

Carrier Corporation Contract Administration Carrier Parkway Building TR 4 Syracuse, New York 13221

March 14,2008

State of West Virginia
Department of Administration
Purchasing Divisions
2019 Washington Street, East
Charleston, WV 25311

ATTN: Shelly Murray

RE: Request For Quotation ABC 81

Dear Ms. Murray:

We are pleased to enclose one (1) original of the subject RFQ duly executed on behalf of Carrier Corporation.

Carrier's bid is based upon the terms and conditions contained in the RFQ and the following modifications to those terms and conditions.

General Terms and Conditions:

Add Paragraph 15 Mutual Limitation of Liability—"Under no circumstances shall the State of West Virginia and/or Contractor be held liable for any incidental, special or consequential damages, including loss of revenue, loss of use of equipment or facilities, or economic damages based on strict liability or negligence. Contractor shall be liable for damage to property, other than the equipment provided under this bid, and to persons, to the extent that Contractor's negligent acts or omissions directly contributed to such injury or property damage."

We look forward to satisfying your HVAC requirements as Carrier truly holds the State of West Virginia as a valued customer.

If you have any questions please contact me at (315) 433-4050, fax (860) 998-0339 or e-mail thomas.van.arnam@carrier.utc.com.

Very truty yours,

Thomas W. Van Arnam Manager of Contracts



State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

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ADDRESS:CORRESPONDENCE:TO:ATTENTION:OF

SHELLY MURRAY 304-558-8801

RFQ COPY TYPE NAME/ADDRESS HERE Carrier Corporation 2 Mission Way Scott Depot, WV 25660

NITRO, WV 25143

ALCOHOL BEVERAGE CONTROL COMMISSION HUB INDUSTRIAL PARK RIVER ROAD 558-2487

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SHELLY MURRAY 304-558-8801

ALCOHOL BEVERAGE CONTROL COMMISSION HUB INDUSTRIAL PARK RIVER ROAD NITRO, WV

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558-2487

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State of West Virginia Department of Administration **Purchasing Division** 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

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....ADDRESS:CORRESPONDENCE:TO:ATTENTION:OF:.....

ADDRESS CHANGES TO BE NOTED ABOVE

SHELLY MURRAY

304-558-8801

RFG:NUMBER

RFQ COPY TYPE NAME/ADDRESS HERE

ALCOHOL BEVERAGE CONTROL COMMISSION HUB INDUSTRIAL PARK RIVER ROAD NITRO, WV 25143 558-2487

DATE PRINTED TERMS OF SALE SHIP VIA FO:8. FREIGHT TERMS 01/31/2008 **BID OPENING DATE:** 03/18/2008 BID OPENING TIME 01:30PM CAT NO QUANTITY UOP ITEM NUMBER AMOUNT LINE UNIT PRICE ALL OF THE ITEMS CHECKED BELOW WILL BE A REQUIREMENT OF THIS CONTRACT: (XX) INSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF COMMERCIAL GENERAL LIABILITY INSURANCE PRIOR TO ISSUANCE OF CONTRACT. | UNLESS OTHERWISE SPECIFIED IN THE BID DOCUMENTS, THE MINIMUM AMOUNT OF INSURANCE COVERAGE REQUIRED IS \$250,000.) BUILDER'S RISK INSURANCE: SUCCESSFUL VENDOR SHALL FURNISH PROOF OF BUILDERS RISK - ALL RISK INSURANCE IN AN AMOUNT EQUAL TO 100% OF THE AMOUNT OF THE CONTRACT. FIVE PERCENT (5%) OF THE TOTAL AMOUNT OF (XX) BONDS: THE BID PAYABLE TO THE STATE OF WEST VIRGINIA, SHALL BE SUBMITTED WITH EACH BID AS A BID BOND. THE SUCCESSFUL |BIDDER SHALL| ALSO| FURN|ISH A PERFORMANC|E BOND AND LABOR/ MATERIAL BOND FOR 100% OF THE AMOUNT OF THE CONTRACT. BONDS MAY BE PROVIDED IN THE FORM OF A CERTIFIED CHECK, IRREVOCABLE LETTER OF CREDIT, OR BOND FURNISHED BY A SOLVENT SURETY COMPANY AUTHORIZED TO DO BUSINESS IN THE STATE OF WEST VIRGINIA. A LETTER OF CREDIT SUBMITTED IN LIEU OF A PERFORMANCE AND LABOR & MATERIAL BOND WILL ONLY BE ALLOWED FOR PROJECTS UNDER \$100,000. PERSONAL OR BUSINESS CHECKS ARE NOT ACCECPTABLE IN LIEU OF THE 5% BID BOND, PERFORMANCE BOND, OR LABOR AND MATERIAL BOND.) MAINTENANCE BOND: A TWO (2) YEAR MAINTENANCE BOND COVERING THE ROOFING SYSTEM WILL BE A REQUIREMENT OF THE SUCCESSFUL VENDOR. REV. 11/00 EXHIBIT 7 SEE REVERSE SIDE FOR TERMS AND CONDITIONS: SIGNATURE TELEPHONE DATE TITLE



TYPE NAME/ADDRESS HERE

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation ABC81

******ADDRESS:CORRESPONDENCE:(G:AT(ENTION:OF)

SHELLY MURRAY 304-558-8801

ALCOHOL BEVERAGE CONTROL COMMISSION HUB INDUSTRIAL PARK RIVER ROAD NITRO, WV 25143

558-2487

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State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

TERMS OF SALE

Request for Quotation ABC8

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ADDRESS:CORRESPONDENCE TO:ATTENTION:OF:

SHELLY MURRAY 304-558-8801

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State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

Request for Quotation

ABC81

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ADDRESS CHANGES TO BE NOTED ABOVE

SHELLY MURRAY 304-558-8801

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ALCOHOL BEVERAGE CONTROL
COMMISSION
HUB INDUSTRIAL PARK
RIVER ROAD
NITRO, WV
25143 558-2487

TERMS OF SALE DATE PRINTED SHIP VIA FOB. FREIGHT TERMS 01/31/2008 BID OPENING DATE: 03/18/2008 BID OPENING TIME 01:30PM UNIT PRICE QUANTITY. ITEM NUMBER LINE UOP AMOUNT 0 DATE. REV. 11/96 EXHIBIT 10 ADDENDUM ACKNOWLEDGEMENT I HEREBY ACKNOWLEDGE RECEIPT OF THE FOLLOWING CHECKED ADDENDUM(S) AND HAVE MADE THE NECESSARY REVISIONS TO MY PROPOSAL, PLANS AND/OR SPECIFICATION, ETC. ADDENDUM NOS .: 3/06/08 NO. 1 NO. 2 NO. 3 NO. 4 NO. 5 I UNDERSTAND THAT FAILURE TO CONFIRM THE RECEIPT OF THE ADDENDUM(S) MAY BE CAUSE FOR REJECTION OF THE BIDS. VENDOR MUST CLEARLY UNDERSTAND THAT ANY VERBAL REPRESENTATION MADE OR ASSUMED TO BE MADE DURING ANY ORAL DISCUSSION HELD BETWEEN VENDOR'S REPRESENTATIVES AND ANY STATE PERSONNEL IS NOT BINDING. ONLY THE INFORMATION ISSUED IN WRITING AND ADDED TO THE SPECIFICATIONS BY AN OFFICIAL ADDENDUM IS BINDING. SEE REVERSE SIDE FOR TERMS AND CONDITIONS SIGNATUR



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State of West Virginia Department of Administration **Purchasing Division** 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

ABC81

ADDRESS:CORRESPONDENCE TO ATTENTION

SHELLY MURRAY 304-558-8801

RFQ NUMBER

ALCOHOL BEVERAGE CONTROL COMMISSION HUB INDUSTRIAL PARK RIVER ROAD NITRO, WV 25143

558-2487

DATE PRINTED TERMS OF SALE SHIP VIA F.O.B. FREIGHTTERMS 01/31/2008 BID OPENING DATE: 03/18/2008 **BID OPENING TIME** 01:30PM CAT NO LINE QUANTITY UOP ITEM:NUMBER UNIT PRICE AMOUNT ВҮ* Thomas W. VAn Arnam, of Contracts Manager Carrier Corporation .COMPANY March 14,200 REV. 11/96 CONTRACTORS LICENSE WEST VIRGINIA STATE CODE 21-11-2 REQUIRES THAT ALL PERSONS DESIRING TO PERFORM CONTRACTING WORK IN THIS STATE MUST BE LICENSED. THE WEST VIRGINIA CONTRACTORS LICENSING BOARD IS EMPOWERED TO ISSUE THE CONTRACTORS APPLICATIONS FOR A CONTRACTORS LICENSE MAY BE MADE BY CONTACTING THE WEST VIRGINIA DIVISION OF LABOR CAPITOL COMPLEX, BUILDING 3, ROOM 319, CHARLESTON, WV TELEPHONE: (304) 558-7890. WEST VIRGINIA STATE CODE 21-11-11 REQUIRES ANY PROSPECTIVE BIDDER TO INCLUDE THE CONTRACTORS LICENSE NUMBER ON THEIR BID. BIDDER TO COMPLETE: Carrier Corporation CONTRACTORS NAME: CONTRACTORS LICENSE NOL: THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FURNISH A COPY OF THEIR CONTRACTORS LICENSE PRIOR TO ISSUANCE OF A PURCHASE ORDER/CONTRACT APPLICABLE LAW SEE REVERSE SIDE FOR TERMS AND CONDITIONS SIGNATURI TITLE ADDRESS CHANGES TO BE NOTED ABOVE



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State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

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ALCOHOL BEVERAGE CONTROL COMMISSION HUB INDUSTRIAL PARK RIVER ROAD NITRO, WV 25143

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State of West Virginia
Department of Administration
Purchasing Division
2019 Washington Street East

Request for Quotation

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Post Office Box 50130 Charleston, WV 25305-0130	SHELLY MURRAY 304-558-8801
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Carrier Corporation 2 Mission Way Scott Depot, WV 25660

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

. ABC81

*Address:Correspondence:16:att/ention: SHELLY MURRAY 304-558-8801

ALCOHOL BEVERAGE CONTROL COMMISSION

HUB INDUSTRIAL PARK RIVER ROAD NITRO, WV 25143 558-2487

DATE PRINTED TERMS OF SALE SHIP VIA FOB FREIGHTTERMS 03/06/2008 **BID OPENING DATE:** 03/18/2008 BID OPENING TIME 01:30PM CAT NO QUANTITY UOP ITEM NUMBER UNIT PRICE AMOUNT ADDENDUM NO. 1 ADDENDUM IS ISSUED TO ADDRESS QUESTIONS/CONCERNS RAISED DURING THE PRE-BID CONFERENCE OF 02/27/2008 AND THE QUESTION SUBMISSION DEADLINE OF 02/29/2008. ATTACHMENTS: QUESTIONS AND RESPONSES PRE-BID SIGN IN SHEET END OF ADDENDUM NO. 0001 LS 981-47 HEATING UNIT SEE REVERSE SIDE FOR TERMS AND CONDITIONS: (304) 757-0395 March 14,2008 Manager of Contracts 06-0991716 ADDRESS CHANGES TO BE NOTED ABOVE WHEN RESPONDING TO RFQ, INSERT NAME AND ADDRESS IN SPACE ABOVE LABELED 'VENDOR'

RFO No.	ABC81
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STATE OF WEST VIRGINIA Purchasing Division

PURCHASING AFFIDAVIT

West Virginia Code §5A-3-10a states: No contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and the debt owed is an amount greater than one thousand dollars in the aggregate

DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Debtor" means any individual, corporation, partnership, association, limited liability company or any other form or business association owing a debt to the state or any of its political subdivisions. "Political subdivision" means any county commission; municipality; county board of education; any instrumentality established by a county or municipality; any separate corporation or instrumentality established by one or more counties or municipalities, as permitted by law; or any public body charged by law with the performance of a government function or whose jurisdiction is coextensive with one or more counties or municipalities. "Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceed five percent of the total contract amount.

EXCEPTION: The prohibition of this section does not apply where a vendor has contested any tax administered pursuant to chapter eleven of this code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

LICENSING: Vendors must be licensed and in good standing in accordance with any and all state and local laws and requirements by any state or local agency of West Virginia, including, but not limited to, the West Virginia Secretary of State's Office, the West Virginia Tax Department, West Virginia Insurance Commission, or any other state agencies or political subdivision. Furthermore, the vendor must provide all necessary releases to obtain information to enable the Director or spending unit to verify that the vendor is licensed and in good standing with the above entities.

CONFIDENTIALITY: The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures and rules. Vendors should visit www.state.wv.us/admin/purchase/privacy for the Notice of Agency Confidentiality Policies.

Under penalty of law for false swearing (West Virginia Code, §61-5-3), it is hereby certified that the vendor acknowledges the information in this said affidavit and are in compliance with the requirements as stated.

Vendor's Name:	Carrier Corporation		
Authorized Signature:	BY And W. Van Arnam, Manager of Contracts	Date:	March 14,2008
Authorized Oighatare.	Thomas W. Van Arnam, Manager of Contracts	•	
Purchasing Affidavit (Revised	d 06/15/07)		

AgencyDept.	of	Administration
REQ.P.O#ABO		

BID BOND

KNOW ALL MEN BY THESE PRESENTS, That we, the undersigned, 9	CARRIER CORPORATION
of Carrier Parkway, Bldg. TR-4, Syracuse, NY 13221	_, as Principal, and <u>FEDERAL_INSURANCE_COMPANY</u>
of <u>15 Mountain View Road, Warren, NJ 07061</u> , a corporation o	rganized and existing under the laws of the State of
Indiana with its principal office in the City of Warren	_, as Surety, are held and firmly bound unto the State
of West Virginia, as Obligee, in the penal sum of 5% of attached bid	(\$_5%) for the payment of which,
well and truly to be made, we jointly and severally bind ourselves, our heirs, adr	ninistrators, executors, successors and assigns.
The Condition of the above obligation is such that whereas the Principa	al has submitted to the Purchasing Section of the
Department of Administration a certain bid or proposal, attached hereto and made	de a part hereof, to enter into a contract in writing for
ABC81 - Removal and replacement of one (1) rooftop mounted heat	ing unit located in the ABCA warehouse
in Nitro, WV	
NOW THEREFORE,	
(a) If said bid shall be rejected, or(b) If said bid shall be accepted and the Principal shall enter into a cor	ntract in accordance with the hid or proposal attached
hereto and shall furnish any other bonds and insurance required by the bid or pr	oposal, and shall in all other respects perform the
agreement created by the acceptance of said bid, then this obligation shall be n	
force and effect. It is expressly understood and agreed that the liability of the Stexceed the penal amount of this obligation as herein stated.	drety for any and all daims hereunder shall, in no event,
·	
The Surety, for the value received, hereby stipulates and agrees that the	ne obligations of said Surety and its bond shall be in no
way impaired or affected by any extension of the time within which the Obligee r waive notice of any such extension.	may accept such bid, and said Surety does nereby
· · · · · · · · · · · · · · · · · · ·	
IN WITNESS WHEREOF, Principal and Surety have hereunto set their	hands and seals, and such of them as are corporations
have caused their corporate seals to be affixed hereunto and these presents to	be signed by their proper officers, this
12th_day ofMarch, 20_08	
Principal Corporate Seal	CARRIER CORPORATION
	(Name of Principal)
	By Ch Va
	(Must be President or − ∀ice President)
	•
	Thomas Van Arnam, Assistant Secretary (Title)
Surety Corporate Seal	FEDERAL INSURANCE COMPANY (Name of Surety)
	(Name of Surety)
	0, 10 / 10
	Neberah Atall
	Attorney-in-Fact

IMPORTANT – Surety executing bonds must be licensed in West Virginia to transact surety insurance. Raised corporate seals must be affixed, a power of attorney must be attached.



Chubb Surety

POWER OF **ATTORNEY**

Federal Insurance Company Vigilant Insurance Company Pacific Indemnity Company

Attn: Surety Department 15 Mountain View Warren, NJ 07059

Road

each as their true and lawful Attorney- in- Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety thereon or otherwise, bonds (other than ball bonds) and undertakings not to exceed \$3,000,000.00 (Three million United States Dollars) given or executed in the course of business (but not to include any instruments amending or altering the same, nor consents to the modification or alteration of any instrument referred to in said bonds or obligations) on behalf of Carrier Corporation and its subsidiaries as principal in connection with bids, proposals or contracts to or with the United States of America, any State or political subdivision thereof or any person, firm or corporation. And the execution of such bond or obligation by such Attorney- in- Fact in the Company's name and on its behalf as surety thereon or otherwise, under its corporate seal, in pursuance of the authority hereby conferred shall, upon delivery thereof, be valid and binding upon the Company.

In Witness Whereof, said FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY have each executed and attested these presents and affixed their corporate seals on this 25th day of July, 2007.

Assistant Secretary

STATE OF NEW JERSEY

SS

David B. Norris, Jr.,

Nather Willrack

County of Somerset

On this 25th day of July, 2007 before me, a Notary Public of New Jersey, personally came Kenneth C. Wendel, to me known to be Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY, the companies which executed the foregoing Power of Attorney, and the said Kenneth C. Wendel, being by me duly sworn, did depose and say that he is Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY and knows the corporate seals thereof, that the seals affixed to the foregoing Power of Attorney are such corporate seals and were thereto affixed by authority of the By- Laws of said Companies; and that he signed said Power of Attorney as Assistant Secretary of said Companies by like authority; and that he is acquainted with David B. Norris, Jr., and knows him to be Vice President of said Companies; and that the signature of David B. Norris, Jr., subscribed to said Power of Attorney is in the genuine handwriting of David B. Norris, Jr., and was thereto subscribed by authority of said By- Laws and in deponent's presence.

Notarial Seal



KATHERINE KALBACHER NOTARY PUBLIC OF NEW JERSEY No. 2316685 Commission Expires July 8, 2009

CERTIFICATION

Notary Public

Extract from the By- Laws of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY:

"All powers of attorney for and on behalf of the Company may and shall be executed in the name and on behalf of the Company, either by the Chairman or the President or a Vice President or an Assistant Vice President, jointly with the Secretary or an Assistant Secretary, under their respective designations. The signature of such officers may be engraved, printed or lithographed. The signature of each of the following officers: Chairman, President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary and the seal of the Company may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing Assistant Secretaries or Attorneys- in- Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached.

I, Kenneth C. Wendel, Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY (the "Companies") do hereby certify that

the foregoing extract of the By- Laws of the Companies is true and correct,

the Companies are duly licensed and authorized to transact surety business in all 50 of the United States of America and the District of Columbia and are authorized by the U.S. Treasury Department; further, Federal and Vigilant are licensed in Puerto Rico and the U.S. Virgin Islands, and Federal is licensed in American Samoa, Guam, and each of the Provinces of Canada except Prince Edward Island; and

the foregoing Power of Attorney is true, correct and in full force and effect.







Given under my hand and seals of said Companies at Warren, NJ this /2th Lay of Much, Job 8

Wendel, Assistant Secretary

IN THE EVENT YOU WISH TO NOTIFY US OF A CLAIM, VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT ADDRESS LISTED ABOVE, OR BY

Telephone (908) 903-3493

Fax (908) 903-3656

e-mail: surety@chubb.com

FEDERAL INSURANCE COMPANY

STATEMENT OF ASSETS, LIABILITIES AND SURPLUS TO POLICYHOLDERS

Statutory Basis

DECEMBER 31, 2005

(in thousands of dollars)

ASSETS

LIABILITIES AND SURPLUS TO POLICYHOLDERS

ASSETS	,	SURPLUS TO POLICYHOLDERS
Cash and Short Term Investments United States Government, State and Municipal Bonds Other Bonds Stocks Other Invested Assets	\$ 233,177 12,026,711 2,877,937 836,039 1,019,957	Outstanding Losses and Loss Expenses \$ 11,102,48 Unearned Premiums
TOTAL INVESTMENTS	16,993,821	TOTAL LIABILITIES 16,544,44
Investments in Affiliates: Chubb Investment Holdings, Inc	1,864,311 1,279,232 677,231 656,360 347,840 283,968 130,693 121,092 188,061 1,590,888 1,244,020	Capital Stock
TOTAL ADMITTED ASSETS	25,377,517	TOTAL LIABILITIES AND SURPLUS TO POLICYHOLDERS\$ 25,377,517
Investments are valued in accordance investments valued at \$341;2	e with requirement 175,964 are deposi	ts of the National Association of Insurance Commissioners. ited with government authorities as required by law.
State, County & City of New York, - ss:		
Anna Maria Lovecchio.	Assistant Secretar	Y of the Federal Insurance Common balance

Anna Maria Lovecchio, Assistant Secretary

duly sworn, deposes and says that the foregoing Statement of Assets, Liabilities and Surplus to Policyholders of said Federal Insurance Company on December 31, 2005 is true and correct and is a true abstract of the Annual Statement of said Company as filed with the Secretary of the Treasury of the United States for the 12 months ending December 31, 2005.

Subscribed and sworn to before me this

CARL SICILIANO NOTARY PUBLIC, State of New York No. 01915076300

Notary Public

Qualified in Suffolk County Commission Expires April 21, 2007