

RFQ COPY

A.J. SMITH INC

dba CAPITAL BUILDERS

4008 5TH STREET ROAD

HUNTINGTON WV 25701

TYPE NAME/ADDRESS HERE

State of West Virginia Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

RFQ NUMBER DNR70195

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DIVISION OF NATURAL RESOURCES PARKS & RECREATION SECTION BUILDING 3, ROOM 719 1900 KANAWHA BOULEVARD, EAST

CHARLESTON, WV 25305-0662 304-558-2775

DATE PRINTED TERMS OF SALE SHIP VIA FOB. FREIGHT TERMS 05/17/2006 BID OPENING DATE: 06/28/2006 BID OPENING TIME 01:30PM CAT LINE QUANTITY UOP ITEM NUMBER UNIT PRICE AMOUNT: 0001 LS 968-20 \$27,100.00 \$27,100.00 1 REMODELING OF ROOMS ON 6TH AND 7TH FLOOR CAPITOL COMPLEX, BUILDING 3 LOCATION: PROJECT: CAPITOL COMPLEX, BLDG. 3 REMODELING REMODELING OF CERTAIN ROOMS ON THE SIXTH AND SEVENTH FLOOR OF BUILDING 3 AND OTHER WORK AT THE STATE THE PROJECT INCLUDES FURNISHING CAPITOL COMPLEX. ALL NECESSARY LABOR, MATERIALS, AND EQUIPMENT TO PERFORM THIS WORK AS DESCRIBED IN PLANS AND SPECIFICATIONS AS PREPARED BY THE DIVISION OF NATURAL RESOURCES. A MANDATORY ON-SITE PRE-BID WILL BE HELD ON 6/6/06 AT 1:00 PM IN BUILDING 3, ROOM 735. FAILURE TO ATTEND THE PRE-BID WILL RESULT IN DISQUALIFICATION OF THE BID. THE PROJECT MANUAL MAY BE OBTAINED BY CONTACTING: BRADLEY S. LESLIE, P.E. WEST VIRGINIA DIVISION OF NATURAL RESOURCES PARKS AND RECREATION STATE CAPITOL COMPLEX, BLDG. 3, ROOM 722 1900 KANAWHA BOULEVARD, EAST 25305-0662 CHARLESTON, WV TELEPHONE: 304-558-2775 FAX: 304-558-0077 THERE IS NO CHARGE FOR THE MANUALS PREFERENCE FOR USE OF DOMESTIC STEEL PRODUCTS SEE REVERSE SIDE FOR TERMS AND CONDITIONS SIGNATURE (304) 697-5002 JUNE 28, 2006 ADDRESS CHANGES TO BE NOTED ABOVE PRESIDENT 55-0735961

GENERAL TERMS & CONDITIONS REQUEST FOR QUOTATION (RFQ) AND REQUEST FOR PROPOSAL (RFP)

- 1. Awards will be made in the best interest of the State of West Virginia.
- 2. The State may accept or reject in part, or in whole, any bid.
- 3. All quotations are governed by the West Virginia Code and the Legislative Rules of the Purchasing Division.
- 4. Prior to any award, the apparent successful vendor must be properly registered with the Purchasing Division and have paid the required registration fee. (Effective June 8, 2006, the fee will change from \$45.00 to \$125.00 pursuant to House Bill 4031.)
- 5. All services performed or goods delivered under State Purchase Orders/Contracts are to be continued for the term of the Purchase Order/Contract, contingent upon funds being appropriated by the Legislature or otherwise being made available. In the event funds are not appropriated or otherwise available for these services or goods, this Purchase Order/Contract becomes void and of no effect after June 30.
- 6. Payment may only be made after the delivery and acceptance of goods or services.
- 7. Interest may be paid for late payment in accordance with the West Virginia Code.
- 8. Vendor preference will be granted upon written request in accordance with the West Virginia Code.
- 9. The State of West Virginia is exempt from federal and state taxes and will not pay or reimburse such taxes.
- 10. The Director of Purchasing may cancel any Purchase Order/Contract upon 30 days written notice to the seller.
- 11. The laws of the State of West Virginia and the *Legislative Rules* of the Purchasing Division shall govern all rights and duties under the Contract, including without limitation the validity of this Purchase Order/Contract.
- 12. Any reference to automatic renewal is hereby deleted. The Contract may be renewed only upon mutual written agreement of the parties.
- 13. BANKRUPTCY: In the event the vendor/contractor files for bankruptcy protection, this contract is automatically null and void, and is terminated without further order.
- 14. HIPAA Business Associate Addendum The West Viginia State Government HIPAA Business Associate Addendum (BAA), approved by the Attorney General, and available online at the Purchasing Division's web site (http://www.state.wv.us/admin/purchase/vrc/hipaa.htm) is hereby made part of the agreement. Provided that, the Agency meets the definition of a Covered Entity (45 CFR §160.103) and will be disclosing Protected Health Information (45 CFR §160.103) to the vendor.

INSTRUCTIONS TO BIDDERS

- 1. Use the quotation forms provided by the Purchasing Division.
- 2. SPECIFICATIONS: Items offered must be in compliance with the specifications. Any deviation from the specifications must be clearly indicated by the bidder. Alternates offered by the bidder as EQUAL to the specifications must be clearly defined. A bidder offering an alternate should attach complete specifications and literature to the bid. The Purchasing Division may waive minor deviations to specifications.
- 3. Complete all sections of the quotation form.
- 4. Unit prices shall prevail in cases of discrepancy.
- 5. All quotations are considered F.O.B. destination unless alternate shipping terms are clearly identified in the quotation.
- 6. **DUPLICATE BIDS:** All quotations must be delivered by the bidder to the respective offices listed below prior to the date and time of the bid opening. Failure of the bidder to deliver the quotations on time will result in bid disqualifications.

ORIGINAL SIGNED BID TO:

Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

DUPLICATE BID TO:

State Auditor's Office Bid Observer Building 1 Room W114 1900 Kanawha Boulevard, East Charleston, WV 25305-0230

NOTICE: Beginning June 8, 2006, there is no need to submit a duplicate bid to the State Auditor's Office pursuant to House Bill 4031.



State of West Virginia Department of Administration Quotation Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for

DNR70195

RFQ NUMBER

ADDRESS CORRESPONDENCE TO ATTENTION OF

BUYER 32 304-558-0492

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DIVISION OF NATURAL RESOURCES
PARKS & RECREATION SECTION
BUILDING 3, ROOM 719
1900 KANAWHA BOULEVARD, EAST
CHARLESTON, WV
25305-0662 304-558-2775

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55-0735961



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THE ARCHITECT/ENGINEER AND/OR AGE	NCY SHALL BE REQUIRED
TO ABIDE BY THE FOLLOWING SCHEDUL	E IN ISSUING
CONSTRUCTION PROJECT ADDENDA FOR	STATE AGENCIES:
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	CT/ENGINEER SHALL ALSO
SEND A COPY OF THE ADDENDUM TO TH	E STATE AGENCY FOR
WHICH THE CONTRACT IS ISSUED.	
(2) THE BUYER SHALL SEND THE ADD	ENDUM TO ALL
INTERESTED PARTIES AND, IF NECESS	ARY, EXTEND THE BID
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DIVISION OF NATURAL RESOURCES PARKS & RECREATION SECTION BUILDING 3, ROOM 719 1900 KANAWHA BOULEVARD, EAST CHARLESTON, WV 25305-0662 304-558-2775

TYPE NAME/ADDRESS HERE A.J. SMITH INC dba CAPITAL BUILDERS 4008 5TH STREET ROAD HUNTINGTON WV 25701

TERMS OF SALE SHIP VIA DATE PRINTED F.O.B. FREIGHT TERMS 05/17/2006 **BID OPENING DATE** 01:30PM 06/28/2006 BID OPENING TIME CAT UNIT PRICE AMOUNT QUANTITY ITEM NUMBER UOP LINE: THE WEST VIRGINIA STATE CODE, PURCHASING DIVISION RULES AND REGULATIONS, AND THE INFORMATION PROVIDED IN THE "REQUEST FOR QUOTATION" ISSUED BY THE PURCHASING DIVISION IS THE SOLE AUTHORITY GOVERNING THIS PROCUREMENT. ANY INFORMATION PROVIDED IN SPECIFICATION MANUALS, OR ANY OTHER SOURCE, VERBAL OR WRITTEN, WHICH CONTRADICTS OR ALTERS THE INFORMATION PROVIDED FROM THE SOURCES AS DESCRIBED IN THE ABOVE PARAGRAPH IS VOID AND OF NO EFFECT. IN THE EVENT THE VENDOR/CONTRACTOR FILES BANKRUPTCY: FOR BANKRUPTICY PRIOTECTION, THIS CONTRACT IS AUTOMATI-CALLY NULL AND VOID, AND IS TERMINATED WITHOUT FURTHER ORDER. REV. 1/2005 NOTICE AN ORIGINAL, SIGNED BID MUST BE SUBMITTED TO: DEPARTMENT OF ADMINISTRATION PURCHASING DIVISION BUILDING 15 2019 WASHINGTON STREET, EAST CHARLESTON, WV 25305-0130 MUST CONTAIN THIS INFORMATION ON THE FACE OF THE ENVELOPES OR THE BIDS MAY NOT BE CONSIDERED: SEALED BID SEE REVERSE SIDE FOR TERMS AND CONDITIONS TELEPHONE (304) 697-5002 JUNE 28, 2006 FEIN ADDRESS CHANGES TO BE NOTED ABOVE PRESIDENT 55-0735961



State of West Virginia
Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

Request for Quotation

DNR70195

RFO NUMBER

BUYER 32 304-558-0492

ADDRESS CORRESPONDENCE TO ATTENTION OF

> m Z D O E

RFQ COPY TYPE NAME/ADDRESS HERE

A.J. SMITH INC dba CAPITAL BUILDERS 4008 5TH STREET ROAD HUNTINGTON WV 25701

DIVISION OF NATURAL RESOURCES PARKS & RECREATION SECTION BUILDING 3, ROOM 719 1900 KANAWHA BOULEVARD, EAST CHARLESTON, WV 25305-0662 304-558-2775

DATEPHINTEL) <u>C</u>	IIVIS OF SALE	SHIP VIA	\$650 T.J.			FHEIGHT TERMS
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Auf	<u> </u>		SEE REV	ÆRSE SIDE FOR TERMS A	ND COND!	TIONS		
SIGNATURE 9	311)		TELEPH		<u> </u>	DATE	E 28, 2006
TITLE PRESIDENT		FE	^{IN} 55-0735961		J4) 09		~	TO BE NOTED ABOVE

PROPOSAL OR BID

Δ.Τ.	Smith	Inc.	dha	Capital	Builders
Z1 + U +	Juli		uva	vaprear	DULLUCIO

PROJECT:

WEST VIRGINIA DIVISION OF NATURAL RESOURCES CAPITOL COMPLEX, BUILDING 3 REMODELING

KANAWHA COUNTY, WEST VIRGINIA

We, the undersigned, having examined the site and being familiar with the local conditions affecting the cost of the WORK and also being familiar with the general conditions to Bidders, drawings, and specifications, hereby propose to furnish all materials, equipment, and labor to complete all WORK described in the Bidding Documents in a workmanlike manner.

The Bid shall include all necessary materials, labor and equipment to complete all WORK as shown in the Bidding Documents prepared by the Division of Natural Resources.

BASE BID DESCRIPTION: Materials, labor and equipment for the remodeling of certain rooms of Building 3 and other work at the State Capitol Complex.

BASE BID AMOUNT

Twenty-Seven Thousand One Hundred Dollars & no/100----- (\$ 27,100.00)

(Total to be written in words and figures.)

ADDITIVE ALTERNATE NO. 1: Three Thousand Nine Hundred Dollars & no/100 (\$3,900.00)

Install suspended ceiling system with lights in Room 734.

ADDITIVE ALTERNATE NO. 2: Four Thousand Seven Hundred Dollars & no/100 (\$4,700.00) Install suspended ceiling system with lights in Room 732.

ADDITIVE ALTERNATE NO. 3: Four Thousand Three Hundred Dollars & no/100 (\$4,300.00)

Install suspended ceiling system with lights in Room 733.

PROJECT:

WEST VIRGINIA DIVISION OF NATURAL RESOURCES CAPITOL COMPLEX, BUILDING 3 REMODELING KANAWHA COUNTY, WEST VIRGINIA

In the event of a difference in written amount and the number amount, the written amount shall prevail.

The Bidder understands that to the extent allowed by the West Virginia Code, the OWNER reserves the right to waive any informality or irregularity in any Bid, or Bids, and to reject any or all Bids in whole or in part; to reject a Bid not accompanied by the required Bid security or by other data required by the Bidding Documents; to reject any condition of the Bid by the Bidder that is in any way inconsistent with the requirements, terms and conditions of the Bidding Documents; or to reject a Bid that is in any way incomplete or irregular.

The Bidder, if successful and awarded the Contract, agrees that all WORK is to be complete within 60 consecutive calendar days following receipt of the OWNER's written Notice to Proceed. For each calendar day of delay in achieving completion, the CONTRACTOR shall be liable for, and shall pay the OWNER liquidated damages in the amount of One Hundred Dollars (\$100.00) per day. Allowances may be made for delays due to shortages of materials, subject to proof by documentation, and also for delays due to strikes and other delays beyond the control of the CONTRACTOR. All delays and any claim for extension of the Contract time must be properly documented in accordance with the Contract Documents.

Any WORK performed or any materials contracted for prior to the receipt of the OWNER's written Notice to Proceed shall be at the Bidder's risk.

Upon receipt of the OWNER's written notice of acceptance of this Bid, the Bidder agrees that he shall execute and deliver the Contract along with insurance certificates as set forth in the Bidding Documents to the OWNER within ten (10) consecutive calendar days, or the Bidder shall forfeit the security deposited with this Bid.

PROGRESS PAYMENTS

The CONTRACTOR will make current estimates, in writing, once each month on AIA Forms G702 and G703 on or before the date set by the OWNER at the time of starting the WORK. The progress payments shall be a true estimate of the materials complete in place and the amount of WORK performed in accordance with the Contract during the preceding month and the value thereof figured at the Contract unit prices or based on the approved Schedule of Values. Should there be any doubt by the OWNER as to the integrity of any part of the completed WORK, the estimates for that portion will not be allowed until the cause for such doubt has been removed and the estimate modified by the CONTRACTOR accordingly. CONTRACTOR shall submit evidence to document of the extent of progress payments as required by the OWNER.

PROJECT:

WEST VIRGINIA DIVISION OF NATURAL RESOURCES CAPITOL COMPLEX, BUILDING 3 REMODELING

KANAWHA COUNTY, WEST VIRGINIA

Progress payments will not be made when the total value of the WORK done since the last estimate amounts to less than Five Hundred Dollars (\$500.00). From the total of the amounts ascertained as payable, an amount equivalent to five percent (5%) will be deducted and retained by the OWNER until completion of the entire Contract is in an acceptable manner. The balance, less all previous payments, will be certified for payment by the OWNER.

When the WORK under Contract has been completed and its acceptance is recommended by the OWNER, the retainage shall be released and paid to the CONTRACTOR.

ADDENDUM ACKNOWLEDGMENT

I hereby acknowledge receipt of the following checked addendum and have made the necessary revisions to my proposal.

Addendum No. 1		······································
Addendum No. 2		
Addendum No. 3		
Addendum No. 4		
I understand that failure to confirm the bids.	receipt of the Addendum is o	cause for rejection o
//Signature	D	ate
RESPECTFULLY SUBMITTED:		
DATE: <u>JUNE 28, 2006</u>	WV VENDOR NUMBER:	C09123150
BY: All Deg	WV Contractor's Number:_	WV032728
(Signature in Ink) R. W. Burgess FITLE: President		
FIRM NAME: A.J. SMITH INC dba CAP	ITAL BUILDERS	
ADDRESS: 4008 5TH STREET ROAD		
HUNTINGTON WV 25701		
		•

•		
AGENCY	Division of Natural	Resources
RFQ/RFP#	DNR70195	

BID BOND

KNOW ALL MEN BY THESE	PRESENTS, That we, the unde	ersigned, Capital
Builders, Inc.		of
4008 Fifth Street Road , He	untington, WV 25701	, as Principal, and
American Fire and Casualty Company		of
11 Brighton Road, Suite 2B	Clifton, NJ 07012	a corporation organized
and existing under the laws of the State	of Ohio	, with its
principal office in the City of	Fairfield	as Surety, .
are held and firmly bound unto The State	te of West Virginia, as Odligee,	in the penal sum of
Five Percent of Amount of Bid	(5	5% for the
payment of which, well and truly to be	made, we jointly and severally	bind ourselves, our heirs,
administrators, executors, successors an	nd assigns.	•
	'	
The Condition of the above obli	igation is such that whereas the	Principal has submitted to
the Directoring Section of the Departme	ent of Administration a certain b	oid or proposal, attached
hereto and made a part hereof, to enter i	into a contract in writing for _E	Remodeling of Rooms
on 6th and 7th Floor, Capital Complex		

NOW THEREFORE,

(a) If said bld shall be rejected, or

(b) If said bid shall be accepted and the Principal shall enter into a contract in accordance with the bid or proposal attached hereto and shall furnish any other bonds and insurance required by the bid or proposal, and shall in all other respects perform the agreement created by the acceptance of said bid, then this obligation shall be null and void, otherwise this obligation shall remain in full force and effect. It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligations of said Surety and its bond shall be in no way impaired or affected by any extension of the time within which the Obligec may accept such bid; and said Surety does hereby waive notice of any such extension.

hese presents to be signed by their p	proper officers, this 28th day of June 20 06
Principal Corporate Seal	Capital Builders, Inc.
Filliopa Corporat and	(Name of Principal)
The state of the s	By: KUBIU
•	(Must be President or Vice President)
	R. W. Burgess
•	President Title
	Time
Company Conf	American Fire and Casualty Company
Surety Corporate Seal	(Name of Surety)
Countersigned:	
Ñ/A	By: Theolow
Resident West Virginia Agent	V Attorney-In-Fact
	Lynn M. Wheelock, Attorney-in-Fact

IMPORTANT — Surety executing bonds must be licensed in West Virginia to transact surety insurance. Corporate seals must be affixed, a power of attorney must be attached, and a West Virginia resident agent must sign or countersign.

CERTIFIED COPY OF POWER OF ATTORNEY AMERICAN FIRE AND CASUALTY COMPANY

No. 39-164

Know All Men by These Presents: That THE AMERICAN FIRE AND CASUALTY COMPANY, an Ohio Corporation, pursuant to the authority granted by Article III, Section 9 of the Code of Regulations and By-Laws of American Fire And Casualty Company, does hereby nominate, constitute and appoint: John P. Follman, Jr., Nancy Nigro, Douglas S. Hansen, Lynn M. Wheelock or Kathleen M. Berkelback of Conshohocken, Pennsylvania its true and lawful agent (s) and attorney (s)-in-fact, to make, execute, seal and deliver for and on its behalf as surety, and as its act and deed any and all BONDS, UNDERTAKINGS, and RECOGNIZANCES, not exceeding in any single instance ONE MILLION (\$1,000,000.00) Dollars, excluding, however, any bond(s) or undertaking(s) guaranteeing the payment of notes and interest thereon

And the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its office in Fairfield, Ohio, in their own proper persons.

The authority granted hereunder supersedes any previous authority heretofore granted the above named attorney(s)-in-fact.

In WITNESS WHEREOF, the undersigned officer of the said American Fire and Casualty Company has hereunto subscribed his name and affixed the Corporate Seal of the said American Fire and Casualty Company this 16th day of February 2006.



STATE OF OHIO, COUNTY OF BUTLER Sam faurence

Sam Lawrence, Assistant Secretary

On this 16th day of February, 2006 before the subscriber, a Notary Public of the State of Ohio, in and for the County of Butler, duly commissioned and qualified, came Sam Lawrence, Assistant Secretary of AMERICAN FIRE AND CASUALTY COMPANY, to me personally known to be the individual and officer described in, and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn deposes and says, that he is the officer of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and the said Corporate Seal and his signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal at the City of Hamilton, State of Ohio, the day and year first above written. Cheryf S. Gregory



Notary Public in and for County of Butler, State of Ohio My Commission expires August 6, 2007.

This power of attorney is granted under and by authority of Article III, Section 9 of the Code of Regulations and By-Laws of American Fire And Casualty Company, extracts from which read:

Article III, Section 9. Appointment of Attorneys-in-Fact. The Chairman of the Board, the President, any Vice-President, the Secretary or any Assistant Secretary of the corporation shall be and is hereby vested with full power and authority to appoint attorneys-in-fact for the purpose of signing the name of the corporation as surety to, and to execute, attach the seal of the corporation to, acknowledge and deliver any and all bonds, recognizances, stipulations, undertakings or other instruments of suretyship and policies of insurance to be given in favor of any individual, firm, corporation, partnership, limited liability company or other entity, or the official representative thereof, or to any county or state, or any official board or boards of any county or state, or the United States of America or any agency thereof, or to any other political subdivision thereof

This instrument is signed and sealed as authorized by the following resolution adopted by the Boards of Directors of the Companies on October 21, 2004:

RESOLVED, That the signature of any officer of the Company authorized under Article III, Section 9 of its Code of Regulations and By-laws and the Company seal may be affixed by facsimile to any power of attorney or copy thereof issued on behalf of the Company to make, execute, seal and deliver for and on its behalf as surety any and all bonds, undertakings or other written obligations in the nature thereof; to prescribe their respective duties and the respective limits of their authority; and to revoke any such appointment. Such signatures and seal are hereby adopted by the Company as original signatures and seal and shall, with respect to any bond, undertaking or other written obligations in the nature thereof to which it is attached, be valid and binding upon the Company with the same force and effect as though manually affixed.

CERTIFICATE

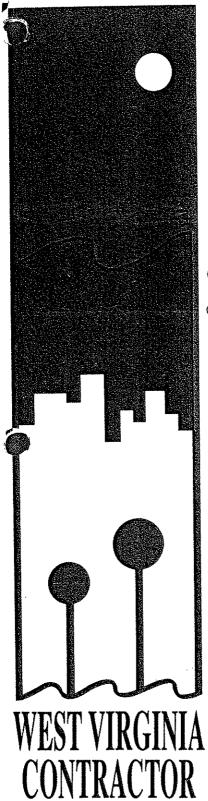
I, the undersigned Assistant Secretary of American Fire and Casualty Company, do hereby certify that the foregoing power of attorney, the referenced By-Laws of the Company and the above resolution of its Board of Directors are true and correct copies and are in full force and effect on this date.

IN WITNESS WHEREOF, I have hereunto set my hand and the seal of the Company this

A.D., 2006



Assistant Secretary



CONTRACTOR LICENSE

Authorized by the

West Virginia Contractor Licensing Board

Number:

WV032728

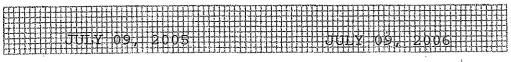
Classification:

GENERAL BUILDING

CAPITAL BUILDERS 4008 5TH STREET RD HUNTINGTON, WV 25701-9545

Date Issued

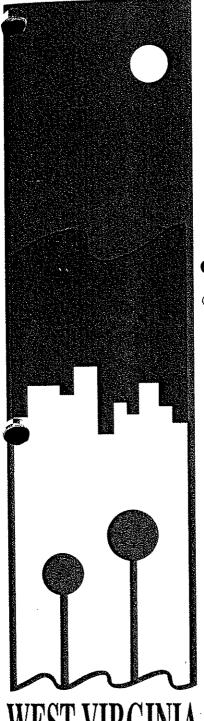
Expiration Date



Chair, West Virginia Contractor

Licensing Board

This license, or a copy thereof, must be posted in a conspicuous place at every construction site where work is being performed. This license number must appear in all advertisements, on all bid submissions and on all fully executed and binding contracts. This license cannot be assigned or transferred by licensee. Issued under provisions of West Virginia Code, Chapter 21, Article 11.



CONTRACTOR LICENSE

Authorized by the

West Virginia Contractor Licensing Board

Number:

WV032728

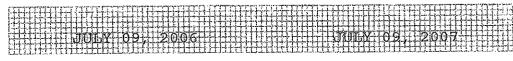
Classification:

GENERAL BUILDING

CAPITAL BUILDERS 4008 5TH STREET RD HUNTINGTON, WV 25701-9545

Date Issued

Expiration Date

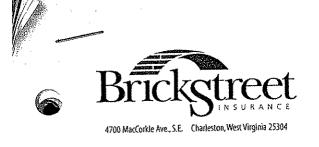


Chair, West Virginia Contractor Licensing Board

Authoriad Company Signature

This license, or a copy thereof, must be posted in a conspicuous place at every construction site where work is being performed. This license number must appear in all advertisements, on all bid submissions and on all fully executed and binding contracts. This license cannot be assigned or transferred by licensee. Issued under provisions of West Virginia Code, Chapter 21, Article 11.





PRODUCER:

BrickStreet Mutual Insurance Company 4700 MacCorkle Ave., S.E. Charleston, WV 25304

CERTIFICATE HOLDER:

A J SMITH INC CAPITAL BUILDERS 4008 FIFTH STREET ROAD HUNTINGTON WV 25701

INSURED:

A J SMITH INC CAPITAL BUILDERS 4008 FIFTH STREET ROAD HUNTINGTON WV 25701

CERTIFICATE OF INSURANCE

The policy of insurance listed below has been issued to the insured named above for the policy period and coverage indicated. This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy below. Coverage is contingent on the insured's compliance with policy conditions and premium payment.

If the policy is canceled before the expiration date, BrickStreet Mutual Insurance Company will endeavor to mail a written notice to the certificate holder within 30 days of cancelation. Failure to mail the notice shall impose no obligation or liability of any kind upon BrickStreet Mutual Insurance Company.

POLICY NUMBER: WC10039658-02

DATE CERTIFICATE ISSUED: 06/26/2006

POLICY EFFECTIVE DATE:

07/01/2006

POLICY EXPIRATION DATE: 01/01/2007

WORKERS COMPENSATION AND EMPLOYERS LIABILITY

LIMITS / COVERAGE

- [X] WORKERS COMPENSATION STATUTORY LIMITS
- [X] EMPLOYERS LIABILITY LIMITS:

BODILY INJURY BY ACCIDENT:

\$100,000.00

EACH ACCIDENT

BODILY INJURY BY DISEASE: BODILY INJURY BY DISEASE:

\$500,000.00 \$100,000.00 POLICY LIMIT EACH EMPLOYEE

- [] WV BROAD FORM EMPLOYERS LIABILITY ENDORSEMENT COVERAGE FOR WV CODE 23-4-2(d)(2)(ii)
- [] FEDERAL COAL MINE HEALTH AND SAFETY ACT COVERAGE ENDORSEMENT COVERAGE FOR WV CODE 23-4b-1 FEDERAL BLACK LUNG COVERAGE

SPECIAL PROVISIONS IF ANY:



RECEIVED

JAN - 3 2006

BY:

12/28/2005

A J SMITH INC CAPITAL BUILDERS 4008 FIFTH STREET ROAD HUNTINGTON, VW 25701 POLICY NUMBER: WC10039658-01

Dear BrickStreet Customer:

Welcome to BrickStreet Mutual Insurance Company! We're excited about the changes and opportunities presented by our transition from a state agency to a private employer's mutual company offering workers' compensation insurance coverage. As part of that transition, your policy number has been changed to reflect the shift from the public sector to a private market.

The enclosed Information Pages contain the essential information we used to construct your workers' compensation insurance policy. You can view the coverage forms pertaining to your policy listed on the enclosed Information Pages by visiting our website at www.BrickStreet.com

If you previously purchased Employers' Excess Liability Fund coverage or Coal Workers Pneumoconiosis coverage from the Workers' Compensation Commission, BrickStreet is automatically providing you substantially similar coverage through endorsements to your policy. If the WV Broad Form Employers Liability Endorsement or the Federal Coal Mine Health and Safety Act Endorsements are not listed on your Information Pages, then these coverages are not provided.

If you wish to apply for Federal Coal Mine Health and Safety Act coverage or West Virginia Broad Form Employers Liability coverage, you may do so by submitting to us the application found on our website, or write to us at the above address, or call us at 866-45BRICK to receive an application.

If you have incurred any covered workers' compensation claims with dates of injury falling between July 1 and Dec. 31, 2005, BrickStreet Insurance will assume the liability for and adjust those claims for you. Please contact our customer service department at 866-45BRICK with any questions you may have.

Again, welcome to BrickStreet! We look forward to serving you!

Sincerely,

President and Chief Executive Officer

Your business. Your people, You're covered.

DATE OF ISSUE: 12/28/2005 ISSUING OFFICE: Charleston, WV

PRODUCER: BRICKSTREET MUTUAL INSURANCE COMPANY



WORKERS COMPENSATION AND EMPLOYERS LIABILITY POLICY

INFORMATION PAGE WC 00 00 01 (A)

RENEWAL OF POLICY NUMBER: NEW POLICY NUMBER: WC10039658-01

INSURER: BRICKSTREET MUTUAL INSURANCE COMPANY

1. INSURED

PRODUCER:

A J SMITH INC CAPITAL BUILDERS 4008 FIFTH STREET ROAD HUNTINGTON, WV 25701

Insured is a Corporation

Other work places and identification numbers are shown in the schedule(s) attached.

- 2. The policy period is from 01/01/2006 to 07/01/2006 12:01 A.M. at the insured's mailing address.
- 3. A WORKERS COMPENSATION INSURANCE: Part One of the policy applies to the Workers Compensation Law of the state(s) listed here:

W

B EMPLOYERS LIABILITY INSURANCE: Part Two of the policy applies to work in each state listed in item 3.A. The limits of our liability under Part Two are:

Bodily Injury by Accident: Bodily Injury by Disease: Bodily Injury by Disease: \$100,000 Each Accident

\$100,000 Policy Limit \$100,000 Each Employee

C OTHER STATES INSURANCE: Part Three of the policy applies to the states, if any, listed here:

See West Virginia Limited Other States Insurance Endorsement WC 99 03 05

D This policy includes these endorsements and schedules:

SEE LIST OF ENDORSEMENTS - EXTENSION OF INFORMATION PAGE

4. The premium for this policy will be determined by our Manuals of Rules, Classifications, Rates and Rating Plans. All required information is subject to verification and change by audit to be made in accordance to Part Five of the policy.

DATE OF ISSUE: 12/28/2005
ISSUING OFFICE: Charleston, WV

PRODUCER: BRICKSTREET MUTUAL INSURANCE COMPANY

WEST VIRGINIA STATE TAX DEPARTMENT

WV/BRT-802 Rev. 7/04

WEST VIRGINIA BUSINESS LOCATION ADDRESS

BUSINESS REGISTRATION CERTIFICATE

JULY 1,2005 FOR YEAR BEGINNING

ENDING JUNE 30,2007



WEST VIRGINIA MAILING ADDRESS 030685

A J SMITH INC DBA CAPITAL BUILDERS

4008 5TH STREET RD HUNTINGTON WY

25701

This business registration certificate is issued by the West Virginia Tax Commissioner in accordance with Chapter 11, Article 12 of the West Virginia Code. The person or organization identified on this certificate is registered to conduct business in the State of West Virginia at the location

SEE REVERSE SIDE.

DNR70195

AFFIDAVIT

West Virginia Code §5A-3-10a states:

No contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and the debt owned is an amount greater than one thousand dollars in the aggregate.

DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Debtor" means any individual, corporation, partnership, association, limited liability company or any other form or business association owing a debt to the state or any of its political subdivisions.

"Political subdivision" means any county commission; municipality; county board of education; any instrumentality established by a county or municipality; any separate corporation or instrumentality established by one or more counties or municipalities, as permitted by law; or any public body charged by law with the performance of a government function or whose jurisdiction is coextensive with one or more counties or municipalities.

"Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood. marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceed five percent of the total contract amount.

EXCEPTION:

The prohibition of this section does not apply where a vendor has contested any tax administered pursuant to chapter eleven of this code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

LICENSING:

The vendor must be licensed in accordance with any and all state requirements to do business with the state of West Virginia.

CONFIDENTIALITY:

The vendor agrees that he or she will not disclose to anyone, directly or indirectly, any such personally identifiable information or other confidential information gained from the agency, unless the individual who is the subject of the information consents to the disclosure in writing or the disclosure is made pursuant to the agency's policies, procedures and rules. Vendors should visit www.state.wv.us/admin/purchase/privacy for the Notice of Agency Confidentiality Policies.

Under penalty of law for false swearing (West Virginia Code, §61-5-3), it is hereby certified that the vendor acknowledges the information in this said affidavit and are in compliance with the requirements as stated.

Vendor's Name: A.J.	SMITH MAC TO a	CAPITAL	BUILDERS					
Authorized Signature:	PM Du	<u> </u>		Date:	JUNE	28,	2006	
	R. W. BURGES	- PRESII	DENT					 ***************************************

No Debt Affidavit Revised 02/08/06