS

ADDRESS CORRESPONDENCE TO ATTENTION OF

FRANK WHITTAKER

DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

1642 BLUEFIELD AVENUE BLUEFIELD, WV 24701 304-327-8418

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## GENERAL TERMS & CONDITIONS REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
- 2. The State may accept or reject in part, or in whole, any bid.
- 3. All quotations are governed by the West Virginia Code and the Legislative Rules of the Purchasing Division.
- 4. Prior to any award, the apparent successful vendor must be properly registered with the Purchasing Division and have paid the required \$125 fee.
- 5. All services performed or goods delivered under State Purchase Order/Contracts are to be continued for the term of the Purchase Order/Contracts, contingent upon funds being appropriated by the Legislature or otherwise being made available. In the event funds are not appropriated or otherwise available for these services or goods, this Purchase Order/Contract becomes void and of no effect after June 30.
- Payment may only be made after the delivery and acceptance of goods or services.
- 7. Interest may be paid for late payment in accordance with the West Virginia Code.
- 8. Vendor preference will be granted upon written request in accordance with the West Virginia Code.
- 9. The State of West Virginia is exempt from federal and state taxes and will not pay or reimburse such taxes.
- 10. The Director of Purchasing may cancel any Purchase Order/Contract upon 30 days written notice to the seller.
- 11. The laws of the State of West Virginia and the Legislative Rules of the Purchasing Division shall govern all rights and duties under the Contract, including without limitation the validity of this Purchase Order/Contract.
- 12. Any reference to automatic renewal is hereby deleted. The Contract may be renewed only upon mutual written agreement of the parties.
- 13. BANKRUPTCY: In the event the vendor/contractor files for bankruptcy protection, this Contract may be deemed null and void, and terminated without further order.
- 14. HIPAA BUSINESS ASSOCIATE ADDENDUM: The West Virginia State Government HIPAA Business Associate Addendum (BAA), approved by the Attorney General, and available online at the Purchasing Division's web site (http://www.state.wv.us/admin/purchase/vrc/hipaa.htm) is hereby made part of the agreement. Provided that, the Agency meets the definition of a Cover Entity (45 CFR §160.103) and will be disclosing Protected Health Information (45 CFR §160.103) to the vendor.
- 15. WEST VIRGINIA ALCOHOL & DRUG-FREE WORKPLACE ACT: If this Contract constitutes a public improvement construction contract as set forth in Article 1D, Chapter 21 of the West Virginia Code ("The West Virginia Alcohol and Drug-Free Workplace Act"), then the following language shall hereby become part of this Contract: "The contractor and its subcontractors shall implement and maintain a written drug-free workplace policy in compliance with the West Virginia Alcohol and Drug-Free Workplace Act, as set forth in Article 1D, Chapter 21 of the West Virginia Code. The contractor and its subcontractors shall provide a sworn statement in writing, under the penalties of perjury, that they maintain a valid drug-free work place policy in compliance with the West Virginia and Drug-Free Workplace Act. It is understood and agreed that this Contract shall be cancelled by the awarding authority if the Contractor: 1) Fails to implement its drug-free workplace policy; 2) Fails to provide information regarding implementation of the contractor's drug-free workplace policy at the request of the public authority; or 3) Provides to the public authority false information regarding the contractor's drug-free workplace policy."

#### INSTRUCTIONS TO BIDDERS

- 1. Use the quotation forms provided by the Purchasing Division.
- 2. SPECIFICATIONS: Items offered must be in compliance with the specifications. Any deviation from the specifications must be clearly indicated by the bidder. Alternates offered by the bidder as EQUAL to the specifications must be clearly defined. A bidder offering an alternate should attach complete specifications and literature to the bid. The Purchasing Division may waive minor deviations to specifications.
- 3. Complete all sections of the quotation form.
- Unit prices shall prevail in case of discrepancy.
- 5. All quotations are considered F.O.B. destination unless alternate shipping terms are clearly identified in the quotation.
- **6. BID SUBMISSION:** All quotations must be delivered by the bidder to the office listed below prior to the date and time of the bid opening. Failure of the bidder to deliver the quotations on time will result in bid disqualifications: Department of Administration, Purchasing Division, 2019 Washington Street East, P.O. Box 50130, Charleston, WV 25305-0130



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RFQ COPY

TYPE NAME/ADDRESS HERE

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

# Request for Quotation

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DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

....ADDRESS:CORRESPONDENCE TO ATTENTION OF

1642 BLUEFIELD AVENUE BLUEFIELD, WV 24701 304-33

304-327-8418

	DATE PRINTED	TERMS OF SALE SHIP VIA	FOB	FREIGHTTERMS
WITHIN 425 CALENDAR DAYS AFTER THE NOTICE TO PROCEED IS RECEIVED. UNLESS OTHERWISE SPECIFIED, THE FULLY EXECUTED PURCHASE ORDER WILL BE CONSIDERED NOTICE TO PROCEED.  CANCELLATION: THE DIRECTOR OF FURCHASING RESERVES THE RIGHT TO CANCEL THIS CONTRACT IMMEDIATELY UPON WRITTEN NOTICE TO THE VENDOR IF THE MATERIALS OR WORKMANSHIP SUPPLIED ARE OF AN IMPERIOR QUALITY OR DO NOT CONFORM WITH THE SPECIFICATIONS OF THE BID AND CONTRACT  WAGE RATES: THE CONTRACTOR OR SUBCONTRACTOR SHALL PAY THE HIGHER OF THE U.S. DEPARTMENT OF LABOR MINIMUM WAGE RATES AS SETABLISHED FOR HARRISON COUNTY, PURSUANT TO WEST VIRGINIA CODE 21-5A, ET, SEQ. PREVAILING WAGE RATES APELY TO THIS PROJECT)  ARBITRATION: ANY REFERENCES MADE TO ARBITRATION OR INTEREST FOR PAYMENTS DUE (EXCEPT FOR ANY INTEREST REQUIRED BY STATE LAW) CONTAINED IN THIS CONTRACT  ON IN ANY AMERICAN INSTITUTE OF ARCHITECTS DOCUMENTS PERTAINING TO THIS CONTRACT ARE HEREBY DELETED.  WORKERS' COMPENSATION: VENDOR IS REQUIRED TO PROVIDE A CERTIFICATE FROM WORKERS' COMPENSATION IF SUCCESSFUL.  ALL OF THE ITEMS CHECKED BELOW WILL BE A REQUIREMENT OF THIS CONTRACT:  (XX) INSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL GENERAL LIBBILITY INSURANCE PRIOR TO INSURANCE OF CONTRACT:  (XX) INSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL, GENERAL LIBBILITY INSURANCE PRIOR TO INSURANCE OF CONTRACT:  (XX) INSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL, GENERAL LIBBILITY INSURANCE PRIOR TO INSURANCE OF CONTRACT:  (XX) INSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL, GENERAL LIBBILITY INSURANCE PRIOR TO INSURANCE OF CONTRACT:  (XX) IMSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL, GENERAL LIBBILITY INSURANCE PRIOR TO INSURANCE OF CONTRACT:  (XX) IMSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL GENERAL LIBBILITY INSURANCE PRIOR TO INSURANCE OF COMMENTS. THE MINIMUM AMOUNT OF INSURANCE COVERAGE REQUIRED IN SECOND COMMENTS.	BID OPENING DATE: 2009			
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State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

# Request for Quotation

FRANK WHITTAKER <u> 904-558-2316</u>

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304-327-8418

FREIGHTTERMS

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FRANK WHITTAKER B04-558-2316

DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

1642 BLUEFIELD AVENUE BLUEFIELD, WV 24701

304-327-8418

ADDRESS:CORRESPONDENCE:TO ATTENTION OF

DATE PRINTED TERMS OF SALE SHIP VIA	FO.B FREIGHT TERMS
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1642 BLUEFIELD AVENUE BLUEFIELD, WV

DOCZM< 24701 304-327-8418

DATE:PRINTED TERMS:OF:SALE SHIP-VIA	F.O.B; FREIGHT/TERIMS
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State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

## Request for Quotation

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ADDRESS:CORRESPONDENCE TO ATTENTION OF

FRANK WHITTAKER B04-558-2316

DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

1642 BLUEFIELD AVENUE BLUEFIELD, WV 24701

304-327-8418

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Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

# Request for Quotation

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FRANK WHITTAKER

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DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

1642 BLUEFIELD AVENUE BLUEFIELD, WV

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#### Request for Quotation

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DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

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Purchasing Division
2019 Washington Street East
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Charleston, WV 25305-0130

Request for Quotation

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FRANK WHITTAKER <del>104-558-2316</del>

DIVISION OF PUBLIC TRANSIT BLUEFIELD TRANSIT SYSTEM

1642 BLUEFIELD AVENUE BLUEFIELD, WV 24701

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#### INVITATION TO BID

The West Virginia Division of Public Transit invites proposals to provide all work, including but not limited to labor, material, equipment supplies, and transportation for the construction of a pre-engineered metal and brick construction administrative office and maintenance facility for the:

# Bluefield Area Transit New Construction Bus Administrative and Maintenance Facility Bluefield, WV

All Bids must be submitted in accordance with the Bidding Documents issued by the Architect and the Request for Quotations PTR09024 issued by the West Virginia Department of Administration, Purchasing Division. Bidding Documents may be obtained from:

#### Plans and Specifications:

Chapman Technical Group Engineers and Architects 200 Sixth Avenue St. Albans, WV 25177 Phone: (304) 727-5501

#### Request for Quotation:

WV Purchasing Division
Frank Whittaker, Buyer
2019 Washington Street, East, Building 15
Charleston, WV 25305
Phone: (304) 558-2316

Documents may be purchased at \$150.00, plus shipping and handling for sets that are mailed, which is NONREFUNDABLE. Bidders and material suppliers may procure additional sets at actual cost. Only complete sets will be issued.

#### A mandatory pre-bid meeting is scheduled for:

Time: 10:00 a.m.

Date: March 31, 2009

Place City of Bluefield

City Board Room 200 Rogers Street Bluefield, WV 24701

For directions to the mandatory pre-bid meeting, contact Mr. Patrick McKinney, Bluefield Area Transit, Bluefield, WV, (304) 327-8418. Failure to attend the mandatory pre-bid meeting shall disqualify Contract from bidding.

Sealed Bids will be received by the Department of Administration, Purchasing Division; 2019
Washington Street, East; Charleston, West Virginia 25305-0130 until 1:30 p.m. on April 15, 2009, in accordance with the Instructions to Bidders, the Supplementary Instructions to Bidders and Request for Quotations. Bid prices shall remain in effect for 90 days.

Federal funding for this project is being provided from the Federal Transit Administration, Section 5309, CFDA 20500 at 80% of the project cost and any overrun cost will be paid by the Section 5311 Stimulus Recovery Funds, CFDA 20509 at 100 % of the project cost overrun.

#### **TERMS & CONDITIONS**

Federal funding for this project is being provided from the Federal Transit Administration, Section 5309, CFDA 20500 at 80% of the project cost and any overrun cost will be paid by the Section 5311 Stimulus Recovery Funds, CFDA 20509 at 100 % of the project cost overrun.

#### No Federal Government Obligations to Third Parties

- (1) The WV Division of Public Transit and Contractor acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this contract and shall not be subject to any obligations or liabilities to the WV Division of Public Transit, Contractor, or any other party (whether or not a party to that contract) pertaining to any matter resulting from the underlying contract.
- (2) The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by the Federal Transit Administration. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

#### Program Fraud and False or Fraudulent Statements or Related Acts

- (1) The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. §§3801 et seq. and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. Part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the Federal Transit Administration (FTA) assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.
- (2) The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. § 5307, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5307(n)(1) on the Contractor, to the extent the Federal Government deems appropriate.
- (3) The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

#### **Exclusionary or Discriminatory Specifications**

The Contractor agrees that it will comply with the requirements of 49 U.S.C. § 5323(n)(2) by refraining from using any Federal assistance awarded by the WV Division of Public Transit to support procurements using exclusionary or discriminatory specifications.

#### **Geographic Restrictions**

The Contractor agrees to refrain from using state or local geographic preferences, except those expressly mandated or encouraged by Federal statute, and as permitted by FTA.

#### Access to Records and Reports

The Contractor agrees to permit the WV Division of Public Transit, the FTA Administrator, or their authorized representatives, including any PMO Contractor, access to the contractor's records and construction sites pertaining to a major capital project, defined at 49 U.S.C. 5302(a)1, which is receiving federal financial assistance through the programs described at 49 U.S.C. 5307, 5309 or 5311. By definition, a major capital project excludes contracts of less than the simplified acquisition threshold currently set at \$100,000.

The Contractor agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.

The Contractor agrees to maintain all books, records, accounts and reports required under this contract for a period of not less than three years after the date of termination or expiration of this contract, except in the event of illigation or settlement of claims arising from the performance of this contract, in which case Contractor agrees to maintain same until the WV Division of Public Transit, the FTA Administrator, the Comptroller General, or any of their duly authorized representatives, have disposed of all such litigation, appeals, claims or exceptions related thereto, Reference 49 CFR 18.39(i)(11). FTA does not require the inclusion of these requirements in subcontracts.

#### **Civil Rights**

The following requirements apply to the underlying contract:

- (1) Nondiscrimination. In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, Section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, Section 202 of the Americans With Disabilities Act of 1990, 42 U.S.C. § 12132, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
- (2) Equal Employment Opportunity. The following equal employment opportunity requirements apply to the underlying contract:

- (a) Race, Color, Greed, National Origin, Sex. In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, and Federal transit laws at 49 U.S.C. § 5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor, "41 C.F.R. Parts 60 et seg., (which implement Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note), and with any applicable Federal statutes, executive orders, regulations, and Federal policies that may in the future affect construction activities undertaken in the course of the Project. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- (b) Age. In accordance with the Age Discrimination Act of 1975, as amended, 29 U.S.C. §§ 6101 et seq. and implementing regulations, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- (c) <u>Disabilities</u>. In accordance with Section 102 of the Americans With Disabilities Act, as amended, 42 U.S.C. § 12112, the Contractor agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, "Regulations to Implement the Equal Employment Provisions of the Americans With Disabilities Act," 29 C.F.R. Part 1630, pertaining to employment of persons with disabilities. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- (3) The Contractor also agrees to include these requirements in each subcontract financed in whole or in part with Federal assistance provided by FTA, modified only if necessary to identify the affected parties.

#### **Energy Conservation**

The Contractor agrees to comply with, and obtain the compliance of its subcontractors, with mandatory standards and policies relating to energy efficiency contained in applicable state energy conservation plans issued in compliance with the Energy Policy and Conservation Act, 42 U.S.C. §§ 6321 et seg.

#### **Environmental Regulations**

The Contractor agrees to comply with all applicable standards, orders, or requirements regarding Federal and State laws imposing environmental and resource conversation requirements that may apply to the contract. A listing of possible requirements is available for the Contractor's review in Section 25 of the FTA Master Agreement MA(15), dated 10-1-08.

The Contractor agrees to comply with all applicable standards, orders, or requirements issued under Executive Order 11738, and Environmental Protection Agency Regulations (40 CFR, Part 15), which prohibits the use under nonexempt federal contracts, grants or loans of facilities included on the EPA list of violating facilities. Any violations shall be reported to the Division of Public Transit who will forward the report to the Federal Transit Administration and to the US EPA Administrator for enforcement (9EN-329).

#### Clean Air & Clean Water Requirements

- (1) The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. §§ 7401 et seg. and Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seg. The Contractor agrees to report each violation to the WV Division of Public Transit and understands and agrees that the WV Division of Public Transit will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.
- (2) The Contractor also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided from FTA.

#### Application of Federal, State and Local Laws and Regulations

To achieve compliance with changing federal, state and local requirements, the Contractor shall note that federal, state and local requirements may change and the changed requirements will apply to this Contract as required.

#### Contract Work Hours and Safety Standards Act

The Contractor shall comply with Section 102 of the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 3701 et seq., esp. § 3702 & 3704) as supplemented by Department of Labor Regulations (29 CFR, § 5 & 29 CFR § 1926) as they involve the employment of mechanics and laborers.

Overtime Requirements. No Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

Violation: Liability for Unpaid Wages: Liquidated Damages. In the event of any violation of the clause set forth in paragraph (2) of this section, the Contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (2) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (2) of this section.

Withholding for Unpaid Wages and Liquidated Damages. The WV Division of Public Transit shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (3) of this section.

<u>Subcontracts</u>. The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this section.

Payrolls and Basic Records. Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in Section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR .5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

Contract Work Hours and Safety Standards Act (i) The contractor agrees to comply with section 107 of the Contract Work Hours and Safety Standards Act, 40 U.S.C. section 333 and applicable DOL regulations, "Safety and Health Regulations for Construction" 29 C.F.R. Part 1926. Among other things, the Contractor agrees that it will not require any laborer or mechanic to work in unsanitary, hazardous, or dangerous surroundings or working conditions.

(ii) <u>Subcontracts</u> The Contractor also agrees to include the requirements of this section in each subcontract. The term "subcontract" under this section is considered to refer to a person who agrees to perform any part of the labor or material requirements of a contract for construction, alteration or repair. A person who undertakes to perform a portion of a contract involving the furnishing of supplies or materials will be considered a "subcontractor" under this section if the work in question involves the performance of construction work and is to be performed: (1) directly on or near the construction site, or (2) by the employer for the specific project on a customized basis. Thus, a supplier of materials which will become an integral part of the construction is a "subcontractor" if the supplier fabricates or assembles the goods or materials in question specifically for the construction project and the work involved may be said to be construction activity. If the goods or materials in question are ordinarily sold to other customers from regular inventory, the supplier is not a "subcontractor." The requirements of this section do not apply to contracts or subcontracts for the purchase of supplies or materials or articles normally available on the open market.

#### **Davis-Bacon Act**

(1) Minimum wages – (i) All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provision of paragraph (1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR Part 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- (ii)(A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
- (1) Except with respect to helpers as defined as 29 CFR 5.2(n)(4), the work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
- (4) With respect to helpers as defined in 29 CFR-5.2(n)(4), such a classification prevails in the area in which the work is performed.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be

sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii)(B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (v)(A) The contracting officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefor only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination with 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(v)(B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- Withholding The WV Division of Public Transit shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract, the WV Division of Public Transit may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.
- (3) Payrolis and basic records (1) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a pian or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

- (ii)(A) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the WV Division of Public Transit for transmission to the Federal Transit Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR part 5. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, DC 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.
- (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be maintained under 29 CFR part 5 and that such information is correct and complete;
- (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
- (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the Federal Transit Administration or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
- (4) Apprentices and trainees (i) Apprentices Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division of the U.S. Department of Labor determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (ii) Trainees Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the dissification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal employment opportunity The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CER part 30.
- (5) Compliance with Copeland Act requirements The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

- (6) Subcontracts The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the Federal Transit Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) Contract termination: debarment A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- (8) Compliance with Davis-Bacon and Related Act requirements All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- (9) **Disputes concerning labor standards** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
- (10) Certification of eligibility (I) By entering into this contract, the contractor certifies that neither It (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S. C. 1001.

#### Privacy Act

The following requirements apply to the Contractor and its employees that administer any system of records on behalf of the Federal Government under any contract:

- (1) The Contractor agrees to comply with, and assures the compliance of its employees with, the information restrictions and other applicable requirements of the Privacy Act of 1974, 5 U.S.C. § 552a. Among other things, the Contractor agrees to obtain the express consent of the Federal Government before the Contractor or its employees operate a system of records on behalf of the Federal Government. The Contractor understands that the requirements of the Privacy Act, including the civil and criminal penalties for violation of that Act, apply to those individuals involved, and that failure to comply with the terms of the Privacy Act may result in termination of the underlying contract.
- (2) The Contractor also agrees to include these requirements in each subcontract to administer any system of records on behalf of the Federal Government financed in whole or in part with Federal assistance provided by FTA.

Bankruptcy

Upon entering of a judgment of bankruptcy or insolvency by or against a Contractor, the WV Division of Public Transit may terminate this Contract for cause.

#### Preference for Recycled Products

The Contractor agrees to comply with all the requirements of Section 6002 of the Resource Conservation and Recovery Act, as amended (42 U.S.C. 6962), including but not limited to the regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the Items designated in Subpart B of 40 CFR Part 247.

#### **Hold Harmless**

The Contractor agrees to protect, defend, indemnify and hold the WV Division of Public Transit, its officers, employees and agents free and harmless from and against any and all losses, penalties, damages, settlements, costs, charges, professional fees or other expenses or liabilities of every kind and character arising out of or relating to any and all claims, liens, demands, obligations, actions, proceedings or causes of action of every kind and character in connection with or arising directly or indirectly out of this Contract and/or the performance hereof. Without limiting the generality of the foregoing, any and all such claims, etc. relating to personal injury, infringement of any patent, trademark, copyright (or application for any thereof) or any other tangible or intangible personal or property right, or actual or alleged violation of any applicable statute, ordinance, administrative order, rule or regulation, or decrees of any court, shall be included in the indemnity hereunder. The Contractor further agrees to investigate, handle, respond to, provide defense for and defend any such claims, etc., at his/her sole expense and agrees to bear all other costs and expenses related thereto, even if such claim is groundless, false or fraudulent.

#### Licensing and Permits

The Contractor shall be appropriately licensed for the work required as a result of the Contract. The cost for any required licenses or permits shall be the responsibility of the Contractor. The Contractor is liable for any and all taxes due as a result of the Contract.

#### Compliance with Laws and Permits

The Contractor shall give all notices and comply with all existing and future federal, state and municipal laws, ordinances, rules, Regulations, and orders of any public authority bearing on the performance of the Contract, including, but not limited to, the laws referred to in these provisions of the Contract and the other Contract documents. If the Contract documents are at variance therewith in any respect, any necessary changes shall be incorporated by

appropriate modification. Upon request, the Contractor shall furnish to the WV Division of Public Transit certificates of compliance with all such laws, orders, and Regulations.

#### Cargo Preference

#### The Contractor agrees:

To utilize privately owned United States—Flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States—Flag commercial vessels;

To furnish within twenty (20) working days following the date of loading for shipments originating within the United States or within 30 working days following the date of leading for shipments originating outside the United States, a legible copy of a rated, "on board" commercial ocean bill of lading in English for each shipment of cargo described in the paragraph above to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590 and to the Division of Public Transit (through the Contractor in the case of a subcontractor's bill of lading.)

To include these requirements in all subcontracts issued pursuant to this contract when the subcontract may involve the transport of equipment, material, or commodities by ocean vessel.

#### Federal Regulation Changes

Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Master Agreement (Form FTA MA(15) dated October 1, 2008) between the WV Department of Transportation, Division of Public Transit and FTA, as they may be amended or promulgated from time to time during the term of this Contract. Contractor's failure to so comply shall constitute a material breach of this contract.

#### Severability

In the event any provision of the Contract is declared or determined to be unlawful, invalid or unconstitutional, such declaration shall not affect, in any manner, the legality of the remaining provisions of the Contract and each provision of the Contract will be and is deemed to be separate and severable from each other provision.

#### **FTA Terms**

The preceding provisions include, in part, certain Standard Terms and Conditions required by DOT, whether or not expressly set forth in the preceding contract provision. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1F, dated November 1, 2008, are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Contract. The Contractor shall not perform any act, fall to perform any act, or refuse to comply with any WV Division of Public Transit requests which would cause the WV Division of Public Transit to be in violation of the FTA terms and conditions.

#### Fiy America

The Contractor agrees to comply with 49 U.S.C. 40118 (the "Fly America" Act) in accordance with General Services Administration's regulations at 41 CFR Part 301-10, which provide that recipients and subrecipients of Federal funds and their Contractors are required to use U.S. Flag air carriers for U.S. Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S. Flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. The Contractor agrees to include the requirements of this section in all subcontracts that may involve international air transportation.

#### **Prohibited Interest**

No employee, officer, board member, agent or their family members of the Division of Public Transit may participate in the selection, award, or administration of a Contract supported by Federal funds if a real or apparent conflict of interest is involved. Such a conflict could arise when any of the parties mentioned above have a financial or other interest in the Contractor selected for the Contract.

#### **Buy America Certification**

Contractor agrees to comply with 49 U.S.C. 5323(j) and 49 CFR Part 661, which provide that Federal funds may not be obligated unless steel, iron, and manufactured products used in FTA-funded projects are produced in the United States, unless a waiver has been granted by FTA or the product is subject to a general waiver. General waivers are listed in 49 CFR 661.7, and include microcomputer equipment, software, and small purchases (currently less that \$100,000) made with capital, operating, or planning funds. Separate requirements for rolling stock are set out at 5323(j)(2)(C) and 49 CFR 661.11. Rolling stock not subject to a general waiver must be manufactured in the United States and have a 60 percent domestic content.

A bidder or offerer must submit to the Division of Public Transit the appropriate Buy America certification on **Bid Form #7** with all bids on FTA-funded contracts, except those subject to a general waiver. Bids or offers that are not accompanied by a completed Buy America certification must be rejected as non-responsive. This requirement does not apply to lower tier subcontractors.

#### **Debarment and Suspension**

This contract is a covered transaction for purposes of 49 CFR Part 29. As such, the contractor is required to verify that none of the contractor, its principals, as defined at 49 CFR 29.995, or affiliates, as defined at 49 CFR 29.905, are excluded or disqualified as defined at 49 CFR 29.940 and 29.945.

The contractor is required to comply with 49 CFR 29, Subpart C and must include the requirement to comply with 49 CFR 29, Subpart C in any lower tier covered transaction it enters into. By signing and submitting its bid or proposal, the bidder certifies as follows:

The certification in this clause is a material representation of fact relied upon by the WV Division of Public Transit. If it is later determined that the bidder knowingly rendered an erroneous certification, in addition to remedies available to the WV Division of Public Transit, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder agrees to comply with the requirements of 49 CFR 29, Subpart C while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder further agrees to include a provision requiring such compliance in its lower tier covered transactions. All Contractors shall be required to certify that they are not on the Comptroller General's list of ineligible contractors on **Bid Form #9**.

#### Restrictions on Lobbying

Every Contractor who applies or bids for an award of \$100,000 or more shall file the certification on Bid Form #10 required by C.F.R. Part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose the name of the registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal contract, grant or award covered by 31 U.S.C. 1352. Such disclosures are forwarded from tier to tier up to the Division of Public Transit.

#### Amendments to Solicitation/Addendum Acknowledgment

- A. The State of West Virginia reserves the right to amend any element or part of these bid documents and specifications and/or change the bid opening date and time, up to the time and date that bids are due. In this event, all potential Contractors that have received a copy of these contract documents and specifications shall be notified of the postponement and the new time and date that bids will be due. Such notification shall be mailed or otherwise provided. Any bids received in accordance with the original bid due date shall be held unopened till the new bid opening date.
- B. In the event that amendments are issued by the State fourteen (14) or more days prior to the original bid due date, the original date and time established for the receipt of bids, at the State's option, may or may not be changed. The addendum notification sent by the State shall contain either a statement that the bid due date remains as previously established or shall provide a new time and date if the bid due date is changed.
- C. In the event that addenda are issued by the State, Contractors must complete the Addendum Acknowledgment form contained within these contract documents and specifications and submit that form with their bid. **Bid Form #11** shall be used for this purpose.

#### Metric System

To the extent required by the U.S. Department of Transportation or the Federal Transit Administration, the Contractor agrees to use the metric system of measurement in its Contract activities, as may be required by 15 U.S.C. §§ 205a et seq.; Executive Order No. 12770, "Metric Usage in Federal Government Programs," 15 U.S.C. § 205a note; and other regulations, guidelines, and policies issued by the U.S. Department of Transportation or the Federal Transit Administration. To the extent practicable and feasible, the Contractor agrees to accept products and services with dimensions expressed in the metric system of measurement.

#### Patent Infringement

The Contractor shall advise the Division of Public Transit of any impending patent suit and shall provide all information available. The Contractor shall defend any suit or proceeding brought against the Division of Public Transit based on a claim that any equipment, or any part thereof, furnished under this contract constitutes an infringement of any patent, and the Contractor shall pay all damages and costs awarded therein, excluding incidental and consequential damages, against the Division of Public Transit. In case said equipment, or any part thereof, is in such suit held to constitute infringement and use of said equipment or parts is enjoined, the Contractor shall, at its own expense and its option, either procure for the Division of Public Transit the right to continue using said equipment or part, or replace same with non-infringing equipment, or modify it so it becomes non-infringing.

#### Seismic Safety

Contractor agrees that any new building or addition to an existing building will be designed and constructed in accordance with the standards for Seismic Safety required in Department of Transportation Seismic Safety Regulations 49 CFR Part 41 and will certify to compliance to the extent required by the regulation. The contractor also agrees to ensure that all work performed under this contract including work performed by a subcontractor is in compliance with the standards required by the Seismic Safety Regulations and the certification of compliance issued on the project.

#### **Accessibility**

Contractor agrees that any new building or addition to an existing building will be designed and constructed in accordance with the 42 U.S.C. Sections 12101 et seq. and DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37. Notably, DOT incorporated by reference the ATBCB's "Americans with Disabilities Act Accessibility Guidelines" (ADAAG), revised July 2004, which include accessibility guidelines for buildings and facilities and are incorporated into Appendix A.

#### Sensitive Security Information

Each Contractor must protect, and take measures to ensure that its subcontractors at each tier protect; "sensitive security information" made available during the administration of this contract or any subcontract to ensure compliance with 49 U.S.C. Section 40119(b) and implementing DOT regulations, "Protection of Sensitive Security Information," 49 CFR Part 15, and with 49 U.S.C. Section 114(s) and implementing Department of Homeland Security regulations, "Protection of Sensitive Security Information," 49 CFR Part 1520.

#### Seat Belt Use

In compliance with Federal Executive Order No. 13043, "Increasing Seat Belt Use in the United States," April 16, 1997, 23 U.S.C. Section 402 note, FTA encourages each contractor to adopt and promote on-the-job seat belt use policies and programs for its employees and other personnel that operate company owned, rented, or personally operated vehicles, and to include this provision in any third party subcontracts, involving this project.

#### **Bid Protest Procedures**

#### 1. Filing of Protest(s)

Protests based on a violation of State law or rule must be filled no later than five (5) working days after issuance by the Purchasing Division of the notice of intent to award the contract or purchase order. Protests based on restrictive bid specifications or alleged improprieties in any type of solicitation, which are apparent prior to the bid opening or closing date for receipt of bids or proposals, must be filled no later than five (5) working days prior to the bid opening or closing date. Any other protest must be filled no later than five (5) working days following award of the contract or purchase order.

The Contractor is responsible for knowing the bid opening and award dates. Protests filed after the time frames specified above will not be considered. In addition, after these time frames, protests may not be amended, altered or changed.

All protests must be in writing and filed with the WV Purchasing Division containing the following:

- a) Include the name and address of the protester.
- b) Identify the requisition number of the bid or the purchase order number.
- c) Contain a statement of the grounds for protest and any supporting documentation.
- d) Indicate the ruling or relief desired from the WV Purchasing Division.

Failure to submit this information shall be grounds for rejection of the protest by the Director of the Purchasing Division.

No formal briefs or other technical forms of pleading or motion are required, but a protest and other submission should be concise, logically arranged, and clear.

The protest must be filed in writing with the:

Director WV Purchasing Division 2019 Washington Street, East P. O. Box 50130 Charleston, WV 25305-0130

with a copy of the protest sent to the:

Division of Public Transit Building 5, Room 906 1900 Kanawha Boulevard, East Charleston, WV 25305-0432

To expedite handling, the address should include "ATTENTION: Bid Protest - Bid #PTR09024.

Protests received timely will be reviewed and a written decision issued. The Director of the WV Purchasing Division has the authority to make the final determination on any protest.

#### 2. Protest Review

The Purchasing Director or his/her designee shall review the matter of protest and issue a written decision. A hearing may be conducted at the option of the Purchasing Director or assigned designee.

#### 3. Division of Public Transit Report/Response

The Division of Public Transit shall submit a complete report/response on the protest to the Director of the WV Purchasing Division responding in detail to each substantive issue raised in the protest; with a copy to the protester, as expeditiously as possible but not later than twenty-five (25) working days after being notified of the protest.

#### 4. Withholding of Award

When a protest has been filed before award, the WV-Purchasing Division-will not make an award prior to the resolution of the protest, and when a protest has been filed before the opening of bids, the WV Purchasing Division will not open bids prior to the resolution of the protest, unless the WV Purchasing Division determines that:

- a) The Items to be procured are urgently required; or
- b) Delivery or performance will be unduly delayed by failure to make the award promptly; or
- Failure to make prompt award will otherwise cause undue harm to a recipient, the Division of Public Transit or the State of West Virginia.

in the event that the WV Purchasing Division determines that the award is to be made during the pendency of a protest, the Division of Public Transit will notify the Federal Transit Administration (FTA) prior to making such award.

#### 5. Request for Reconsideration

Reconsideration of a decision by the WV Purchasing Division may be requested by the protester or any party involved in the protest. The request for reconsideration must be in writing, made to the Director of the Purchasing Division and shall contain the following:

- a) Include the name and address of the aggrieved party.
- b) Identify the requisition number of the bid or the purchase order number.
- Contain a detailed statement of the grounds for reconsideration with supporting documentation; and a statement of whether a hearing is requested.
- d) Indicate the ruling or relief desired from the WV Purchasing Division.

Request for reconsideration of a decision of the WV Purchasing Division shall be filed not later than five (5) working days after the initial written decision. The protest shall not be considered pending during the five (5) working day period specified in this paragraph.

In the event a hearing is requested by the aggrieved party, the Purchasing Director, or his or her designee, will set a time and place for the hearing for full review of the matter.

If no hearing is requested, the Purchasing Director, or his or her designee, will review the request for reconsideration and issue a written decision. If a hearing is requested, the Purchasing Director, or his or her designee, will hold the hearing and then issue a written decision.

#### 6. Refusal of Protests

The State of West Virginia may refuse to decide any protest or reconsideration where the matter involved is one of the following:

- the protest is the subject of litigation before a court of competent jurisdiction;
- b) the protest has previously been decided on the merits by a court of competent jurisdiction;
- the protest has been decided in a previous protest.

The provisions do not apply where the court requests, expects, or otherwise expresses interest in the decision of the State.

#### 7. Appeals to the Federal Transit Administration (FTA)

Under the Federal Transit Administration's Circular 4220.1F, the Federal Transit Administration's (FTA's) appeals process for reviewing protests of a recipient's procurement decisions are:

- 1. Requirements for the Protester. The protester must:
  - a) Qualify as an "Interested Party." Only an "interested party" qualifies for FTA review of its appeal. An "interested party" is a party that is an actual or prospective bidder or offeror whose direct economic interest would be affected by the award or failure to award the contract at issue.
    - Subcontractors. A subcontractor does not qualify as an "interested party" because it does not have a direct economic interest in the results of the procurement.
    - 2. Consortia/Joint Ventures/Partemerships/Teams. An established consortium, joint venture, partnership, or team that is an actual bidder or offeror and is acting in its entirety, would qualify as an "interested party" because it has a direct economic interest in the results of the procurement. An individual member of a consortium, joint venture, partnership, or team, acting solely in its individual capacity, does not qualify as an "interested party" because it does not have a direct economic interest in the results of the procurement.
    - 3. <u>Assocations or Organizations</u>. An association or organization that does not perform contracts does not qualify as an "interested party," because it does not have a direct economic interest in the results of the procurement.
  - b) Exhaust Administrative Remedies. The protester must exhaust its administrative remedies by pursuing the WV Division of Public Transit's protest procedures to completion before appealing the WV Division of Public Transit's decision to FTA.

- c) Appeal Within Five Days. The protester must deliver its appeal to the FTA Regional Administrator, Region III, 1760 Market Street, Sulte 500, Philadelphia, PA 19103-4124 within five (5) working days of the date when the protester has received actual or constructive notice of the WV Division of Public Transit's final decision. Likewise, the protester must provide its appeal to the same address within five (5) working days of the date when the protester has identified other grounds for appeal to FTA. For example, other grounds for appeal include the WV Division of Public Transit's failure to have or failure to comply with its protest procedures or failure to review the protest.
- 2. Extent of FTA Review. FTA limits its review of protests to:
  - Failure of the Division of Public Transit to have or adhere to its written bid protest procedures, or failure of the Division of Public Transit to review a complaint or protest.
  - Alleged violations on other grounds are under the jurisdiction of the appropriate State or local administrative authorities.
  - c) Alleged violations of a specific Federal Law or regulation that provides an applicable complaint procedure shall be submitted and processed in accordance with that Federal Law or regulation. <u>See, e.g.</u>, Buy America Requirements, 49 C.F.R. Part 661 (Section 661.15); Participation by Minority Business Enterprise in Department of Transportation Programs, 49 C.F.R. Section 26.89.

FTA will exercise discretionary jurisdiction over those appeals involving issues important to FTA's overall public transportation program. FTA will refer violations of Federal law for which it does not have primary jurisdiction to the Federal authority having proper jurisdiction.

3. <u>FTA Determinations to Decline Protest Reviews.</u> FTA's determination to decline jurisdiction over a protest does not mean that FTA approves of or agrees with the Division of Public Transit's decision or that FTA has determined the contract is eligible for Federal participation. FTA's determination means only that FTA does not consider the issues presented to be sufficiently important to FTA's overall program that FTA considers a review to be required.

#### **Prompt Payment**

The prime Contractor agrees to pay each sub-contractor under this prime contract for satisfactory performance of its contract no later than 15 days From the receipt of each payment the prime Vendor receives from the Division of Public Transit. The Vendor agrees further to return retainage payments to each subcontractor within 15 days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the Division of Public Transit. This clause applies to both DBE and non-DBE subcontractors.

### REQUIRED BID FORMS

The following certifications must be properly **completed and furnished by the OFFERER as part of the bid**. Failure to submit any of these certifications **shall** deem the bid **non-responsive**.

24

Page PO#PTR09024
Spending Unit: West Virginia Dept. Of Transportation
Division of Public Transit

 $\mathbf{B}\mathbf{I}\mathbf{D}$ 

BF#1

Proposal of Alliance C	onstruction	<u>Managemen</u>	t, Inc.	hereinafter called
Bidder, organized and existing	under the laws of the	State of W	<u>V</u>	doing business
as <u>corporatio</u>	n			*. To the West Virginia
Division of Public Transit, here	inafter called OWNE	BR.		
In compliance with you Adverti	sement for Bids, Bid	lder hereby proj	posed to perfo	rm all work for the new
construction of a Administrative	Office and Bus Ma	intenance Facil	ity in Bluefiel	d, Mercer County, West
Virginia in strict accordance with	h the Contract Docu	ments, within t	he time and at	the prices stated herein.
•				
By submission of this Bid, each	Bidder certifies, and	l in the case of	a joint Bid, ea	ch party hereto certifies
as to his own organization, that	this Bid has been arr	ived at indepen	dently, withou	it consultation,
communication, or agreement a	s to any matter relati	ng to this Bid w	vith any other	Bidder or with any
competitor.	•			
•				
Bidder hereby agrees to comme	nce work under this	Contract on or l	before a date t	o be specified in the
Notice to Proceed and to obtain	substantial completi	on and final cor	mpletion for th	ne Project as called for
in these Contract Documents.				
•			,	
NOTE: Bids include all applica	ble taxes, permits, a	nd fees.		
•				
* Insert "a corporation," "a parts	nership," or "an indi	vidual" as appli	cable.	

BF#2

#### **GENERAL CONTRACTOR**

GENERAL WORK

This price to include all work shown and noted in the Contract Documents. The

General Contractor shall be responsible for the coordination, scheduling, and

normal administrative activities of the project.

In the event of a difference between the written amount and the number amount, the written amount shall prevail.

The Bidder understands that to the extent allowed by applicable Codes, the Owner reserves the right to waive any informality or irregularity in any Bid or Bids and to reject any or all Bids in whole or in part; to reject a Bid not accompanied by the required bid security or by other data required by the Bidding Documents; to reject any condition of the Bid by the Bidder that is in any way inconsistent with the requirements, terms, and conditions of the Bidding Documents; or to reject a Bid that is in any way incomplete or irregular.

The Bidder, if successful and awarded a Contract, agrees that the work is to be substantially complete 365 days after Notice To Proceed is issued or 15 days after the encumbrance date of the Purchase Order if Notice to Proceed has not been received. The Bidder agrees to achieve Final Completion within 60 consecutive calendar days after the substantial completion. Liquidated damages shall be assessed at a rate of \$200 per day if substantial completion is not obtained within 365 calendar days of the Contractor's proceed date and liquidated damages shall be assessed at \$100 per day if final completion is not achieved within 60 calendar days of substantial completion.

BF#3

Base Bid

Four Million Seven hundred thousand Dollars
(Amount to be shown in both words and numbers \$4,700,000

Refer to Section 01230 Part 3.1 (A) and (B) for Schedule of Alternates.

Deductive Alternate No. 1 Fourty Four Thousand Dollars,

(Amount to be shown in both words and numbers \$ 44,000

**Deductive Alternate No. 1.** Delete the fuel farm tanks, pumps, lights, concrete island, and canopy structure, etc. completely. Terminate utility runs to the fuel farm inside the exterior wall of the main building. Delete circuits back to panels and mark "spare". Cap and label utilities and empty conduits for ease in location for future use.

Deductive Alternate No. 2 One hundred Fifteen Thousand Dollars.

(Amount to be shown in both words and numbers \$ 115,000

Deductive Alternate No. 2. Delete Room 117-Training and Conference in its entirety, including all fixtures and equipment, cabinetry, etc. Wall type 4 shall become an exterior wall as detailed in Wall Section A on Drawing A6A. Delete circuits back to panels and mark "spare". Terminate all utilities and ductwork inside the remaining space in proximity to Column Line E8 and cap and label for future use.

PO#PTR09024 Dept. of iTransportation Division of Public Transit

# AIA Document A305 – 1986

#### Contractor's Qualification Statement

§ 1.3.2 State of incorporation:

§ 1.3.4 Vice-president's name(s)

§ 1.3.3 President's name:

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

SUBMITTED TO: State of WV, Division of Public Transi	ADDITIONS AND DELETIONS: The author of this document has
ADDRESS: 2019 Washing St. East, Charleston, WV	added information needed for its completion. The author may also
SUBMITTED BY: Alliance Construction Management, Inc.	have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added
NAME: Pat Stinson	information as well as revisions to
ADDRESS: PO Box 894, Princeton, WV 24740	the standard form text is available from the author and should be
PRINCIPAL OFFICE: 420 Hilltop Dr., Princeton, WV [X] Corporation	reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where
[ ] Partnership	the author has added to or deleted from the original AIA text.
[ ] Individual	This document has important legal
[ ] Joint Venture	consequences. Consultation with an attorney is encouraged with respect
[ ] Other	to its completion or modification.
NAME OF PROJECT (if applicable): Bluefield Transit System TYPE OF WORK (file separate form for each Classification of Work):	This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of
[X] General Construction	America (AGC) for use in evaluating the qualifications of contractors. No
[ ] HVAC	endorsement of the submitting party
Electrical Plumbing	or verification of the information is made by AIA or AGC.
[ ] Other (please specify)	
§ 1. ORGANIZATION § 1.1 How many years has your organization been in business as a Contractor?	
§ 1.2 How many years has your organization been in business under its present business name?	
§ 1.2.1 Under what other or former names has your organization operated?	
None	
§ 1.3 If your organization is a corporation, answer the following:  § 1.3.1 Date of incorporation: 2003	

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Pat Stinson

WV

§1.3.5 Secretary's name: Debra Kilgore §1.3.6 Treasurer's name: Debra Kilgore

§ 1.4 If your organization is a partnership, answer the following:

§ 1.4.1 Date of organization:

§ 1.4.2 Type of partnership (if applicable):

§ 1.4.3 Name(s) of general partner(s)

- § 1.5 If your organization is individually owned, answer the following:
  - § 1.5.1 Date of organization:
  - § 1.5.2 Name of owner:
- § 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

#### § 2. LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.

West Virginia

Virginia § 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

#### § 3. EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

General carpentry, misc. concrete, doors & frames

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)
§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

No

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

No

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

No

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

No.

BF#4

Page 29 PO#PTR09024
Spending Unit: West Virginia Department of Transportation Division of Public Transit

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

See attached

§ 3.4.1 State total worth of work in progress and under contract:

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

See attached

§ 3.5.1 State average annual amount of construction work performed during the past five years:

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.

See attached

§ 4. REFERENCES

§ 4.1 Trade References:

Furnished upon award

§ 4.2 Bank References:

First Community Bank, Princeton, WV New Peoples Bank, Princeton, WV

§ 4.3 Surety:

§ 4.3.1 Name of bonding company:

Westfield Insurance Group

§ 4.3.2 Name and address of agent:

Lively Insurance Oakhill WV

§ 5. FINANCING

§ 5.1 Financial Statement. This information will be provided upon award.
§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet
and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes):

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(2750444868)

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:

Lively & Associates, Princeton, WV

- § 5.1.3 Is the attached financial statement for the identical organization named on page one?
- § 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).
- § 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?

§ 6. SIGNATURE

§ 6.1 Dated at this 12day of May 2009

Name of Organization: Alliance Construction Management, Inc.

By:

Title: President

§ 6.2

M being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this 12 day of Ma 20

Notary Public: ("Onni Z. Dou

My Commission Expires: 5-20-2018



BF#4

P0#PTR09024 Spending Unit: West Virginia Department of Transportation Division of Public Transit

# Additions and Deletions Report for AIA® Document A305™ – 1986

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 13:00:33 on 03/09/2009.

PAGE 4

Subscribed and sworn before me this

day of

BF#4

Page Po#PTR09024
Spending Unit: West Virginia
Department of Transportation
Division of Public Transit

### Certification of Document's Authenticity

AIA® Document D401™ - 2003

I, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 13:00:33 on 03/09/2009 under Order No. 1000381958\_1 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A305TM – 1986 - Contractor's Qualification Statement, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

Pat Stinson	·
(Signed)	
President	
(Title)	
	· •
May 12, 2009	
(Dated)	

33

SEE ATTACHED.....

Agency: WV Division of Public Transit REQ.P.O# PTR09024

#### **BID BOND BF#5**

of	1	, as Principal,	and
of		orporation organized and ex	xisting under the laws of the State of
f West Virginia, as Obligee, in the	e penal sum of	(\$	re held and firmly bound unto the State) for the payment of which
vell and truly to be made, we joint	lly and severally bind ourselves, o	ur heirs, administrators, exe	cutors, successors and assigns.
The Condition of the above	ve obligation is such that whereas		d to the Purchasing Section of the
Department of Administration a ce	ertain bid or proposal, attached he	reto and made a part hereo	f, to enter into a contract in writing for
NOW THEREFORE,			
nereto and shall furnish any other	ccepted and the Principal shall en bonds and insurance required by ance of said bid, then this obligation	the bid or proposal, and sho on shall be null and void, oth	ance with the bid or proposal attached all in all other respects perform the nerwise this obligation shall remain in ful d all claims hereunder shall, in no even
xceed the penal amount of this o	bbligation as herein stated.		
xceed the penal amount of this o  The Surety, for the value  yay impaired or affected by any e  yaive notice of any such extensio	e received, hereby stipulates and a extension of the time within which to on.	agrees that the obligations o the Obligee may accept suc	f said Surety and its bond shall be in по h bid, and said Surety does hereby
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The Surety, for the value vay impaired or affected by any evaive notice of any such extension IN WITNESS WHEREOF nave caused their corporate seals	e received, hereby stipulates and a extension of the time within which too.  F, Principal and Surety have heres to be affixed hereunto and these	agrees that the obligations o the Obligee may accept suc unto set their hands and sea	of said Surety and its bond shall be in no sh bid, and said Surety does hereby als, and such of them as are corporation
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The Surety, for the value vay impaired or affected by any evaive notice of any such extensio  IN WITNESS WHEREOF have caused their corporate seals	e received, hereby stipulates and a extension of the time within which too.  F, Principal and Surety have heres to be affixed hereunto and these	agrees that the obligations o the Obligee may accept suc unto set their hands and sea	of said Surety and its bond shall be in not shall be in not should be in not shall, and said Surety does hereby als, and such of them as are corporation seir proper officers, this  (Name of Principal)
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The Surety, for the value vay impaired or affected by any evaive notice of any such extensio  IN WITNESS WHEREOF have caused their corporate seals	e received, hereby stipulates and a extension of the time within which too.  F, Principal and Surety have heres to be affixed hereunto and these	agrees that the obligations of the Obligee may accept sucting the obligee may accept sucting the obligee may accept sucting to set their hands and sea presents to be signed by the obligee of the oblige	of said Surety and its bond shall be in not shall be in not should be in not shall, and said Surety does hereby als, and such of them as are corporation seir proper officers, this  (Name of Principal)
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The Surety, for the value way impaired or affected by any e vaive notice of any such extensio  IN WITNESS WHEREOF nave caused their corporate seals day of	e received, hereby stipulates and a extension of the time within which too.  F, Principal and Surety have heres to be affixed hereunto and these	agrees that the obligations of the Obligee may accept sucting the obligee may accept sucting the obligee may accept sucting to set their hands and sea presents to be signed by the obligee of the oblige	of said Surety and its bond shall be in not ship bid, and said Surety does hereby hals, and such of them as are corporation heir proper officers, this  (Name of Principal)  (Must be President or Vice President)  (Title)

IMPORTANT – Surety executing bonds must be licensed in West Virginia to transact surety insurance. Raised corporate seals must be affixed, a power of attorney must be attached.

AGENCY\_

#### BID BOND PREPARATION INSTRUCTIONS

				RFQ/RFP#(B)_
(1)	****** M		Bond	
(A)	WV State Agency			ITS, That we, the undersigned,
	(Stated on Page 1 "Spending Unit")	(C) of	(D)	(E)
	Request for Quotation Number (upper	as Principal, and (F) (H), a corporation	of	<u> </u>
(0)	right corner of page #1)	(H), a corporation	on organize	ed and existing under the laws
(C)	Your Company Name	of the State of (I) wit	h its princi	pal office in the City of
(D)	City, Location of your Company	(J) , as Surety, a	re held and	i firmly bound unto The State
(E)	State, Location of your Company	of West Virginia, as Obligee, in the pen	ai sum of _	( <u>K</u> )
(F)	Surety Corporate Name	(\$ (L) ) for the payr		
(G)	City, Location of Surety	we jointly and severally bind ourselves,	our neirs,	administrators, executors,
(H)	State, Location of Surety	successors and assigns.	(! 4 ! ! -	
(I)	State of Surety Incorporation			such that whereas the Principal
(J)	City of Surety Incorporation	has submitted to the Purchasing Section		
(K)	Minimum amount of acceptable bid	a certain bid or proposal, attached hereto		-
	bond is 5% of total bid. You may state	contract in writing for	<i>;</i>	
	"5% of bid" or a specific amount on this line in words.	(IVA)		
(T)	Amount of bond in figures			
(L)		NOW THEREFORE.	<del> </del>	
(M)	Brief Description of scope of work  Day of the month		<b>3</b>	
(M)	Month	(a) If said bid shall be rejected		
(O) (P)	Year	(b) If said bid shall be accepte	and the i	rincipal shall enter into a
(F) (Q)	Name of Corporation	contract in accordance with the bid or property other hands and incurrence required		
(R)	Raised Corporate Seal of Principal	any other bonds and insurance required other respects perform the agreement cr		
(S)	Signature of President or Vice	this obligation shall be null and void, of		
(5)	President	force and effect. It is expressly understo		
(T)	Title of person signing	Surety for any and all claims hereunder		
(0)	Raised Corporate Seal of Surety	amount of this obligation as herein state		event, exceed the penal
(v)	Corporate Name of Surety	The Surety for value received,		nulates and surees that the
(w)	Counter Signature by WV agent	obligations of said Surety and its bond s		
(X)	Signature of Attorney in Fact of the	any extension of time within which the		
(~ ~)	Surety	Surety does hereby waive notice of any		
NOTE:	Dated, Power of Attorney with Raised			Surety have hereunto set their
	Surety Seal must accompany this bid	hands and seals, and such of them as are		
	bond.	seals to be affixed hereto and these pres		
		this (N) day of (O)		
				<del></del>
		Principal Corporate Seal		(O)
		* 1		(Name of Principal)
		(R)	By	(S)
		` '	<i>y</i>	(Must be President or
	•.		t.	Vice President)
				(T)
			<del></del>	Title
		(U)		
		Surety Corporate Seal		(V)
		,		(Name of Surety)
		Countersigned:		
		(W)	· · · · · · · · · · · · · · · · · · ·	(X)
		Resident West Virginia Agent		Attorney-in-Fact
		IMPORTANT – Surety executing bonds		
		transact surety insurance. Corporate sea		
	the state of the second section of the section of the second section of the secti	must be attached, and a West Virginia re	esident mu	st sign or countersign.

35

Page PO#PTR09024
Spending Unit: West Virginia Dept. of Transportation
Division of Public Transit

### BID FORM #

#### CONTRACTORS LICENSE

WEST VIRGINIA STATE CODE 21-11-2 REQUIRES THAT ALL PERSONS DESIRING TO PERFOM CONTRACTING WORK IN THIS STATE MUST BE LICENSED. THE WEST VIRGINIA CONTRACTORS LICENSING BOARD IS EMPOWERED TO ISSUE THE CONTRACTORS LICENSE. APPLICATIONS FOR A CONTRACTORS LICENSE MAY BE MADE BY CONTRACTING THE WEST VIRGINIA DIVISION OF LABOR, CAPITOL COMPLEX, BUILING 3, ROOM 319, CHARLESTON, WV 25305. TELEPHONE: (304) 558-7890.

WEST VIRGINIA STATE CODE 21-11-11 REQUIRES ANY PROSPECTIVE BIDDER TO INCLUDE THE CONTRACTOR'S LICENSE NUMBER ON THEIR BID.

BIDDER TO COMPLETE AND SUBMIT WITH BID:

CONTRACTOR'S NAME: Alliance Construction Management, Inc.

CONTRACTOR'S LICENSE NO: WV 036056

THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FURNISH A COPY OF THEIR CONTRACTORS LICENSE PRIOR TO ISSUANCE OF A PURCHASE ORDER/CONTRACT.

Page PO#PTR09024
Spending Unit: West Virginia Dept. of Transportation
Division of Public Transit

#### BID FORM #7

#### **BUY AMERICA CERTIFICATION**

#### Bidder or offerer to complete correct certification.

#### Certificate of Compliance with Section 165(a)

The bidder or offerer hereby certifies that it will comply with the requirements of section 165(a) of the Surface Transportation Act of 1982, as amended, and the applicable regulations in 49 CFR part 661.

May 12, 2009	
Date / /	
Authorized Signature	
Alliance Construction Management,	Inc.
Company Name	
Pat Stinson	
Name	
Pres.	
Title	

#### Certificate for Non-Compliance with Section 165(a)

The bidder or offerer hereby certifies that it cannot comply with the requirements of section 165(a) of the Surface Transportation Assistance Act of 1982, as amended, but it may qualify for an exception to the requirement pursuant to section 165(b)(2) or (b)(4) of the Surface Transportation Act of 1982, as amended, and the regulations in 49 CFR 661.7.

<u>May 12 2009</u>			
Date			
Cat Stenson			
Authorized Signature	•		
Alliance Construction Management,	Inc.		
Company Name			
Pat Stinson			
Name			
Pres,.	There was appeared to the con-		
Title			

Page PO#PTR09024
Spending Unit: West Virginia Dept. of Transportation Division of Public Transit

#### BID FORM #8

#### VENDOR'S CERTIFICATION OF UNDERSTANDING AND ACCEPTANCE

The Contractor hereby certifies that all Technical Specifications and Contract Terms and Conditions have been carefully reviewed, are fully understood and shall be adhered to in performance and completion of any contract resulting from this bid.

May 12, 2009

lat Stinson	
Authorized Signature	
Pres.	, C
Title	
Alliance Construction Management Company Name	, Inc.
SPECIFICATION CO	MPLIANCE
NOTE: Please check if what is offered is in exact complia be listed as an attachment to the bid proposal. Exprovided as a part of the Contractor's bid proposal when	cact dimensions and/or descriptions must be
X Bid proposal submitted meets and/or exceeds	all specification requirements.
Bid proposal submitted contains deviations from of these deviations have been provided with this	n specification requirements. Detailed descriptions bid proposal.

Page 38 PO#PTR09024
Spending Unit: West Virginia Dept. of Transportation
Division of Public Transit

#### BID FORM #9

#### CERTIFICATION OF PRIMARY PARTICIPANT REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

The Primary Participant (applicant for an FTA grant or cooperative agreement, or potential contractor for a major third party contract),

Alliance Construction Management, Inc. (COMPANY NAME) certifies to the best of its knowledge and belief, that it and its principals:

- 1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- 2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
- 4. Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(If the primary participant (applicant for an FTA grant, or cooperative agreement, or potential third party contractor) is unable to certify to any of the statements in this certification, the participant shall attach an explanation to this certification.)

THE PRIMARY PARTICIPANT (APPLICANT FOR AN FTA GRANT OR COOPERATIVE AGREEMENT, OR POTENTIAL CONTRACTOR FOR A MAJOR THIRD PARTY CONTRACT).

Pat Stinson CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO.

Signature and Title of Authorized Official

Page \_\_\_\_\_\_ ? 9

PO#PTR09024

Virginia Dept. of Transportation

Spending Unit: West

Division of Public Transit

#### BID FORM #10

#### CERTIFICATION OF RESTRICTIONS ON LOBBYING

The undersigned (Vendor, Contractor) certifies, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. [as amended by "Government Wide Guidance for New Restrictions on Lobbying," 61 Fed. Reg. 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)]
- The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to flie the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. [Note: Pursuant to 31 U.S.C. § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.]

The Vendor, Alliance Construction certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Vendor understands and agrees that the provisions of 31 U.S.C. § 3801, et seq., apply to this certification and disclosure, if any.

May 12,	2009	Lat Stenan
Date		Authorized Signature
Pres.		•
Title		

Page	 4	0
_		

PO#PTR09024

Virginia Dept. of Transportation

Spending Unit: West

Division of Public Transit

#### BID FORM #11

#### ADDENDUM ACKNOWLEDGMENT

I hereby acknowledge receipt of the following checked addendum(s) and have made the necessary revisions to my proposal, plans and/or specifications, etc.

Addendum N	lo.'s:
No. 1	. <u>X</u>
No. 2	<u> </u>
No. 3	X
No. 4	
No. 5	

I understand that failure to confirm the receipt of the addendum(s) is cause for rejection of bids.

Signatur	at	Stin	20			
A11;	iance	e Const	truct	ion	Management,	Inc
Compan	ıy		1.			
May	12,	2009	· · · · · · · · · · · · · · · · · · ·			
Date						

Spending Unit: West Department of Transportation Page **41** PO#PTR09024 Division of Public Transit

BID FORM # 12

## UTILIZATION AFFIRMATIVE ACTION CERTIFICATE DISADVANTAGED BUSINESS ENTERPRISE® DIVISION OF PUBLIC TRANSIT WEST VIRGINIA

It is the intention of this organization to affirmatively seek out and consider disadvantaged business enterprises to participate in this contract as subcontractor and/or Suppliers of materials and services.

	- aug			CONTRACTOR'S PLAN FOR DBE PARTICIPATION	RTICIPATION			
	NAME OF DBE Sub-Contractor	LINE#	ITEM#	DESCRIPTION OF WORK TO BE PERFORMED OR MATERIAL OR SERVICE TO BE SUPPLIED	QUANTITY	UNIT	UNIT PRICE	TOTAL BOLLAR AMOUNT ©
						-		
	****	SEE ATTACHED	I	CODY OF ADVERTISEMENT***	*			
			1					
	YARIMAH IPAN AND AND AND AND AND AND AND AND AND A							
	The state of the s							
			Average and the second of the			-		
						-		
	The second secon							
And were desirable with the second se								
TOTAL								
CONTRA	CONTRACTOR'S DBE GOAL (3): PERCENT		% OF BID AMOUNT \$	MT\$				

1.① DBE for the purpose of the certificate means a disadvantage business as defined by Special Provisions in the Proposal.
2.② If material is to be supplied the figure in this column shall not exceed 60% of the actual cost unless the waterial is manufactured by a DBE in which case 100% of the cost can be recorded.

3. The total DBE participation as a percentage of the total contract bid amount.
4. If the material is not supplied by a regular dealer as defined in 49 CFR 26.55(e)(2)(ii), the figure in this column @ shall include only a regsonable and customary fee or commission for providing a

bounfide service.

5. The Contractor shall submit written and signed documentation of commitment to use a DBE subcontractor whose participation the contractor submits to meet a contract goal.

6. The Contractor shall submit written and signed sention from the DBE that is participating in the contract as provided in the prime contractor's commitment.

Authorized Signature

KESIDENI Title

Page PO#PTR09024

Spending Unit: West Virginia Dept. of Transportation
Division of Public Transit

RFQ No.	
RFQ No.	

#### STATE OF WEST VIRGINIA Purchasing Division

#### PURCHASING AFFIDAVIT

#### VENDOR OWING A DEBT TO THE STATE:

West Virginia Code §5A-3-10a provides that. No contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and the debt owed is an amount greater than one thousand dollars in the aggregate.

#### PUBLIC IMPROVEMENT CONTRACTS & DRUG-FREE WORKPLACE ACT:

If this is a solicitation for a public improvement construction contract, the vendor, by its signature below, affirms that it has a written plan for a drug-free workplace policy in compliance with Article 1D, Chapter 21 of the **West Virginia Code**. The vendor **must** make said affirmation with its bid submission. Further, public improvement construction contract may not be awarded to a vendor who does not have a written plan for a drug-free workplace policy in compliance with Article 1D, Chapter 21 of the **West Virginia Code** and who has not submitted that plan to the appropriate contracting authority in timely fashion. For a vendor who is a subcontractor, compliance with Section 5, Article 1D, Chapter 21 of the **West Virginia Code** may take place before their work on the public improvement is begun.

#### **ANTITRUST:**

In submitting a bid to any agency for the state of West Virginia, the bidder offers and agrees that if the bid is accepted the bidder will convey, sell, assign or transfer to the state of West Virginia all rights, title and interest in and to all causes of action it may now or hereafter acquire under the antitrust laws of the United States and the state of West Virginia for price fixing and/or unreasonable restraints of trade relating to the particular commodities or services purchased or acquired by the state of West Virginia. Such assignment shall be made and become effective at the time the purchasing agency tenders the initial payment to the bidder.

I certify that this bid is made without prior understanding, agreement, or connection with any corporation, firm, limited liability company, partnership or person or entity submitting a bid for the same materials, supplies, equipment or services and is in all respects fair and without collusion or fraud. I further certify that I am authorized to sign the certification on behalf of the bidder or this bid.

#### LICENSING:

Vendors must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including, but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, West Virginia Insurance Commission, or any other state agencies or political subdivision. Furthermore, the vendor must provide all necessary releases to obtain information to enable the Director or spending unit to verify that the vendor is licensed and in good standing with the above entities.

#### CONFIDENTIALITY:

The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures and rules. Vendor further agrees to comply with the Confidentiality Policies and Information Security Accountability Requirements, set forth in http://www.state.wv.us/admin/purchase/privacy/noticeConfidentiality.pdf.

Under penalty of law for false swearing (West Virginia Code §61-5-3), it is hereby certified that the vendor affirms and acknowledges the information in this affidavit and is in compliance with the requirements as stated

Vendor's Name: <u>Alliance, Construction</u>	Management, Inc.	•
Authorized Signature: at Stinsan		2009
Purchasing Affidavit (Revised 01/01/09)		

THE BID.

Page PO#PTR09024
Spending Unit: West Virginia Dept. of Transportation
Division of Public Transit



## State of West Virginia DRUG FREE WORKPLACE CONFORMANCE AFFIDAVIT West Virginia Code §21-1D-5

STATE OF WEST VIRGINIA,
COUNTY OF Mercer TO-WIT:
I, Pat Stinson, after being first duly sworn, depose and
state as follows:
1. I am an employee of <u>Alliance Construction Manageme</u> 神成, Inc. (Company Name)
2. I do hereby attest that <u>Alliance Construction Management</u> , Inc.c (Company Name)
maintains a valid written drug free workplace policy and that such policy is in compliance with <b>West Virginia Code</b> §21-1D-5.
The above statements are sworn to under the penalty of perjury.
Alliance Construction Management, Inc. (Company Name)
By: Pat Stinson
Title: Pres.
Date: May 12, 2009
Taken, subscribed and sworn to before me this 12 day of May, 2009.
By Commission expires May 20, 2018
OFFICIAL SEAL NOTARY PUBLIC STATE OF WEST VIRGINIA
CONNIE L. SAULS (Notary Public) P. O. BOX 214 LASHMEET, WV 24733
THIS AFFIDAVET MUSTS BE SUBMITTED WITH THE BID IN ORDER TO COMPLY WITH WV CODE PROVISIONS. FAILURE TO INCLUDE THE
AFFIDAVIT WITH THE BID SHALL RESULT IN DISQUALIFICATION OF

Page \_\_\_\_\_PO#PTR09024
Spending Unit: West Virginia Dept. of Transportation
Division of Public Transit

#### Bid Form #15

"Reference Specification Section 02466-Drilled Piers, Paragraph 1.3-Unit Prices: For each Caisson Type indicated, provide a single unit cost per lineal foot to be added to or deducted from the Base Bid. Caisson depth shall be verified and recorded as indicated in Paragraph 1.6.D-Survey Work. Contract amount will be adjusted by change order."

Caisson	Caisson	Cost per Vertical Lineal Foot-Add or Deduct from Base Bid
Mark/Type	Diameter 2'-0"	Numbers / Words dollars
C1		\$43.00 Fourty Three dollars
C2	2'-6'' 3'-0''	\$61.00 Sixty One dollars
C3 C4	3-6"	SIII - Ch dood Civteen dollars
C5	4'-0"	\$16.00 One hundred Sixteen dollars \$151.00 One hundred Fifty One dollars

#### Bid Form #16

Add option price for Color 9957 XL Silver Metallic 3 coat system, with aluminum flakes integral to the paint and topcoated with clear Kynar 500/Hylar 5000. The following price is not to be included in Base Bid.

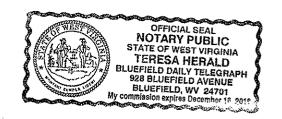
Price: \$_30,000	
Thirty Thousand dollars,	(Amount to be shown in both words and

NOTICE

Business Opportunities
Accepting subcontractor bids-Alliance Construction will be accepting subcontractor bics for the new Bluefield Area Transit facility which bids May 12. This project is prevailing wages and requires DBE participation. Quotes may be faxed to 304-487-6668.

#### CERTIFICATE OF PUBLICATION

State of West Virginia,	To-wit:-			
County of Mercer,				
Ka	ay Chinsolo	ofthe		
	, a daily newspaper published in th			
	West Virginia, do certify that the			
attached hereto under the		nonce		
	,,			
was published in the said _	Bluefield Daily Telegraph			
	Two (2)	Time(s)		
on the following day(s), na	amely; May 1 & 2			
in the year <u>2009</u> .				
Publication Fee \$12.79				
<u>\$</u>	2 Church			
Subscribed and sv	vorn to before me this 4 <sup>th</sup>	day of		
<u>April</u> 2009.		•		
My Commission expires	Dee162018.			
	Dung Herald			





PO Box 894, Princeton, WV 24740

Phone 304-487-5802 Fax 304-487-6668 Email psacm@frontiernet.net

#### 3.4 Contracts in progress

**Construction Management** 

First Baptist Church, Princeton

Cacapon State Park

24,500.

128,000.

Construction

None in progress at this time

3.5 Completed projects – see attached booklet

**Glade Springs Resort** 

3 Major projects

10,000,000.

Chuck Mathena Center

10,000,000.

Carter Machinery

2 projects

6,000,000.

3.5.1 Average Annual

5,500,000.

- 3.6 Experience see attached booklet
- 3.6 Present commitments.

Two small preconstruction management contracts.

Agency WV	Division	of	Public	Tran
REQ.P.O#	Division PIRO9024		<del>"-"</del>	

#### **BID BOND**

	KNOW ALL MEN BY THE	SE PRESENTS, That we, the ur	dersigned, Alliance Construction Management, Inc.
	of Princeton	West Virgin	ia, as Principal, and Ohio Farmers Insurance
ompany	Oi	. 20	Organization organized and existing under the lower of the Chain of
Ohio	with its principal of	office in the City of Westfie.	Id Center as Surely, are held and firmly bound unto the State
of West	Virginia, as Obligee, in the p	penal sum of 5% of Bid Ar	mount (\$5% of Bid Amount for the payment of which,
well and	truly to be made, we jointly	and severally bind ourselves, o	ur heirs, administrators, executors, successors and assigns.
		•	The state of the s
	The Condition of the above	obligation is such that whereas	the Principal has submitted to the Purchasing Section of the
Departm	nent of Administration a cert	ed hadvette lesonom to bid nis	and made a new hound in make her a series of the series of
	Pre-Engineered Me	tal and Brick Consti	cuction Adm. Office Project #PTR09024
	NOW THEREFORE,		. •
	(a) If said bid shall be reje	cted, or	
hamin a	(b) If said bid shall be acco	epted and the Principal shall ent	er into a contract in accordance with the bid or proposal attached
nereto al	FIG SHUR IGHNSH: GHY CHIEF DE	HIKIS MULI KWITIANA NAALIINAA YA	The bird are areamenad, and also it is all all all and all all all all all all all all all al
10100 0111	he penal amount of this obli	isuxu aixi anreen mar me ien	it stail be full and void, otherwise this obligation shall remain in full lity of the Surety for any and all claims hereunder shall, in no event,
	· · · · · · · · · · · · · · · · · · ·	gallori as herelli statett.	
	The Surety, for the value re	convert homely stimulates and a	
		ension of the time within which the	grees that the obligations of said Surety and its bond shall be in no ne Obligee may accept such bid, and said Surety does hereby
waive no	tice of any such extension.		to obligate may accept such bid, and said Surery does nereby
	IN WITNESS WHEREOF, F	Principal and Surety have hereur	nto set their hands and seals, and such of them as are corporations
nave cau	ised their corporate seals to	be affixed hereunto and these p	presents to be signed by their proper officers, this
12th	day of May	2009	w v v v v v v v v v v v v v v v v v v v
		-	
Principal	Corporate Saal		Alliance Construction Vancount T
	•		Alliance Construction Management, Inc
			The analysis
			By at Alenson
			(Must be President or
			Vice President)
			President
			(Title)
Surety Co	orporate Seal		Ohio Farmers Insurance Company
		1	(Name of Surety)
	0 0	1	
	I wanda in	Jaght-	
-	<u>Amadau</u> WV Resident Agent		- Cho
	WV Resident Agent	: <i>U</i>	Attorney-in-Fact
		•	rationley-metact

IMPORTANT - Surety executing bonds must be illcensed in West Virginia to transact surety insurance. Raised corporate seals must be affixed, a power of attorney must be attached.

General Power of Attorney

## Westfield Insurance Co. Westfield National Insurance Co. Ohio Farmers Insurance Co.

CERTIFIED COPY

Westfield Center, Ohio

Know All Men by These Presents, That WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, corporations, hereinafter referred to individually as a "Company" and collectively as "Companies," duly organized and existing under the laws of the State of Ohio, and having its principal office in Westfield Center, Medina County, Ohio, do by these presents make, constitute and appoint

THOMAS C. BOOTH

of OAK HILL and State of WV its true and lawful Attorney(s)-in-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings, or other instruments or contracts of surelyship.

LIMITATION: THIS POWER OF ATTORNEY CANNOT BE USED TO EXECUTE NOTE GUARANTEE, MORTGAGE DEFICIENCY, MORTGAGE GUARANTEE, OR BANK DEPOSITORY BONDS.

and to bind any of the Companies thereby as fully and to the same extent as if such bonds were signed by the President, sealed with the corporate seal of the applicable Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney(s)-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolution adopted by the Board of Directors of each of the WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY:

"Be It Resolved, that the President, any Senior Executive, any Secretary or any Fidelity & Surety Operations Executive or other Executive shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

The Attorney-in-Fact. may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements of indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be as binding upon the Company as if signed by the President and sealed and attested by the Corporate Secretary."

"Be it Further Resolved, that the signature of any such designated person and the seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signatures or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached." (Each adopted at a meeting held on February 8, 2000).

In Witness Whereof, WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY have caused these presents to be signed by their **Senior Executive** and their corporate seals to be hereto affixed this **25th** day of **AUGUST** A.D., **2006**.

Corporate Seals Affixed SEAL State of Ohio County of Medina ss.:



AZIONAL W



WESTFIELD INSURANCE COMPANY
WESTFIELD NATIONAL INSURANCE COMPANY
OHIO FARMERS INSURANCE COMPANY

Txchard T. Txuna

By: / Richard L. Kinnaird, Jr., Senior Executive

On this 25th day of AUGUST A.D., 2006, before me personally came Richard L. Kinnaird, Jr. to me known, who, being by me duly sworn, did depose and say, that he resides in Medina, Ohio; that he is Senior Executive of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, the companies described in and which executed the above instrument; that he knows the seals of said Companies; that the seals affixed to said instrument are such corporate seals; that they were so affixed by order of the Boards of Directors of said Companies; and that he signed his name thereto by like order.

Notarial Seal Affixed

State of Ohio County of Medina

ss.:



William J. Kahelin, Attorney at Law, Notary Public

My Commission Does Not Expire (Sec. 147.03 Ohio Revised Code)

I, Frank A. Carrino, Secretary of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Companies, which is still in full force and effect; and furthermore, the resolutions of the Boards of Directors, set out in the Power of Attorney are in full force and effect.

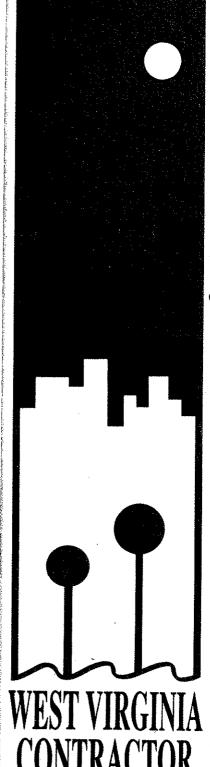
In Witness Whereof, I have hereunto set my hand and affixed the seals of said Companies at Westfield Center, Ohio, this 12th day of

May 2009 SEAL SEAL





Frank A Carring Secretary Secretary



## **CONTRACTOR LICENSE**

Authorized by the

West Virginia Contractor Licensing Board

Number:

WV036056

Classification:

GENERAL BUILDING

ALLIANCE CONSTRUCTION MGMT INC PO BOX 894 PRINCETON, WV 24740

**Date Issued** 

**Expiration Date** 

SEPTEMBER 08,

Authorized Company Signature

Chair, West Virginia Contractor Licensing Board

This license, or a copy thereof, must be posted in a conspicuous place at every construction site where work is being performed. This license number must appear in all advertisements, on all bid submissions and on all fully execute and binding contracts. This license cannot be assigned or transferred by licensee. Issued under provisions of We Virginia Code, Chapter 21, Article 11.



P.O. Box 894 Princeton, WV 24740

Phone 304-487-5802

Fax 304-487-6668

Email psacm@frontiernet.net

#### TABLE OF CONTENTS

- 1—Project History
- 2—References
- 3—Personal Resumes
- 4—Qualification Statement



## PROJECT

## HISTORY

#### THE RESORT AT GLADE SPRINGS

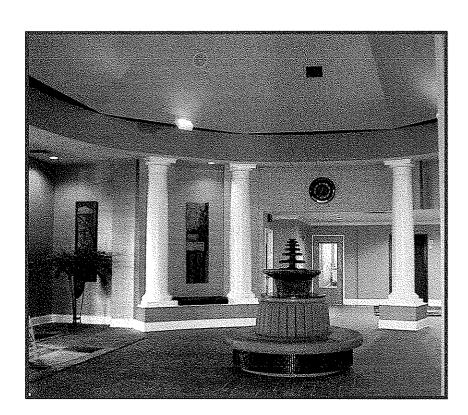


The Resort at Glade Springs Hotel and Conference Center consists of a new fifty two room, four story hotel, resort administrative offices, new conference center and ball room as well as a remodeled dining area.



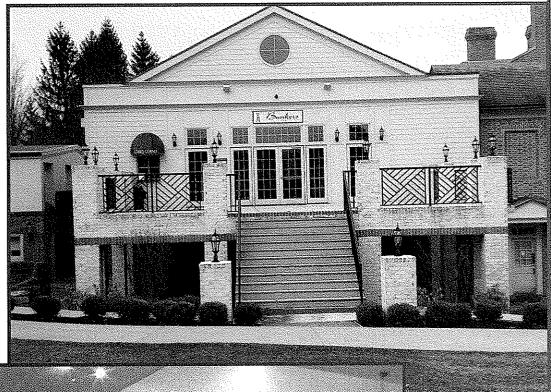


#### HOTEL & CONFERENCE CENTER LOBBIES





#### **BUNKERS SPORTS BAR**

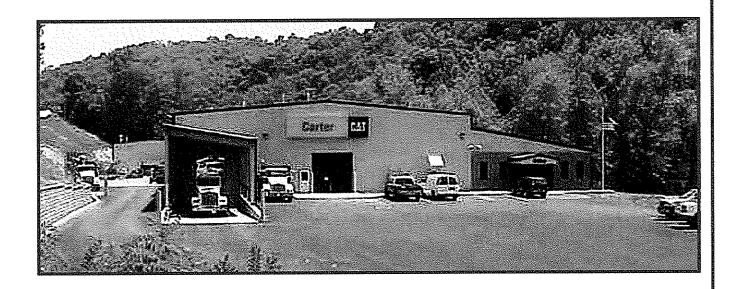




This two story addition to the clubhouse includes bar/restaurant facilities as well as new locker rooms on the lower level.



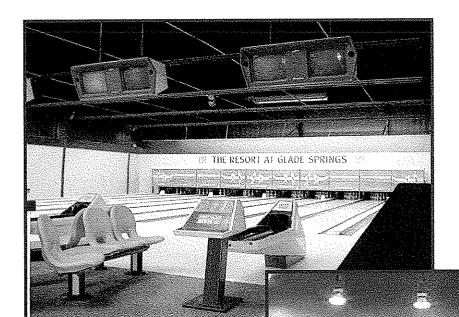
## CARTER MACHINERY PINEVILLE, WV



New 29000 SF office area and six bay caterpillar engine/truck garage facility with separate wash bay building. Work also included site development. 3800' sanitary sewer extension, 1000' water line extension and power line relocation.



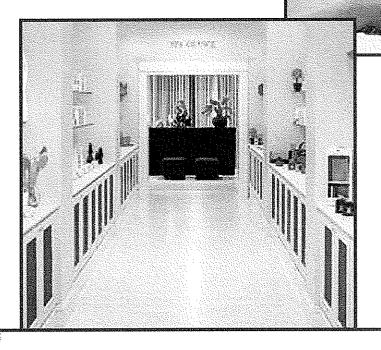
#### GLADE SPRINGS RECREATION CENTER & SPA



POOL & HOT TUB

**BOWLING ALLEY** 

SPA AREA GIFT SHOP



The renovation of Glade Springs recreation center transformed a tennis facility into a new zero entry pool w/hot tub, a ten lane bowling alley w/snack bar, renovated exercise/health club and a new spa and lounge area



## THE CHUCK MATHENA CENTER PRINCETON WV



The Chuck Mathena Center is a 41,000 SF performing arts theater which includes a 1,000 seat auditorium, a 4,000 SF stage house equipped to present Broadway productions and supporting areas including a two story dressing area. The facility has a grand lobby, rotunda wing and two smaller lobbies all equipped for meetings or special events. Administrative areas and catering kitchen provide support for the facility.

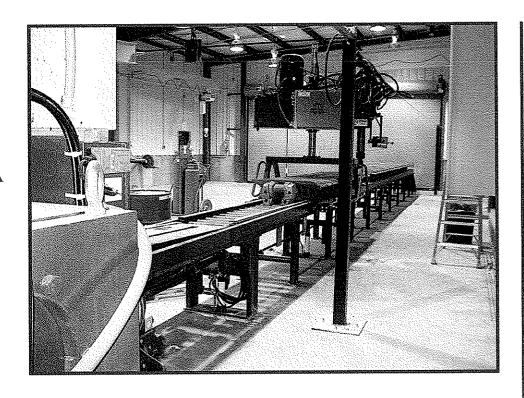








## CARTER MACHINERY OAKWOOD, VA



10,000 SF Track Rebuild Shop and office, remodel work on existing building and complete site renovations.





## REFERENCES

#### CLIENT REFERENCES

#### GLADE SPRINGS RESORT

Mr. Brian Johnson Bright Enterprises PO Box 460 Summersville, WV 26651 Phone: 304-872-3000

#### **CARTER MACHINERY (ALL LOCATIONS)**

Ms. Sherry Seib Carter Machinery Company, Inc. PO Box 3096 Salem VA 24153 Phone: 540-387-1111 Mr. Robert Britton Britton Engineering PO Box 127 Summersville, WV 26651 Phone: 304-872-6185

#### CHUCK MATHENA CENTER

Mr. Michael Neal TradeWinds Development Inc. 801 Mountain Lane Bluefield, VA 24605 Phone: 276-322-4933 Mr. Charles Mathena Memorial Funeral Home HC 71 Box 92 Princeton, WV 24740 Phone: 304-425-8131



#### C T M

## CHARLES T. "CHUCK" MATHENA II: FOUNDATION INC.

P.O. BOX 5664 PRINCETON, WEST VIRGINIA 24740

July 20, 2008

Alliance Construction Management, Inc. P.O. Box 894
Princeton, WV 24740

Dear Pat,

I would like to commend you on the excellent job of construction management and general construction on the Chuck Mathena Center project. You and your employees exhibited unrivaled professionalism and craftsmanship in all aspects of the project.

It is safe to say that without your expertise in construction management, estimating, scheduling, and value engineering; there would be no "Chuck Mathena Center". As you are keenly aware, this project started out nearly four million dollars over budget. You were instrumental in the value engineering and good management it took to make the project affordable by cutting the deficit in half. In addition, your "paperwork" and billings were always up to date and accurate.

Skilled craftsmen are rare these days, so I was most impressed when I observed that all ACM employees were so skilled in their trades. Some of the intricate detail work required to accommodate design criteria and the unique layout of our building appeared to create little or no problem for you or your employees.

In addition, I had several of the subcontractors, vendors, and the architects/engineers compliment your willingness to cooperate with the various trades and your expertise with scheduling and coordinating the work. Also, Alliance Construction Management, Inc. maintained the cleanest worksite throughout the entire project that I have witnessed in my twenty years in the construction business.

I would be proud to have ACM on future projects. Please feel free to use this letter as a "reference" or you may have any potential clients contact me directly.

Respectfully.

Michael E. Neal

Owner's Project Manager



December 1, 2008

Subject: Pat Stinson - Alliance Construction Management

To Whom It May Concern:

I have personally worked with Pat Stinson on numerous construction projects over the span of 14 years from 1994 through this date.

As a general rule, our companies do not compose "letters of recommendation". But in Pat's particular instance, the quality of his project management services are so distinctive and exceptional that his case merits an exception.

Pat has provided both General Contractor services and Construction Management services over the course of a variety of projects. I have personally worked with him dayin and day-out to build two ski resort lodge expansions, a resort hotel and conference center, a restaurant renovation, a sports bar and grill, a bowling center, an indoor pool, a spa and fitness center, grounds pathways, lighting and many more projects than I can realistically mention here. The purpose of the foregoing list is to illustrate the breadth and variety of construction projects that Pat has managed.

I could use any number of construction adjectives and project examples to describe what Pat brings to the table. They might in fact sound similar to descriptors of some limited number of top-shelf construction management firms. And they would all be appropriate to use. But that would only serve to include Pat as one of a "top few" in the construction business.

I used the word "distinctive" earlier. In my opinion, there is a distinction about Pat Stinson that separates him from being "among the best" and arguably puts him at the top of his professional peer group. Stated very simply, ---Pat Stinson spends the Owner's money like it his money.

Our companies have worked with a number of other architects, general contractors, and construction management and design firms. It would not be unfair to state that a typical construction manager or general contractor makes money by spending only the amount of time on the construction process that they budgeted in their proposal and is absolutely necessary. In most projects, the construction manager will have a tendency to "solve problems" by spending the owner's money as opposed to spending more of their own time.

Pat Stinson is the very rare exception to that foregoing norm. Pat thinks like an Owner. His services "fund themselves" through the added value he brings to the table. Pat has demonstrated that he will only take the easy and quick answer when it is the right answer. He has the resources and tenacity to find solutions that are in the best interests of the owner day in and day out.

When our companies undertake a construction project, we have an expectation that the project is worth significantly more on the day it is completed than it cost to build it. In this business climate and state of the construction industry, achieving that objective is far from a certainty. Our management team and partners view Pat Stinson's construction management services as a competitive advantage that we can bring to projects we undertake.

Please do not hesitate to contact me with any questions you might have. I can't anticipate what type of project or circumstances you might be considering Pat Stinson for, but I can certainly respond to questions you might have. The direct line to my office is (304) 872-3000 ext. 219.

Sincerely Yours,

Brian L. Johnson

President - Bright Development

### **TradeWinds**

Development Inc.

January 7, 2009

#### Reference:

Mr. Pat Stinson
Alliance Construction Management, Inc.

To Whom It May Concern:

I have enjoyed a professional working relationship in the construction industry with Pat Stinson for over twenty years. Projects have ranged from healthcare to commercial. Much of the healthcare work included complex renovations and additions to existing structures.

Virtually all of the projects began with budget restrictions and required an enormous amount of *Value Engineering* and *Cost Analysis*. Pat was instrumental in bringing the projects into an acceptable budget through his vast knowledge and experience. He has a proven track record on "fast track" and "design/build" projects as well.

I also credit Mr. Stinson with managing the cleanest and most organized construction sites of any contractor I have ever worked with. His attention to detail is second to none. In addition, he is one of the most safety conscious individuals I've encountered in the construction industry.

Pat's expertise in construction management is second to none. His unrivaled knowledge of estimating, value engineering, document review and coordination, scheduling, constructability evaluation, and inclusion of owner furnished equipment enhances his ability to work well with the architects and owners. In addition, he has the reputation among subcontractors as being cooperative and willing to involve them in the scheduling and planning process.

I readily and enthusiastically recommend Pat Stinson and Alliance Construction Management, Inc. for your project. Please feel free to contact me for further information.

Respectfully,

Michael E. Neal

President

801 Mountain Lane Bluefield, VA 24605

## PERSONAL

## RESUMES

#### Pat Stinson

160 Quailview Drive Princeton WV 24740

Phone: 304-487-5802 Fax: 304-487-6668 E-mail: psacm@aol.com

#### **Education**

West Virginia University BS Wood Science & Technology, 1970 Various work-related seminars in project scheduling 10 hour training course OSHA

#### **Work Experience**

#### 2003-Present

Founder of Alliance Construction Management, Inc. General contracting firm and management services

#### 1984-2003

Swope Construction Bluefield, WV

Founding partner, served as VP Coordination of estimating, scheduling and administrative systems Management and oversight of project managers Project Manager on several major restoration projects

#### 1976-1983

Self employed as a residential and small commercial contractor specializing in custom period style homes, doctor/dental clinics

#### 1974-1975

Forestry Sciences Laboratory Princeton, WV

Researcher in developing markets for timber products.

#### 1970-1973

Better Management Services Staten Island NY

Field engineer providing management consulting services for various companies throughout the United States.



#### Pat Stinson

#### **Health Care Facility Experience**

Health care projects which I have personally estimated, bid, scheduled and managed have consisted of approximately fifteen jobs ranging in price from five hundred thousand to nine million. One of the most notable projects was Phase IV for Princeton Community Hospital which involved new construction over the existing operating room and lab areas as well as extensive renovations. This was a five million dollar project which was completed in approximately twelve months (four months ahead of schedule) and within budget.

Also, I am the only Certified Health Care Contractor in this area.



#### Connie L. Sauls

PO Box 214

Lashmeet, WV 24733

Phone: 304-487-5802 Fax: 304-487-6668

E-mail: psacm@frontiernet.net

#### **Education**

Bluefield State College Business Communications

Various work-related seminars in business practices OSHA 500 certification

#### **Work Experience**

#### 2004-Present

Alliance Construction Management, Inc.

#### Project Manager

On site project manager, coordinating work and costs with subcontractors and owner.

Glade Springs Hotel & Resort

Glade Springs Spa

Carter Machinery, Pineville, WV

Carter Machinery, Oakwood, VA

#### Safety Director/Office Manager

Safety Director: OSHA 500 certification, annually schedule all employees for Red Cross first aid and CPR certification, and conducting job site safety inspections.

Office manager: AP, AR, payroll, taxes, and work with accounting firm at year end.

#### April—December 2001

**Swope Construction** 

Bluefield, WV

#### Estimator

#### 1995-2001

Pennington Equipment

Princeton WV

Office Manager, Inventory Control, Service dispatch, AP, AR. Payroll, Taxes

#### 1981-1993

Parson & Lusk, Inc. (now Parson & Associates, Inc.)

Bluefield, WV

Corporate Secretary, Office Manager, Inventory Control, AP, AR, Payroll, Taxes TN Business & Law certification

1977-1981

WVVA TV

Billings supervisor

**Outside Salesperson** 



# QUALIFICATION STATEMENT

#### Alliance Construction Management, Inc. **Qualification Statement** February 2009

Alliance Construction Management, Inc.

PO Box 894

420 Hill Top Drive

Princeton, WV 24740

Phone 304-487-5802

Fax

304-487-6668

Email psacm@frontiernet.net

Principal Office: Same

#### Services Provided:

Preconstruction Services **Construction Management** General Construction

#### Organization:

Incorporated in WV in August 2003

Alliance Construction Management, Inc. has never operated under any other names nor are we affiliated with any other companies.

Officers: President, Pat Stinson Sec/Tres., Debra Kilgore

#### Licensing:

Alliance Construction Management, Inc. is registered in both West Virginia and Virginia

Class A Contractor West Virginia #036056

Class A Contractor Virginia #2705-112556A



#### **Experience:**

Work normally performed by our own forces:

**Foundations** 

Concrete

Carpentry

Metal Studs

Drywall

Doors & Hardware

Accessories

#### Claims and Suits:

Alliance Construction Management, Inc. has never been involved in any law suits or arbitrations.

Alliance Construction Management, Inc. has never failed to complete a contract.

#### Major Projects:

Please refer to Section 2

#### Personal Resumes:

Please refer to Section 4

#### References:

Trade References

May be furnished upon request



#### Bank References

First Community Bank Dewey Russell One Stafford Commons Princeton, WV 24740 304-487-9000

New Peoples Bank Glen Hill 1221 Stafford Drive Princeton, WV 24740 304-487-9800

#### **Customer References:**

Please refer to Section 3

#### Surety:

Westfield Insurance Group

Mr. Tom Booth Jim Lively Insurance PO Box 1633 Oak Hill WV 25901 304-465-5685

#### Insurance:

Westfield Insurance Group

Mr. Tom Booth Jim Lively Insurance PO Box 1633



#### Financing:

Financial Statement - Not available for viewing

Reviewed Statement by: Lively & Associates, PLLC 1460 Main Street

Princeton WV 24740

#### Credit Letter

Letter of credit issued by:

First Community Bank Dewey Russell One Stafford Commons Princeton, WV 24740 304-487-9000

