

Department of Administration Purchasing Division 2019 Washington Street East Post Office Box 50130 Charleston, WV 25305-0130

State of West Virginia Master Agreement

Order Date: 12-14-2021

CORRECT ORDER NUMBER MUST APPEAR ON ALL PACKAGES, INVOICES, AND SHIPPING PAPERS. QUESTIONS CONCERNING THIS ORDER SHOULD BE DIRECTED TO THE DEPARTMENT CONTACT.

Procurement Folder: 350107 CMA 0613 9905 VNF1800000001 6 **Order Number:** Reason for Modification: ELEVATOR INSPECTION MAINTENANCE SERVICE **Document Name:** Change Order No. 5 - to extend contract term to **ELEVATOR INSPECTION MAINTENANCE SERVICE Document Description:** 12/16/21 due to vendor name change in order to facilitate final payment. **Procurement Type:** Central Master Agreement **Buyer Name:** Telephone: Email: **Effective Start Date:** 2017-09-15 **Best Way Shipping Method:** 2021-12-16 **Effective End Date:** FOB Dest, Freight Prepaid Free on Board:

Requestor Email:

 VENDOR
 DEPARTMENT CONTACT

 Vendor Customer Code:
 000000189288
 Requestor Name:
 Michael A Clevenger

WEST VIRGINIA ELEVATOR LLC Requestor Phone:

PO BOX 57

AMMA WV 25005

US

Vendor Contact Phone: 304-881-1383 Extension:

Discount Details:

	Discount Allowed	Discount Percentage	Discount Days
#1	No	0.0000	0
#2	No		
#3	No		
#4	No		

22 FILE LOCATION

304-626-1600

michaelclevenger06@gmail.com

INVOICE TO			SHIP TO		
DIVISION OF VETERANS AFFAIR	RS	VETERAN'S NURSING FACILITY			
1 FREEDOMS WAY		1 FREEDOMS WAY			
CLARKSBURG	WV 26301	CLARKSBURG	WV 26301		
US		US			

Total Order Amount: Open End

Purchasing Division's File Copy

ENTERED

PURCHASING DIVISION AUTHORIZATION

SIGNED BY : Tara Lyle
DATE: 2021-12-14

ELECTRONIC SIGNATURE ON FILE

ATTORNEY GENERAL APPROVAL AS TO FORM

DATE:
ELECTRONIC SIGNATURE ON FILE

ENCUMBRANCE CERTIFICATION

Bluely Toler DATE: 12-16-202

ELECTRONIC SIGNATURE ON FILE

12/16/2021

Page:

Extended Description:

Change Order No. 5 - Administrative change order issued to extend the contract to 12/16/2021 to allow for a vendor name change in order to facilitate final payment on the contract.

Vendor was: West Virginia Elevator LLC

PO Box 57 Amma, WV 25005 FEIN: 455260236

wvOASIS No.: 000000189288

Vendor Now: 3Phase Excel Elevator Corp. LLC

1 Harmon Plaza Secaucus, NJ 07094 FEIN: 843785881

wvOASIS No.: VS0000038531

Contract document CMA VNF22*05 will be issued for the new vendor name.

Effective Date of Change: 07/08/2021

No other changes.

Line	Commodity Code	Manufacturer	Model No	Unit	Unit Price
1	72101506				0.000000
	Service From	Service To			
	2017-09-15	2021-12-16			

Commodity Line Description:

Elevator Inspection

Extended Description:

FORM ID: WV-PRC-CMA-002 2020/01

Page: 2

r	Document Phase	Document Description	Page 3
VNF1800000001	- · · · · · ·	ELEVATOR INSPECTION MAINTENANCE SERVICE	

ADDITIONAL TERMS AND CONDITIONS

See attached document(s) for additional Terms and Conditions

3Phase begins its midwestern expansion with a well-known West Virginia market leader.

CANTON, Mass. & CHARLESTON, W. Va.--(BUSINESS WIRE)--3Phase Elevator, a leading independent elevator and escalator services provider, today announced that it has merged with West Virginia Elevator, the largest independent elevator services provider in West Virginia. This news follows 3Phase's announcement earlier this month that it had received an investment from Berkshire Partners to expand nationally through acquisitions and partnerships. The strategic combination with West Virginia Elevator is the first step in 3Phase's plan to expand toward the Midwest from its current footprint in the eastern United States.

West Virginia Elevator provides a range of local elevator services, including maintenance, safety testing, modernizations, repairs, new installations, and performance upgrades. West Virginians Dustin Bozek and Chris Bradley founded the company in 2012 and will continue to lead and grow West Virginia Elevator with 3Phase's support. West Virginia Elevator has earned a strong reputation of high quality and prompt service, which is consistent with 3Phase's mission.

"Our customers choose us because we deliver the best service in our state, any time of the day or week, and have highly skilled mechanics who are reliable," said West Virginia Elevator co-founder Dustin Bozek. "As a fellow independent, 3Phase is committed to the same level of customer service, and we are excited to partner with their team."

"We will continue to be a locally led company delivering on our promise of great service by West Virginians to West Virginians and Dustin and I will remain owners in the combined organization," added co-founder Chris Bradley.

"We are thrilled to partner with Dustin and Chris, as well as the entire West Virginia Elevator team," said Mike Strachan, CEO of 3Phase Elevator. "Our national expansion strategy hinges on successful founders like Dustin and Chris placing their trust in us to continue to support them in their growth. We are excited about where we can take the combined companies together, all while enhancing the services customers receive, and adding opportunities for our mechanics."

Maven Group served as financial advisor to West Virginia Elevator, and Harvey & Company served as financial advisor to 3Phase and Berkshire Partners.

"We also wanted to thank Maven Group for their support over the last four years during which they gave us invaluable advice on how to grow our company. When we made the decision to partner with 3Phase, the team at Maven stayed involved and provided calm and comprehensive guidance throughout the entire transaction," added Bozek and Bradley.

Contact Information

Press: Sarah Kulka press@berkshirepartners.com

About 3Phase Elevator

3Phase Elevator is the leading independent provider of elevator and escalator maintenance, repair, and modernization services in thirteen states across the eastern United States. The Company was founded in 1996. For additional information, please visit www.3phaseelevator.com.

About Berkshire Partners

Berkshire Partners, a Boston-based firm, has 35 years of investment history. Berkshire Private Equity has made more than 130 private equity investments since its inception and has a strong history of partnering with management teams to grow the companies in which it invests. Stockbridge, the firm's public equity affiliate, was founded in 2007 and seeks to make public equity investments in high-quality companies with strong competitive positions and long-term growth prospects. The firm invests in business services & technology, communications, consumer, healthcare and industrials companies. For additional information, and to see a full list of the firm's private equity investments, visit www.berkshirepartners.com.

WEST VIRGINIA STATE TAX DEPARTMENT BUSINESS REGISTRATION CERTIFICATE

ISSUED TO:
3PHASE EXCEL ELEVATOR LLC
DBA WEST VIRGINIA ELEVATOR
4784 CHIMNEY DR
CHARLESTON, WV 25302-4804

BUSINESS REGISTRATION ACCOUNT NUMBER:

2409-9471

This certificate is issued on:

07/08/2021

This certificate is issued by the West Virginia State Tax Commissioner in accordance with Chapter 11, Article 12, of the West Virginia Code.

The person or organization identified on this certificate is registered to conduct business in the State of West Virginia at the location above.

This certificate is not transferrable and must be displayed at the location for which issued. This certificate shall be permanent until cessation of the business for which the certificate of registration was granted or until it is suspended, revoked or cancelled by the Tax Commissioner.

Change in name or change of location shall be considered a cessation of the business and a new certificate shall be required.

TRAVELING/STREET VENDORS: Must carry a copy of this certificate in every vehicle operated by them. CONTRACTORS, DRILLING OPERATORS, TIMBER/LOGGING OPERATIONS: Must have a copy of this certificate displayed at every job site within West Virginia.

atL006 v.19 L1328765472

<u>Menu</u>

Vendor/Customer	Legal N	ame	Alias/DBA	Vendor Active Status	Customer Active Status	Previous Name
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Travel						



Certificate

I, Mac Warner, Secretary of State, of the State of West Virginia, hereby certify that

3PHASE ELEVATOR CORP

has filed a "Certificate of Registration of Trade Name" in my office according to the provisions of Chapter 47 of the West Virginia Code and was found to conform to law.

Therefore, I hereby issue this

CERTIFICATE OF REGISTRATION OF TRADE NAME

authorizing it to transact business in West Virginia under the assumed name of

West Virginia Elevator



Given under my hand and the Great Seal of West Virginia on this day of

September 02, 2021

Mac Warner



Lyle, Tara L <tara.l.lyle@wv.gov>

Re: Business Registration & Secretary of State Registration out of date

1 message

Alexander, Peggy L <peggy.l.alexander@wv.gov>

Wed, Sep 22, 2021 at 9:45 AM

To: "Lyle, Tara L" <tara.l.lyle@wv.gov>, David H Pauline <david.h.pauline@wv.gov>

Cc: "Clevenger, Michael A" <michael.a.clevenger@wv.gov>, Beverly J Gerard <beverly.j.gerard@wv.gov>, Sherri Reed <sherri.a.reed@wv.gov>

Regarding CMA VNF18*01, I finally got some paperwork from the vendor, see attached. The CMA expired 9/14. They did not renew the registration for WV Elevator (v/c# 000000189288), but have registered 3Phase Elevator (v/c# VS0000038531). We have invoices from WV Elevator that we need to pay for work done under the CMA before it expired. Please advise what we need to do.

By the way, Mike has sent a letter of award recommendation for this same vendor for the new elevator contract. Not sure how this vendor registration mess will affect the new contract.

Thanks, Peggy

On Fri, Sep 10, 2021 at 9:41 AM Lyle, Tara L <tara.l.lyle@wv.gov> wrote:

Do you have a current contract with them as an agency delegated or central procurement?

If so and if the contract is through our office, you will need to do a cancel and re-issue which means a change order to cancel the contract and then we do a new or reissued contract under the new name. We'll need paperwork to show the name change, new purchasing affidavit, etc.

If it is an agency delegated contract, then you will most likely need to do the same thing. I have copied David on this reply.

I am out of the office today but we can work on this on Monday, if it has to go through our office.

Thanks, Tara

Tara, can you help please? We're holding 3 invoices for WV Elevator because their on hold in OASIS. They've now changed to 3Phase Elevator in OASIS. I don't know how to get these invoices entered or if we even have to pay them since we didn't/don't have a contract with 3Phase, only with WV Elevator. How do we handle this? By the way, elevator maintenance is out for bid as we speak. Our contract expires 9/14/21.

Thanks, Peggy

On Wed, Sep 8, 2021 at 3:53 PM Alexander, Peggy L peggy.l.alexander@wv.gov> wrote:

Apparently, WV Elevator is changing to 3Phase Elevator. They have entered 3Phase in OASIS under Vendor I.D. VSx38531. Our CMA # VNF18*01 is with WV Elevator. How do we change the CMA to the new vendor?

Thanks, Peggy

--- Forwarded message -----

From: Leanne Flannery < Iflannery@3phaseelevator.com>

Date: Wed, Sep 8, 2021 at 3:42 PM

Subject: RE: Business Registration & Secretary of State Registration out of date

To: Alexander, Peggy L <peggy.l.alexander@wv.gov>, Gerard, Beverly J <beverly.j.gerard@wv.gov>

Cc: West Virginia Elevator, LLC. <wvelevator@gmail.com>

9/24/21; 4:09 PM

State of West Virginia Mail - Re: Business Registration & Secretary of State Registration out of date

Hi Peggy – with 3Phase's acquisition of West Virginia Elevator, we have a new Oasis account that you may need to link those under.

The user ID is 3PHaseElevator. I think once that change is made, then you should be good....thanks!

Leanne Flannery

CFO

3Phase Elevator

617-221-8606

Iflannery@3phaseelevator.com

From: Alexander, Peggy L <peggy.l.alexander@wv.gov>

Sent: Wednesday, September 8, 2021 3:37 PM
To: Gerard, Beverly J

Severly.j.gerard@wv.gov>

Cc: West Virginia Elevator, LLC. wvelevator@gmail.com; Leanne Flannery@3phaseelevator.com

Subject: Re: Business Registration & Secretary of State Registration out of date

CAUTION: This email originated from outside 3Phase Elevator. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Leanne, did you have any luck with this? OASIS is still showing "prevent new orders". Please advise as we'd like to be able to pay your invoices.

Thanks,

Peggy

On Mon, Aug 30, 2021 at 8:07 AM Alexander, Peggy L <peggy.l.alexander@wv.gov> wrote:

Leanne, you'll need to go to the wvOASIS website at www.wvoasis.gov and go into the VSS (Vendor Self Service) portal. Your User ID is WVelevator. From what I can see, Kelly Bradley set this up under Dustin's name. If you can't get in or have any issues, you can contact Terra Oliver in the Purchasing Division. Her email is Terra.N.Oliver@wv.gov

Vendor User Information

Transferance.	<u>User id</u>	Last Name	First Name	Master User
1	WVelevator	Bozek	Duslin	No
Fir	st Prev Next	Last	The Armer to the more to	to rediction and section from any

Search

User Id: WVelevator

-

Email: kellybradley@wvelevator.com

Last Name: Bozek Phone: 304-881-1383

Extension:

First Name : Dustin

Fax: 304-381-4444

HQ Master User :

Top

Vendor Transaction History

I'm not familiar with renewing with the Secretary of State, but their website is https://sos.wv.gov/business/pages/RegNewWVBus.aspx

Hope this helps.

On Mon, Aug 30, 2021 at 7:32 AM Gerard, Beverly J <beverly.j.gerard@wv.gov> wrote:

As stated above your Vendor number in Oasis for the state of WV is 000000189288 and the Business Registration and the Secretary of State Registration has expired. This has to be taken care of before any invoices can be processed through the state Oasis system.

Peggy can you help these people out as I am unaware how to tell them to get this paid other than contact the Secretary of State's office?

Bev

On Sat, Aug 28, 2021 at 10:48 AM West Virginia Elevator, LLC. <wvelevator@gmail.com> wrote:

Beverly,

Can you help us understand what is missing?

Sincerely,

Dustin Bozek

Error! Filename not specified.

West Virginia Elevator, LLC.

P.O. Box 57

Amma, WV 25005

Email: sales@wvelevator.com

Phone: (304) 550-9285

Fax: (304) 381-4444

Website: www.wvelevator.com

Begin forwarded message:

From: Leanne Flannery lflannery@3phaseelevator.com

Date: August 28, 2021 at 9:16:55 AM EDT

To: "West Virginia Elevator, LLC." <wvelevator@gmail.com>

Cc: Janet Ceddia <jceddia@3phaseelevator.com>

Subject: RE: Business Registration & Secretary of State Registration out of date

Hi Dustin – I just wanted to follow up on this since we can't find anything open under our name to pay. Any help you can give is much appreciated!

Leanne Flannery

CFO

3Phase Elevator

617-221-8606

Iflannery@3phaseelevator.com

From: Leanne Flannery

Sent: Thursday, August 26, 2021 2:13 PM

To: West Virginia Elevator, LLC. wvelevator@gmail.com

Cc: Janet Ceddia <jceddia@3phaseelevator.com>

Subject: Re: Business Registration & Secretary of State Registration out of date

We can not find anything that is open. Is there a contact I can reach out to directly? Also I assume this is under our 3Phase Excel Elevator name and not the West Virginia legal entity right?

On Aug 25, 2021, at 2:07 PM, West Virginia Elevator, LLC. <wvelevator@gmail.com>wrote:

CAUTION: This email originated from outside 3Phase Elevator. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Whoever registered us with the Secretary of State should have been given the info for payment...

Sincerely,

Dustin Bozek

Error! Filename not specified.

State of West Virginia Mail - Re: Business Registration & Secretary of State Registration out of date West Virginia Elevator, LLC.

P.O. Box 57

Amma, WV 25005

Email: sales@wvelevator.com

Phone: (304) 550-9285

Fax: (304) 381-4444

Website: www.wvelevator.com

On Aug 25, 2021, at 1:33 PM, Leanne Flannery lflannery@3phaseelevator.com wrote:

Hi Dustin – I am not familiar with this vendor or how to pay them. Is this something Tom had an invoice for? Or is this something online that we need to go in and get paid?

Please let me know and we will take care of it.

Thanks.

Leanne

Leanne Flannery

CFO

3Phase Elevator

617-221-8606

lflannery@3phaseelevator.com

From: West Virginia Elevator, LLC. <wvelevator@gmail.com>

Sent: Wednesday, August 25, 2021 1:24 PM

To: Janet Ceddia < jceddia@3phaseelevator.com>; Leanne Flannery

<lflannery@3phaseelevator.com>

Subject: Fwd: Business Registration & Secretary of State Registration

out of date

CAUTION: This email originated from outside 3Phase Elevator. Do not click links or open attachments unless you recognize the sender and know the content is safe.

State of West Virginia Mail - Re: Business Registration & Secretary of State Registration out of date Sincerely,

Dustin Bozek

Error! Filename not specified.

West Virginia Elevator, LLC.

P.O. Box 57

Amma, WV 25005

Email: sales@wvelevator.com

Phone: (304) 550-9285

Fax: (304) 381-4444

Website: www.wvelevator.com

Begin forwarded message:

From: "Gerard, Beverly J" <Beverly.J.Gerard@wv.gov>

Date: August 25, 2021 at 11:41:48 AM EDT

To: wvelevator@gmail.com

Cc: Peggy L Alexander < Peggy.L.Alexander@wv.gov>,

Linda L Malcomb linda.l.malcomb@wv.gov>

Subject: Business Registration & Secretary of State

Registration out of date

To Whom It May concern

The Registration and Secretary of State Registration is now both overdue. Your Vendor file

Number is 000000189288 for WV Elevator has Prevent New Orders on your account until it is paid in full.

I can not pay invoice 4070 & Invoice 4160 until WV Elevator has been reinstated.

Please take care of your account and bring up to date immediately and this is the last notice you will receive from concerning these invoices.

Sincerely

Beverly Gerard

Accounting Tech III

WV Veterans Nursing Facility

One Freedoms Way

Clarksburg, WV 26301

Thanks, and have a great day!

Peggy Alexander

Administrative Services Manager

WV Veterans Nursing Facility

1 Freedom Way, Clarksburg WV 26301

(304) 626-1600 x.2015

Peggy Alexander | Administrative Services Assistant

WV Veterans Nursing Facility

1 Freedom Way | Clarksburg, WV 26301

304.626.1600 ext. 2015 | 304.626.1605 fax

Peggy.L.Alexander@wv.gov

Peggy Alexander | Administrative Services Assistant

WV Veterans Nursing Facility

1 Freedom Way | Clarksburg, WV 26301

304.626.1600 ext. 2015 | 304.626.1605 fax

Peggy.L.Alexander@wv.gov

Peggy Alexander | Administrative Services Assistant

WV Veterans Nursing Facility

1 Freedom Way | Clarksburg, WV 26301

304.626.1600 ext. 2015 | 304.626.1605 fax

Peggy.L.Alexander@wv.gov

Peggy Alexander | Administrative Services Assistant

WV Veterans Nursing Facility

· 9/24/21, 4:09 PM

State of West Virginia Mail - Re: Business Registration & Secretary of State Registration out of date

1 Freedom Way | Clarksburg, WV 26301

304.626.1600 ext. 2015 | 304.626.1605 fax

Peggy.L.Alexander@wv.gov

2 attachments

WV DBA for 3 PHASE ELEVATOR CORP.-WV-Assumed Name - Filing 09.02.2021.pdf 176K

WV Business Registration Certificate and DBA 07.13.2021 (1).pdf 581K

STATE OF WEST VIRGINIA Purchasing Division

PURCHASING AFFIDAVIT

CONSTRUCTION CONTRACTS: Under W. Va. Code § 5-22-1(i), the contracting public entity shall not award a construction contract to any bidder that is known to be in default on any monetary obligation owed to the state or a political subdivision of the state, including, but not limited to obligations related to payroll taxes, properly taxes, sales and use taxes, fire service fees, or other fines or fees.

ALL CONTRACTS: Under W. Va. Code §5A-3-10a, no contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and: (1) the debt owad is an amount greater than one thousand dollars in the aggregate; or (2) the debtor is in employer default.

EXCEPTION: The prohibition listed above does not apply where a vendor has contested any tax administered pursuant to chapter eleven of the W. Va. Code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or egreement and the vendor is not in default of any of the provisions of such plan or agreement.

DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers, compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Employer default" means having an outstanding balance or liability to the old fund or to the uninsured employers' fund or being in policy default, as defined in W. Va. Code § 23-2c-2, failure to maintain mandatory workers' compensation coverage, or failure to hilly meet its obligations as a workers' compensation self-insured employer. An employer is not in employer default if it has entered into a repayment agreement with the insurance Commissioner and remains in compliance with the obligations under the repayment agreement.

"Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceed five percent of the total contract amount.

AFFIRMATION: By signing this form, the vendor's authorized signer affirms and acknowledges under penalty of law for false swearing (W. Va. Code §61-5-3) that: (1) for construction contracts, the vendor is not in default on any monetary obligation owed to the state or a political subdivision of the state, and (2) for all other contracts, that neither vendor nor any related party owe a debt as defined above and that neither vendor nor any related party are in employer default as defined above, unless the debt or employer default is permitted under the exception above.

WITNESS THE FOLLOWING SIGNATURE:

uthorized Signature 200 70	ilinann Joseph Zeilmann	Date:	12/6/2021	Augustania.
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