



Department of Administration
Purchasing Division
2019 Washington Street East
Post Office Box 50130
Charleston, WV 25305-0130

State of West Virginia
Master Agreement

Order Date: 08-19-2021

CORRECT ORDER NUMBER MUST
APPEAR ON ALL PACKAGES, INVOICES,
AND SHIPPING PAPERS. QUESTIONS
CONCERNING THIS ORDER SHOULD BE
DIRECTED TO THE DEPARTMENT
CONTACT.

Order Number:	CMA 1300 1300 STO180000002A 5	Procurement Folder:	330243
Document Name:	Professional Audit Services for UP	Reason for Modification:	Change Order No 4 - To renew the contract under the same terms and conditions.
Document Description:	Professional Audit Services for UP		
Procurement Type:	Central Master Agreement		
Buyer Name:			
Telephone:			
Email:			
Shipping Method:	Vendor	Effective Start Date:	2017-10-06
Free on Board:	FOB Dest, Freight Prepaid	Effective End Date:	2022-10-05

VENDOR	DEPARTMENT CONTACT																				
Vendor Customer Code: 000000174254 AUDIT SERVICES US LLC 212 W 35TH ST STE 1600 NEW YORK NY 10001 US Vendor Contact Phone: 212-594-5487 Extension: 228 Discount Details: <table><thead><tr><th></th><th>Discount Allowed</th><th>Discount Percentage</th><th>Discount Days</th></tr></thead><tbody><tr><td>#1</td><td>No</td><td>0.0000</td><td>0</td></tr><tr><td>#2</td><td>No</td><td></td><td></td></tr><tr><td>#3</td><td>No</td><td></td><td></td></tr><tr><td>#4</td><td>No</td><td></td><td></td></tr></tbody></table>		Discount Allowed	Discount Percentage	Discount Days	#1	No	0.0000	0	#2	No			#3	No			#4	No			Requestor Name: Shelly Murray Requestor Phone: (304) 341-7089 Requestor Email: shelly.murray@wvsto.com 22 FILE LOCATION _____
	Discount Allowed	Discount Percentage	Discount Days																		
#1	No	0.0000	0																		
#2	No																				
#3	No																				
#4	No																				

INVOICE TO	SHIP TO
WEST VIRGINIA STATE TREASURERS OFFICE 322 70TH ST SE CHARLESTON WV 25304 US	WEST VIRGINIA STATE TREASURERS OFFICE 322 70TH ST SE CHARLESTON WV 25304 US

Purchasing Division's File Copy

Total Order Amount:

Open End

ENTERED

PURCHASING DIVISION AUTHORIZATION

DATE:

ELECTRONIC SIGNATURE ON FILE

ATTORNEY GENERAL APPROVAL AS TO FORM

DATE:

ELECTRONIC SIGNATURE ON FILE

ENCUMBRANCE CERTIFICATION

DATE:

ELECTRONIC SIGNATURE ON FILE

Extended Description:

Change Order

Change Order No. 4 is issued to renew the original contract according to all terms, conditions, prices and specifications contained in the original contract including all authorized change orders.

Effective date of renewal 10/06/2021 through 10/05/2022.

Renewal years remaining: Zero (0)

No other changes.

Line	Commodity Code	Manufacturer	Model No	Unit	Unit Price
1	84111600			LS	0.000000
	Service From	Service To			

Commodity Line Description: Audit services

Extended Description:

External Audit Services for Holders of Unclaimed Property



State of West Virginia

RILEY MOORE
STATE TREASURER

OFFICE OF THE STATE TREASURER
PHONE: 304-558-5000 or 1-800-422-7498
www.wvtreasury.com

STATE CAPITOL, ROOM E-145
1900 KANAWHA BOULEVARD, EAST
CHARLESTON, WV 25305

August 3, 2021

Mr. Jeremy Katz
Audit Services US LLC
212 West 35th Street Suite 1600
New York, NY 10001

Subject: CMA STO180000002A Professional Auditing Services for UP / Change Order #4 Renewal

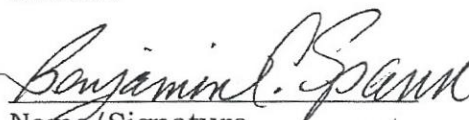
The West Virginia State Treasurer's Office is offering to renew subject contract under the same terms, conditions and pricing as contained in the original agreement including all authorized change orders. The renewal dates are 10/06/2021 through 10/05/2022. If your company agrees to this renewal, please sign below and return the original to my attention as soon as possible for processing. Attached is a copy of the Affidavit which is also required to be signed, dated, and notarized in order to process the above renewal. Also, please provide your updated required insurance certificates.

Should you have any questions about this letter, please feel free to give me a call.

Very truly yours,

Shelly Murray, CPPB
Deputy Treasurer of Purchasing
West Virginia State Treasurer's Office
Phone: 304-341-7089
Email: shelly.murray@wvsto.com / purchasing@wvsto.com

We agree to renew the contract for the period as stated above under the same terms and conditions in the original purchase order and any change orders thereto.


Name/Signature
BENJAMIN C. SPANN

CHIEF EXECUTIVE OFFICER
Title

AUGUST 3, 2021
Date



Benjamin C. Spann
Chief Executive Officer

212 W. 35th St., 16th Floor
New York, NY 10001
tel: 225.324.0139
fax: 225.753.8290
bspann@auditservicesus.com

August 4, 2021

Shelly L. Murray, Deputy Treasurer
West Virginia State Treasurer's Office
322 70th Street, S.E.
Charleston, WV 25304

Re: CMA STO180000002A / Change Order #4
Professional Auditing Services for UP Renewal

Dear Shelly,

Enclosed you will find a signed renewal letter and purchasing affidavit to renew CMA STO180000002A between the West Virginia State Treasurer's Office and Audit Services U.S., LLC for Unclaimed Property Auditing Services. Per your request, I have also included a current copy of our Certificate of Insurance.

Please let me know if you need any additional information or have any questions concerning this. We look forward to our continued relationship with the West Virginia State Treasurer's Office.

Sincerely,

A handwritten signature in cursive script that reads "Benjamin C. Spann".

Benjamin C. Spann
Chief Executive Officer

Enclosures

STATE OF WEST VIRGINIA
Purchasing Division
PURCHASING AFFIDAVIT

CONSTRUCTION CONTRACTS: Under W. Va. Code § 5-22-1(i), the contracting public entity shall not award a construction contract to any bidder that is known to be in default on any monetary obligation owed to the state or a political subdivision of the state, including, but not limited to, obligations related to payroll taxes, property taxes, sales and use taxes, fire service fees, or other fines or fees.

ALL CONTRACTS: Under W. Va. Code §5A-3-10a, no contract or renewal of any contract may be awarded by the state or any of its political subdivisions to any vendor or prospective vendor when the vendor or prospective vendor or a related party to the vendor or prospective vendor is a debtor and: (1) the debt owed is an amount greater than one thousand dollars in the aggregate; or (2) the debtor is in employer default.

EXCEPTION: The prohibition listed above does not apply where a vendor has contested any tax administered pursuant to chapter eleven of the W. Va. Code, workers' compensation premium, permit fee or environmental fee or assessment and the matter has not become final or where the vendor has entered into a payment plan or agreement and the vendor is not in default of any of the provisions of such plan or agreement.

DEFINITIONS:

"Debt" means any assessment, premium, penalty, fine, tax or other amount of money owed to the state or any of its political subdivisions because of a judgment, fine, permit violation, license assessment, defaulted workers' compensation premium, penalty or other assessment presently delinquent or due and required to be paid to the state or any of its political subdivisions, including any interest or additional penalties accrued thereon.

"Employer default" means having an outstanding balance or liability to the old fund or to the uninsured employers' fund or being in policy default, as defined in W. Va. Code § 23-2c-2, failure to maintain mandatory workers' compensation coverage, or failure to fully meet its obligations as a workers' compensation self-insured employer. An employer is not in employer default if it has entered into a repayment agreement with the Insurance Commissioner and remains in compliance with the obligations under the repayment agreement.

"Related party" means a party, whether an individual, corporation, partnership, association, limited liability company or any other form or business association or other entity whatsoever, related to any vendor by blood, marriage, ownership or contract through which the party has a relationship of ownership or other interest with the vendor so that the party will actually or by effect receive or control a portion of the benefit, profit or other consideration from performance of a vendor contract with the party receiving an amount that meets or exceeds five percent of the total contract amount.

AFFIRMATION: By signing this form, the vendor's authorized signer affirms and acknowledges under penalty of law for false swearing (W. Va. Code §61-5-3) that: (1) for construction contracts, the vendor is not in default on any monetary obligation owed to the state or a political subdivision of the state, and (2) for all other contracts, that neither vendor nor any related party owe a debt as defined above and that neither vendor nor any related party are in employer default as defined above, unless the debt or employer default is permitted under the exception above.

WITNESS THE FOLLOWING SIGNATURE:

Vendor's Name: AUDIT SERVICES U.S., LLC

Authorized Signature: Benjamin C. Spann Date: AUGUST 3, 2021

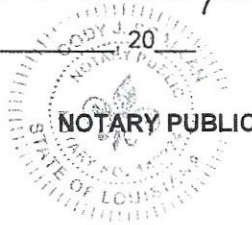
State of Louisiana

County of East Baton Rouge, to-wit:

Taken, subscribed, and sworn to before me this 3rd day of August, 2021.

My Commission expires life

AFFIX SEAL HERE



[Handwritten signature]

West Virginia Secretary of State — Online Data Services

Business and Licensing

Online Data Services Help

Business Organization Detail

NOTICE: The West Virginia Secretary of State's Office makes every reasonable effort to ensure the accuracy of information. However, we make no representation or warranty as to the correctness or completeness of the information. If information is missing from this page, it is not in the The West Virginia Secretary of State's database.

AUDIT SERVICES, U.S., LLC

Organization Information								
Org Type	Effective Date	Established Date	Filing Date	Charter	Class	Sec Type	Termination Date	Termination Reason
LLC Limited Liability Company	2/28/2005		2/28/2005	Foreign	Profit			

Organization Information			
Business Purpose	5313 - Real Estate and Rental and Leasing - Real Estate - Activities Related to Real Estate (property mgrs, appraisers)		Capital Stock
Charter County		Control Number	73977
Charter State	FL	Excess Acres	
At Will Term	A	Member Managed	MBR
At Will Term Years		Par Value	
Not Specified			

Authorized Shares	Young Entrepreneur

Addresses	
Type	Address
Designated Office Address	212 W 35TH STREET SUITE 1600 NEW YORK, NY, 10001
Mailing Address	212 W 35TH STREET SUITE 1600 NEW YORK, NY, 10001 USA
Mailing Address	BARBIE DALLMAN 1205 WILKIE DRIVE CHARLESTON, WV, 25314 USA
Notice of Process Address	REGISTERED AGENT SOLUTIONS, INC. 200 CAPITOL STREET CHARLESTON, WV, 25301
Principal Office Address	212 W 35TH STREET SUITE 1600 NEW YORK, NY, 10001 USA
Type	Address

Officers	
Type	Name/Address
Member	JEREMY KATZ 212 W 35TH STREET SUITE 1600 NEW YORK, NY, 10001
Member	MATTHEW THORNTON 212 W 35TH STREET SUITE 1600 NEW YORK, NY, 10001
Type	Name/Address

Annual Reports**Filed For**

2021

2020

2019

2018

2017

2016

2015

2014

2013

2012

2011

2010

2009

2008

2007

2006

Date filed

For more information, please contact the Secretary of State's Office at 304-558-8000.

Thursday, August 19, 2021 — 3:44 PM

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